



15w 1214

ATTORNEY DOCKET NO. 13198.0007U1  
APPLICATION NO. 10/579,149

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )  
HUESCA *et al.* ) Art Unit: 1614  
Application No. 10/579,149 ) Examiner: Anderson, James D.  
Filing Date: January 19, 2007 ) Confirmation No. 8922  
FOR: **ARYL IMIDAZOLES AND THEIR** )  
**USE AS ANTI-CANCER AGENTS** )

**REQUEST FOR REPUBLICATION UNDER 37 C.F.R. §1.221(b)**

Mail Stop PGPUB  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859

July 26, 2007

Sir:

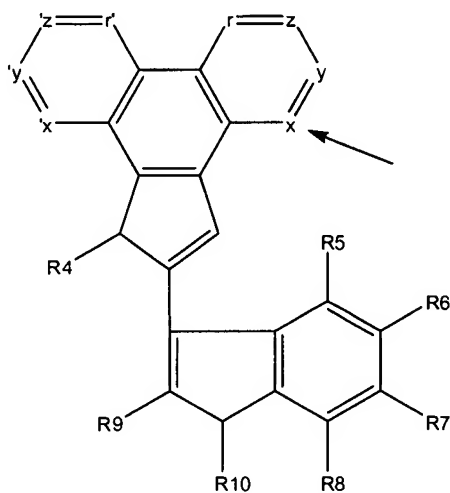
Applicants request republication of the above-identified U.S. National Phase Application under 37 C.F.R. §1.221(b). In accordance with this section, listing of the Material Mistakes begins in the Remarks section beginning on Page 2 of this paper. Also, Applicants have enclosed marked up copies of the relevant pages of the publication and have indicated where in the specification as filed the relevant text appears. Applicants attest that these mistakes would affect the public's ability to appreciate the technical disclosure of the Applicants' Patent Application Publication and, therefore, to determine the scope of the provisional rights that Applicants may seek to enforce upon issuance of a patent. This request is being filed within two (2) months of the publication date. Therefore, this request is timely. Applicants have also enclosed a copy of the publication WO 22005/047266 A1.

**REMARKS**

Please correct the above-identified U.S. Patent Application Publication 2007/0123553 A1 as follows.

**Errors at Page 78**

At page 78, columns 1 and 2, of the publication, figures VI, XI, XII, and XIII of Claim 5 are incorrect, thus identifying the wrong compounds. Please substitute the letter “x” for the letter “z” in these figures as indicated on the marked-up pages, and as the original specification shows at page 124. An example of the correct figure is shown below with an arrow indicating where the **incorrect** “z” occurs in the publication.



Also at page 78 of the publication, please insert three commas that are missing from the publication as indicated on the marked-up copy of page 78. These commas are present in the originally filed specification on page 124.

**Errors at Page 98**

At page 98, column 1, Claim 6, please insert the number “158” to the right of the second figure in this column. This number identifies the adjacent compound and is present in the

originally filed specification on page 141.

**Errors at Page 100**

At page 100, column 2, Claim 6, the first two chemical formulae are drawn incorrectly. Please remove the chemical bond as indicated in the marked-up page submitted herewith. The correct figures for compounds 180 and 181 are found in the original specification at page 143.

**Errors at Page 109**

At page 109, column 2, please insert the two commas that are missing from the publication as indicated on the marked-up copy of page 109. These commas were present in the originally filed specification at page 150.

Please substitute the letter “x” for the letter “z” in the figure in Claim 14 on the bottom of column 2 of publication page 109 as indicated on the marked-up pages. The correct figure can be found at page 151 of the original specification.

**Errors at Page 110**

At page 110, column 1, please substitute the letter “x” for the letter “z” in the figures of Claim 14 as indicated on the marked-up pages. The correct figures can be found at page 151 of the original specification.

At columns 1 and 2, please insert the five commas that are missing from the publication as indicated on the marked-up pages. These commas were present in the originally filed specification at pages 151 and 152.

**Errors at Page 111**

At page 111, column 2, please insert a comma that is missing from the publication as indicated on the marked-up copy of page 111. This comma was present in the originally filed

ATTORNEY DOCKET NO. 13198.0007U1  
APPLICATION NO. 10/579,149

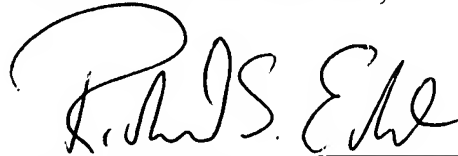
specification at page 154.

**CONCLUSION**

Pursuant to the above Remarks, correction of the above-identified U.S. Publication is respectfully requested. No fees are believed to be due; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment in fees to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.



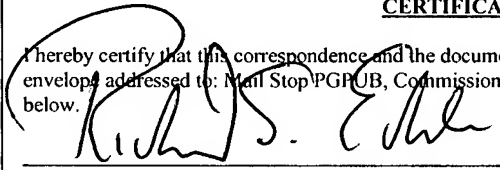
Richard S. Echler

Registration No. 41,006

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859  
(678) 420-9300 Phone  
(678) 420-9301 Facsimile

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8**

I hereby certify that this correspondence and the documents mentioned therein are being deposited with the United States Postal Service in an envelope addressed to: Mail Stop PGPUB, Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450, on the date indicated below.



Richard S. Echler

Date

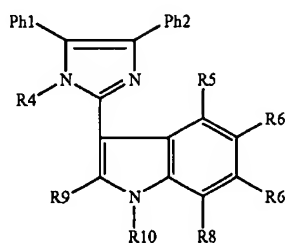
26 Jun 07



substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl; and

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl,  $-\text{CH}_2\text{-aryl}$ , or  $-\text{CH}_2\text{-heteroaryl}$ .

4. The use according to claim 1 or 2, wherein said compound has formula III:



III

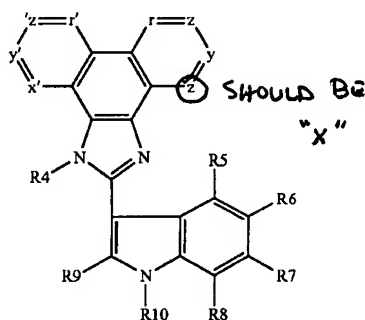
or a salt thereof wherein:

Ph1 and Ph2 are independently selected from phenyl and substituted phenyl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-\text{S(O)}_{0.2}\text{R}$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, or acyl.

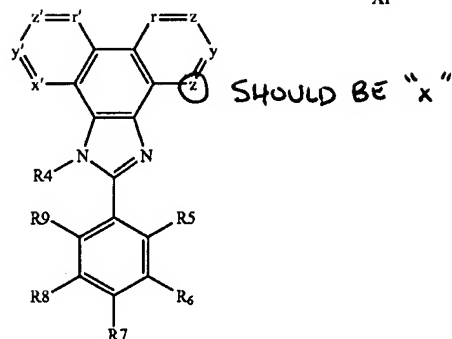
5. The use according to claim 1 or 2, wherein said compound is selected from the group of compounds of structural formulae:



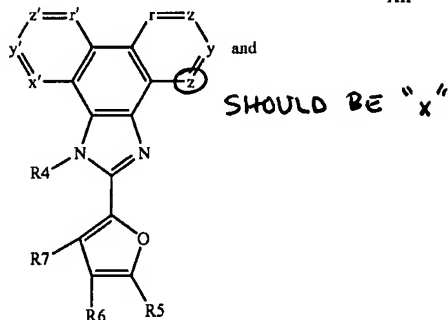
VI

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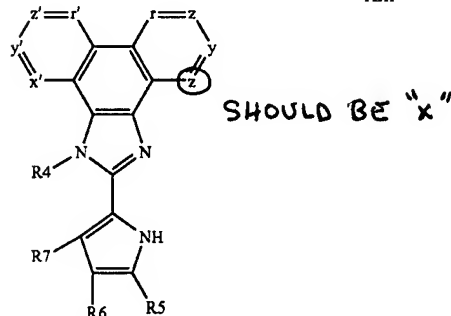
XI



XII



XIII



or a salt thereof, wherein:

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-\text{S(O)}_{0.2}\text{R}$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

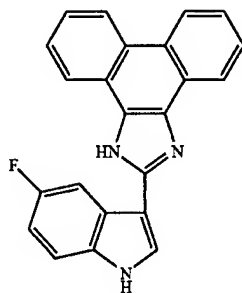
x is CR11 or N;

y is CR12 or N;

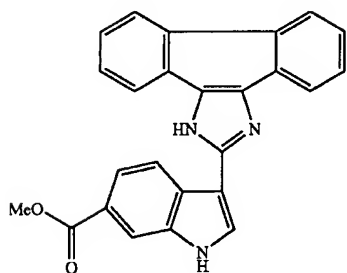
z is CR13 or N;

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A COMMA

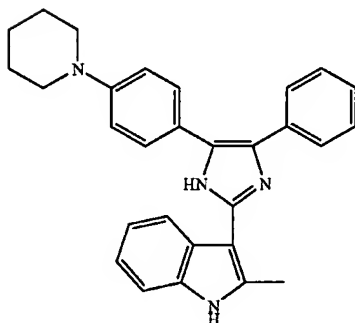
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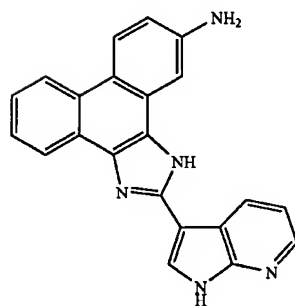
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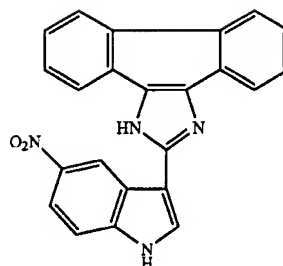
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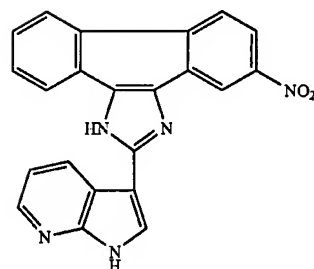
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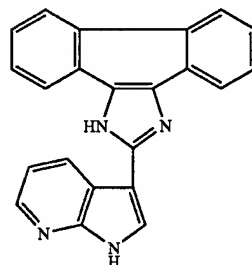
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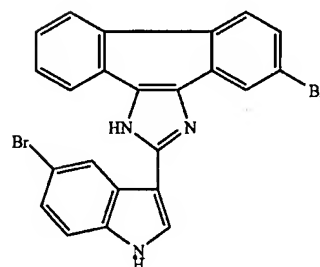
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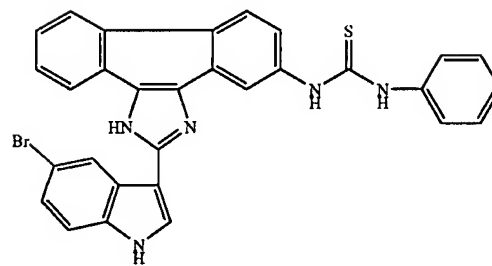
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163



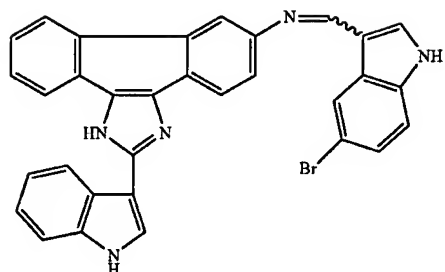
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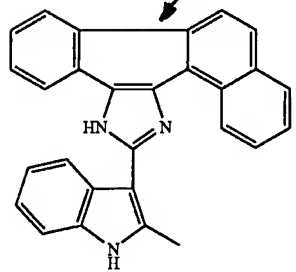
May 31, 2007

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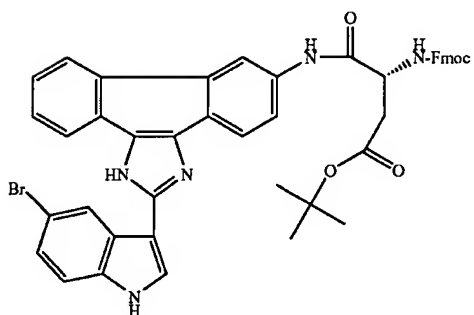
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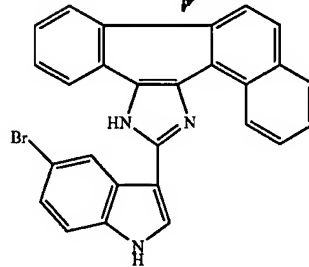


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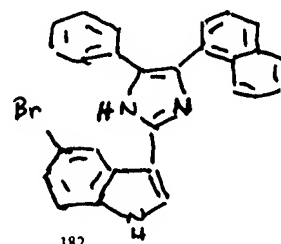
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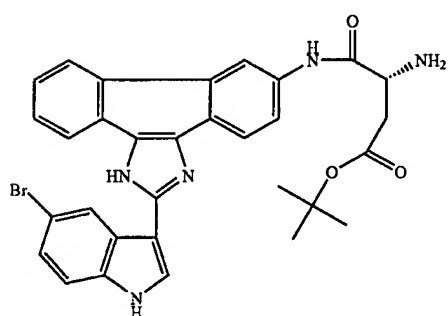
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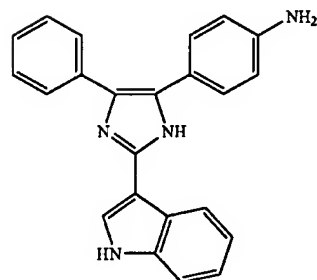
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THE FORMULA SHOULD BE:



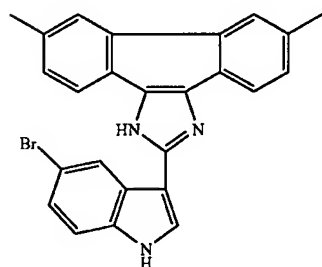
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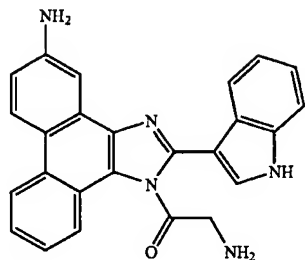


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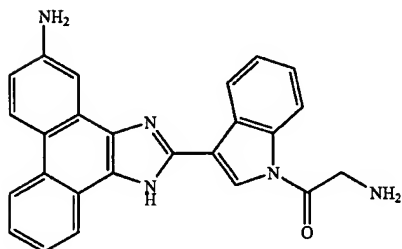


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253



254

7. The use according to claim 1, wherein said neoplastic cell growth is associated with a solid tumour.

8. The use according to claim 2, wherein said cancer is solid tumour.

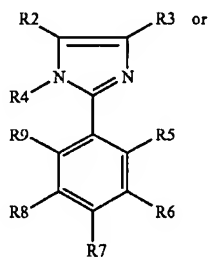
9. The use according to claim 1, wherein said neoplastic cell growth is associated with a cancer selected from the group of: breast cancer, central nervous system cancer, cervical cancer, colon cancer, liver cancer, lung cancer, melanoma, ovarian cancer, pancreatic cancer, prostate cancer, renal cancer, and leukemia.

10. The use according to claim 2, wherein said cancer is selected from the group of: breast cancer, central nervous system cancer, cervical cancer, colon cancer, liver cancer, lung cancer, melanoma, ovarian cancer, pancreatic cancer, prostate cancer, renal cancer, and leukemia.

11. The use according to any one of claims 1 to 10, wherein said compound is formulated for administration in combination with one or more anti-cancer agents(s).

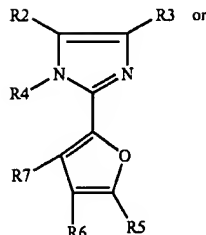
12. The use according to any one of claims 1 to 11, wherein said compound is formulated for systemic administration.

13. A compound selected from the compounds of structural formulae:

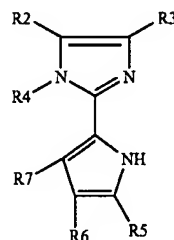


VIII

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IX



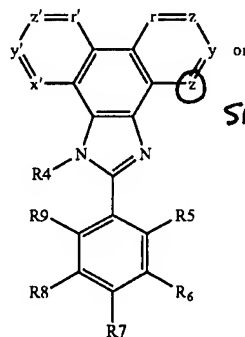
X

wherein:

R2 and R3 are independently aryl substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form a aryl substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

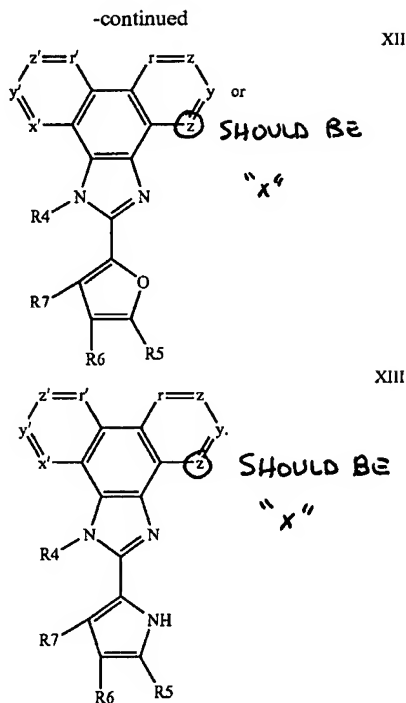
14. A compound selected from the compounds of structural formulae:



XI

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or a salt thereof, wherein:

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkylalkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

x is CR11 or N;

y is CR12 or N;

z is CR13 or N;

r is CR14 or N;

x' is CR15 or N;

y' is CR16 or N;

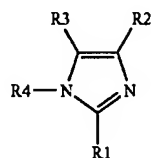
z' is CR17 or N;

r' is CR18 or N;

R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkylalkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted

heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

15. Use of a compound of formula I:



wherein:

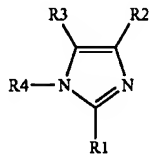
R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkylalkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,

in the manufacture of a medicament for the inhibition of neoplastic cell growth or proliferation.

16. Use of a compound of formula I:



wherein:

R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

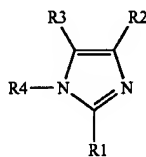
R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkylalkynyl, alkoxy, alkylthio, acyl,

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aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,

in the manufacture of a medicament for the treatment of cancer.

17. An anti-cancer composition comprising a carrier, diluent or excipient and an effective amount of a compound of formula I:



or a salt thereof, wherein:

R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

\* \* \* \* \*

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INSERT A

COMMA

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
26 May 2005 (26.05.2005)

PCT

(10) International Publication Number  
**WO 2005/047266 A1**

(51) International Patent Classification<sup>7</sup>: **C07D 233/58**,  
235/02, 401/04, 401/14, 403/04, 403/14, 405/14, 409/14,  
471/04, 471/14, 495/04, A61K 31/4164, 31/4178,  
31/4184, 31/4188

(21) International Application Number:  
PCT/IB2004/052433

(22) International Filing Date:  
15 November 2004 (15.11.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/520,279 14 November 2003 (14.11.2003) US  
60/599,509 6 August 2004 (06.08.2004) US

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(72) Inventors; and

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(74) Agent: **MBM & CO.**; P.O. Box 809, Station B, Ottawa,  
Ontario K1P 5P9 (CA).

(81) Designated States (unless otherwise indicated, for every  
kind of national protection available): AE, AG, AL, AM,  
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,  
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,  
GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,  
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,  
MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,  
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,  
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,  
ZW.

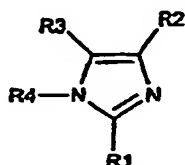
(84) Designated States (unless otherwise indicated, for every  
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European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,  
FR, GB, GR, HU, IE, IS, IT, LU, MC, NL, PL, PT, RO, SE,  
SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,  
GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report
- before the expiration of the time limit for amending the  
claims and to be republished in the event of receipt of  
amendments

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: **ARYL IMIDAZOLES AND THEIR USE AS ANTI-CANCER AGENTS**



(I)

carbon atoms they are attached to, form aryl or substituted aryl, and R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkythio, aryl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcyclobeteroalkyl, nitro, cyano or -S(O)<sub>0.2R</sub> wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

WO 2005/047266 A1

## ARYL IMIDAZOLES AND THEIR USE AS ANTI-CANCER AGENTS

### FIELD OF INVENTION

This invention pertains to the field of anti-cancer compounds and, in particular, to the use of therapeutically active 2,4,5-trisubstituted imidazole compounds in the treatment of cancer.

### BACKGROUND OF THE INVENTION

A cancer is a malignant tumour of potentially unlimited growth. It is primarily the pathogenic replication (a loss of normal regulatory control) of various given types of cells found in the human body. By select mutation resulting from a primary lesion, the DNA of a cancer cell evolves and converts the cell into an autonomous system. Conventional cancer treatments have focused mainly on killing cancerous cells. Chemotherapeutic agents currently used for anti-cancer/anti-tumour therapy are selected for their toxicity towards rapidly proliferating cells. Most of them cause undesirable systemic effects such as cardiac or renal toxicity, marrow aplasia, alopecia, nausea and vomiting. During the last few years, many researchers have tried to eliminate these side effects by developing drugs having suitable physico-chemical properties allowing an increase of the availability of the drug to the tumour site. New molecules extracted from natural sources, synthetically or semi-synthetically produced, enzymes, radioisotopes, DNA toxins, various macromolecules, and antibodies against fibrin or against tumour-specific surface antigens are bound to drugs in an attempt to increase selectivity of the chemotherapeutic agents.

The effectiveness of most anticancer agents is greatly reduced because of their high toxicity and the nature of the illness. It is believed that the problem of high toxicity of the anticancer agents can be circumvented by chemical modifications of those structures in such a way that they act more specifically on tumour cells without increasing systemic toxicity. The research in this field is therefore mainly directed to



the synthesis of anticancer agents which would possess high antineoplastic activity, low systemic toxicity and low mutagenicity on normal cells.

Heterocyclic compounds, especially heterocyclic azole derivatives, have been shown to have a wide spectrum of biological activities. One class of compounds with  
5 interesting biological activities is the imidazoles (derivatives containing a five-membered heterocyclic azole). A variety of biological activities have been reported for imidazole derivatives with different substitution patterns (Lee *et al. Nature* 1994 327:739-745; Abdel-Meguid *et al. Biochemistry*, 1994, 33:11671; Heerding *et al. Bioorg. Med. Chem. Lett.* 2001, 11:2061-2065; Bu *et al. Tetrahedron Lett.* 1996,  
10 37:7331-7334; Lewis JR. *Nat. Prod. Rep.* 1999, 16:389-418; Lewis JR. *Nat. Prod. Rep.* 1998, 15:417-437 and 371-395).

Biological activities have also been reported for aryl-imidazole derivatives, for example, these compounds can act as modulators of multi-drug resistance in cancer cells (Zhang *et al. Bioorg. Med. Chem. Lett.* 2000, 10:2603-2605), inhibitors of p38  
15 MAP kinase (Adams *et al. Bioorg. Med. Chem. Lett.* 2001, 11:867-2870, McLay *et al. Bioorg. Med. Chem.* 2001, 9:537-554) and of cytokines (U.S. Patent Nos. 5,656,644; 5,686,455; 5,916,891; 5,945,418; and 6,268,370), and inhibitors of bacterial growth (Antolini *et al. Bioorg. Med. Chem. Lett.* 1999, 9:1023-1028).

A few reports have indicated that triaryl-imidazole compounds can act as inhibitors of  
20 p38 MAP kinase (for example, see LoGrasso *et al. Biochemistry.* 1997, 36:10422-10427) and as modulators of multi-drug resistance in cancer cells (Sarshar *et al. Bioorg. Med. Chem. Lett.* 2000, 10:2599-2601), however, the majority of the literature indicates that these compounds have found use mainly as colour producing reagents. (U.S. Patent Nos. 4,089,747; 5,024,935; 5,047,318; 5,496,702; 5,514,550; and  
25 5,693,589) and as photopolymerization initiators (U.S. Patent Nos. 6,117,609 and 6,060,216), generally in dimeric form.

The potential anti-cancer activity of a number of compounds has been investigated by the National Cancer Institute (NCI), which has undertaken a large scale screening of several thousand compounds to try to identify those that have potential therapeutic  
30 application in the treatment of cancer (NCI Yeast Anticancer Drug Screen). The

screen is based on the ability of candidate compounds to inhibit the growth of *Saccharmyces cerevisiae* strains that have mutations in genes related to cell cycle control and DNA repair damage. Compounds are initially screened against a panel of six yeast strains at a single concentration (Stage0). Compounds with activity in Stage0  
5 are re-screened against the same panel at two concentrations (Stage1). Selected compounds with activity in Stage1 that also show selectivity are re-screened against a panel of 13 yeast strains at five concentrations (Stage2). Many of the results from the screening have been made available on the NCI/DTP website. The approach adopted in this screen is dependent on a candidate compound exerting its activity on certain  
10 cellular pathways (*i.e.* cell cycle control or DNA repair damage). The results generated by this type of screen, therefore, represent a very preliminary stage of screening for potential anti-cancer drugs and do not necessarily correlate with the ability of a compound to inhibit the growth of cancer cells *in vitro* or *in vivo*.

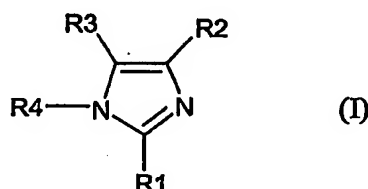
The NCI also provides an *in vivo* screening program to try to identify potential anti-cancer drugs (NCI *In Vivo* Anticancer Drug Screen). Many of the results from this  
15 screening program are also available from the NCI/DTP website.

Amongst those compounds tested in one or both of the NCI screens are some aryl-imidazole compounds (NCI # 322334, 338970, 144033). None of these three compounds showed any activity in the *In Vivo* Anticancer Drug Screen, even though  
20 one of these compounds (NCI # 338970) had been reported as active in Stage0 testing in the Yeast Anticancer Drug Screen. The fact that this compound was active in the yeast screen yet showed no activity in the *in vivo* assay confirms that a positive result in the yeast screen is not necessarily predictive of the utility of a compound as in anti-cancer therapeutic.

25 This background information is provided for the purpose of making known information believed by the applicant to be of possible relevance to the present invention. No admission is necessarily intended, nor should be construed, that any of the preceding information constitutes prior art against the present invention.

## SUMMARY OF THE INVENTION

It is an object of the present invention to provide a class of compounds which are 2,4,5-trisubstituted imidazole derivatives that have anti-cancer activity. In accordance with an aspect of the present invention there is provided a use of a compound having structural formula (I), or a salt thereof, as an anti-cancer agent:



wherein:

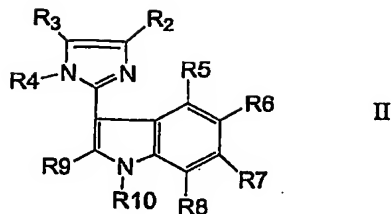
R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, heterocycle, substituted heterocycle, heteroaryl, or substituted heteroaryl and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, substituted alkylthiol, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano,  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

In accordance with another aspect of the present invention, there is provided a use of a compound having structural formula (I), or a salt thereof, in the preparation of an anti-cancer composition.

In accordance with another aspect of the present invention, there is provided a compound having the structural formula:



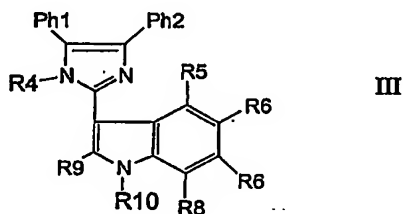
or a salt thereof, wherein:

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached form aryl or substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl,  $-CH_2$ -aryl,  $-CH_2$ -heteroaryl.

In accordance with another aspect of the present invention, there is provided a compound having the structural formula:

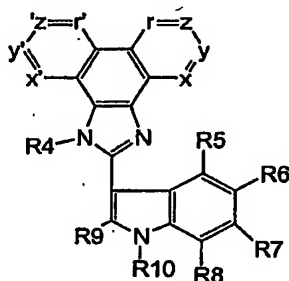


or a salt thereof, wherein:

Ph1 and Ph2 are independently selected from phenyl and substituted phenyl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl; R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl,  $-CH_2$ -aryl,  $-CH_2$ -heteroaryl.

In accordance with another aspect of the present invention, there is provided a compound having the structural formula:



VI

or a salt thereof, wherein:

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl; R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl,  $-CH_2$ -aryl,  $-CH_2$ -heteroaryl;

x is CR11 or N;

y is CR12 or N;

z is CR13 or N;

r is CR14 or N;

x' is CR15 or N;

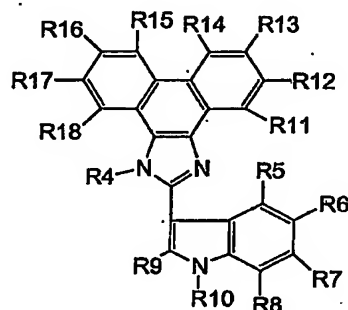
5 y' is CR16 or N;

z' is CR17 or N;

r' is CR18 or N;

R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, alkenyl, alkenyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

15 In accordance with another aspect of the present invention, there is provided a compound having the structural formula:



or a salt thereof, wherein:

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

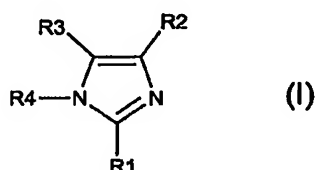
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R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl, -CH<sub>2</sub>-aryl, -CH<sub>2</sub>-heteroaryl;

R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from  
 5 hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, alkenyl, alkenyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

10 In accordance with another embodiment of the present invention, there is provided a use of a therapeutically effective amount of a compound of formula I:



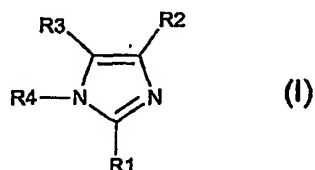
wherein:

15 R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

20 R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano  
 25 or -S(O)<sub>0-2</sub>R wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,  
 to inhibit neoplastic cell growth or proliferation in a mammal.

In accordance with another embodiment of the present invention, there is provided a use of a therapeutically effective amount of a compound of formula I:



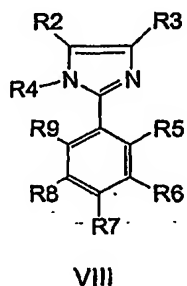
5 wherein:

R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

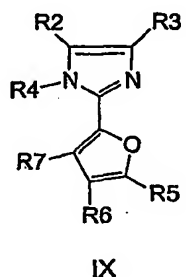
R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together  
10 along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl,  
15 cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,  
in the treatment of cancer in a mammal in need thereof.

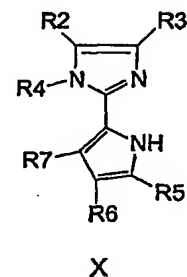
In accordance with another embodiment of the present invention, there is provided a  
20 compound selected from the compounds of structural formulae:



or



or



wherein:



5 R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, 10 cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

15



x is CR11 or N;

y is CR12 or N;

z is CR13 or N;

r is CR14 or N;

5 x' is CR15 or N;

y' is CR16 or N;

z' is CR17 or N;

r' is CR18 or N;

10 R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or  
15 cyano.

In accordance with another embodiment of the present invention, there is provided a use of a compound of formula (I) in the manufacture of a medicament for the inhibition of neoplastic cell growth or proliferation.

20 In accordance with another embodiment of the present invention, there is provided a use of a compound of formula (I) in the manufacture of a medicament for the treatment of cancer.

In accordance with another aspect of the present invention, there is provided an anti-cancer composition comprising an effective amount of a compound having structural formula (I), or a salt thereof, and a carrier, diluent or excipient.

25 In accordance with another aspect of the present invention there is provided a method of inhibiting neoplastic cell growth or proliferation in a mammal comprising administering to said mammal a therapeutically effective amount of a compound selected from the compounds of general formula (I), (II), (III), (IV), (V), (VI), (VII), (VIII), (IX), (X), (XI), (XII) and (XIII), or a salt thereof.

In accordance with another aspect of the present invention there is provided a method of treating cancer in a mammal comprising administering to said mammal a therapeutically effective amount of a compound selected from the compounds of general formula (I), (II), (III), (IV), (V), (VI), (VII), (VIII), (IX), (X), (XI), (XII) and (XIII), or a salt thereof.

### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 depicts the effects of a compound 92 on the proliferation of various cancer cell lines *in vitro*.

Figure 2 depicts the effects of a compound 28 on the proliferation of various cancer cell lines *in vitro*.

Figure 3 depicts the effects of a compound 50 on the proliferation of various cancer cell lines *in vitro*.

Figure 4 depicts the effects of a compound 42 on the proliferation of various cancer cell lines *in vitro*.

Figure 5A-C depicts the effects of various concentrations of a compound 45 on the proliferation of cancer cell lines *in vitro* at different time intervals.

Figure 6A-C depicts the effects of various concentrations of a compound 45 on the proliferation of cancer cell lines *in vitro* at different time intervals.

Figure 7 depicts the effects of compounds 83 and 99 on the proliferation of LS 513 colon carcinoma cells *in vitro*.

Figure 8 depicts the effects of compounds of Formula I on the proliferation of HT-29 colon adenocarcinoma cells *in vitro*.

Figure 9A-C present the cancer cell lines used to in the NCI screen used to determine the ability of compounds of Formula I to inhibit cancer cell proliferation *in vitro*.

**Figure 10A** depicts the average and mean  $GI_{50}$  values for various compounds of Formula I for a number of cancer cell lines; **B** depicts the average  $GI_{50}$  values for compound 45 by cancer cell type and **C** depicts the average total growth inhibition (TGI) for compound 45 by cancer cell type.

- 5 **Figure 11** depicts the inhibition of H460 NSCLC cell proliferation *in vitro* by compounds of Formula I.

**Figure 12** depicts the inhibition of HT-29 colon carcinoma cell proliferation *in vitro* by compounds of Formula I.

- 10 **Figure 13** depicts the inhibition of HT-29 colon carcinoma cell proliferation *in vitro* by compounds of Formula I.

**Figure 14** depicts the effects of compounds of Formula I on the growth of HT-29 colon adenocarcinoma cells *in vivo* in CD-1 nude mice.

**Figure 15** depicts the effects of compounds of Formula I on the average weight of tumours in CD-1 nude mice (average weight per group of mice).

- 15 **Figure 16** depicts the effects of compounds of Formula I on the weight of tumours in CD-1 nude mice (individual tumour weights).

**Figure 17** depicts the effects of compounds of Formula I on the growth of HT-29 colon adenocarcinoma cells *in vivo* in CD-1 nude mice.

- 20 **Figure 18** depicts the effect of compound 45 on the growth of HepG2 hepatocarcinoma cells *in vivo* in CD-1 nude mice in terms of **A** tumour size, and **B** tumour weight.

**Figure 19** depicts the effects of compounds 45, 33 and 90 on the activity of various human kinases.

- 25 **Figure 20** depicts the subcellular location of compound 45 in HT-29 colon adenocarcinoma cells (**A**, **B**); of doxorubicin in HT-29 colon adenocarcinoma cells

(C); of compound 45 in A498 renal cancer cells (D), and of compound 45 in C8161 melanoma cells (E).

Figure 21 depicts the formation of vacuoles in HT-29 colon adenocarcinoma cells treated with compound 45 or doxorubicin.

- 5    Figure 22 depicts the effects of compound 45 on the cell cycle in HT-29 colon adenocarcinoma cells.

### DETAILED DESCRIPTION OF THE INVENTION

The present invention provides a class of 2,4,5-trisubstituted imidazole compounds and for their use as anti-cancer agents. The present invention further provides for  
10    methods of inhibiting neoplastic cell growth and/or proliferation in an animal by administering to the animal an effective amount of a compound of Formula I, either alone or in combination with one or more standard chemotherapeutics.

#### *Definitions*

Unless defined otherwise, all technical and scientific terms used herein have the same  
15    meaning as commonly understood by one of ordinary skill in the art to which this invention pertains.

The terms are defined as follows:

The term "halogen" refers to fluorine, bromine, chlorine, and iodine atoms.

The term "hydroxyl" refers to the group -OH.

- 20    The term "thiol" or "mercapto" refers to the group -SH, and -S(O)<sub>0-2</sub>.

The term "lower alkyl" refers to a straight chain or branched alkyl group of one to ten carbon atoms or a cyclic alkyl group of three to ten carbon atoms. This term is further exemplified by such groups as methyl, ethyl, *n*-propyl, *i*-propyl, *n*-butyl, *t*-butyl, 1-butyl (or 2-methylpropyl), cyclopropylmethyl, *i*-amyl, *n*-amyl, hexyl and the like.

The term "substituted lower alkyl" refers to lower alkyl as just described including one or more groups such as hydroxyl, thiol, alkylthiol, halogen, alkoxy, amino, amido, carboxyl, cycloalkyl, substituted cycloalkyl, heterocycle, cycloheteroalkyl, substituted cycloheteroalkyl, acyl, carboxyl, aryl, substituted aryl, aryloxy, hetaryl, substituted hetaryl, aralkyl, heteroaralkyl, alkyl alkenyl, alkyl alkynyl, alkyl cycloalkyl, alkyl cycloheteroalkyl, nitro, cyano. These groups may be attached to any carbon atom of the lower alkyl moiety.

The term "lower alkenyl" refers to a straight chain or branched hydrocarbon of two to ten carbon atoms or a cyclic hydrocarbon of three to ten carbon atoms, having at least one carbon to carbon double bond.

The term "substituted lower alkenyl" refers to lower alkenyl as just described including one or more groups such as hydroxyl, thiol, alkylthiol, halogen, alkoxy, amino, amido, carboxyl, cycloalkyl, substituted cycloalkyl, heterocycle, cycloheteroalkyl, substituted cycloheteroalkyl, acyl, carboxyl, aryl, substituted aryl, aryloxy, hetaryl, substituted hetaryl, aralkyl, heteroaralkyl, alkyl, alkenyl, alkynyl, alkyl alkenyl, alkyl alkynyl, alkyl cycloalkyl, alkyl cycloheteroalkyl, nitro, cyano. These groups may be attached to any carbon atom to produce a stable compound.

The term "lower alkynyl" refers to a straight chain or branched hydrocarbon of two to ten carbon atoms having at least one carbon to carbon triple bond.

The term "substituted lower alkynyl" refers to lower alkynyl as just described including one or more groups such as hydroxyl, thiol, alkylthiol, halogen, alkoxy, amino, amido, carboxyl, cycloalkyl, substituted cycloalkyl, heterocycle, cycloheteroalkyl, substituted cycloheteroalkyl, acyl, carboxyl, aryl, substituted aryl, aryloxy, hetaryl, substituted hetaryl, aralkyl, heteroaralkyl, alkyl, alkenyl, alkynyl, alkyl alkenyl, alkyl alkynyl, alkyl cycloalkyl, alkyl cycloheteroalkyl, nitro, cyano. These groups may be attached to any carbon atom to produce a stable compound.

The term "alkoxy" refers to the group -OR, where R is lower alkyl, substituted lower alkyl, acyl, aryl, substituted aryl, aralkyl, substituted aralkyl, heteroalkyl,

heteroarylalkyl, cycloalkyl, substituted cycloalkyl, cycloheteroalkyl, or substituted cycloheteroalkyl as defined below.

The term "alkylthio" denotes the group  $-SR$ ,  $-S(O)_{n=1-2}-R$ , where R is lower alkyl, substituted lower alkyl, aryl, substituted aryl, aralkyl or substituted aralkyl as defined below.

The term "acyl" refers to groups  $-C(O)R$ , where R is hydrogen, lower alkyl, substituted lower alkyl, aryl, substituted aryl, cycloalkyl or substituted cycloalkyl.

The term "aryloxy" refers to groups  $-OAr$ , where Ar is an aryl, substituted aryl, heteroaryl, or substituted heteroaryl group as defined below.

10 The term "amino" refers to the group  $NRR'$ , where R and R' may independently be hydrogen, lower alkyl, substituted lower alkyl, aryl, substituted aryl, heteroaryl, cycloalkyl, or substituted heteroaryl as defined below, acyl, D or L aminoacid or a protected form thereof.

15 The term "amido" refers to the group  $-C(O)NRR'$ , where R and R' may independently be hydrogen, lower alkyl, substituted lower alkyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl as defined below.

The term "carboxyl" refers to the group  $-C(O)OR$ , where R may independently be hydrogen, lower alkyl, substituted lower alkyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl and the like as defined.

20 The terms "aryl" or "Ar" refer to an aromatic carbocyclic group having at least one aromatic ring (e.g., phenyl or biphenyl) or multiple condensed rings in which at least one ring is aromatic, (e.g., 1,2,3,4-tetrahydronaphthyl, naphthyl, anthryl, phenanthryl, 9-fluorenyl, dibenzocycloheptatrienyl etc.).

25 The term "substituted aryl" refers to aryl optionally substituted with one or more functional groups, e.g., halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, trifluoromethyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, substituted heterocycle,

heteroaryl, substituted heteroaryl, heteroalkyl, substituted heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, sulfamido, cyano or  $-N=CRR'$ , wherein R and R' are independently selected from H, alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl or substituted heteroaryl.

The term "heterocycle" refers to a saturated, unsaturated, or aromatic carbocyclic group having a single ring (e.g., morpholino, pyridyl or furyl) or multiple condensed rings (e.g., naphthpyridyl, quinoxalyl, quinolinyl, indoliziny, indanyl or benzo[b]thienyl) and having at least one hetero atom, such as N, O or S, within the ring.

The term "substituted heterocycle" refers to heterocycle optionally substituted with, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, trifluoromethyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl, heteroalkyl, substituted heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, sulfamido or cyano and the like.

The terms "heteroaryl" or "hetaryl" refer to a heterocycle in which at least one heterocyclic ring is aromatic.

The term "substituted heteroaryl" refers to a heterocycle optionally mono or poly substituted with one or more functional groups, e.g., halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, trifluoromethyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl, heteroalkyl, substituted heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, sulfamido, cyano or  $-N=CRR'$ , wherein R and R' are independently selected from H, alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl or substituted heteroaryl and the like.



The term "aralkyl" refers to the group -R-Ar where Ar is an aryl group and R is lower alkyl or substituted lower alkyl group. Aryl groups can optionally be unsubstituted or substituted with, e.g., halogen, lower alkyl, alkoxy, alkyl thio, trifluoromethyl, amino, amido, carboxyl, hydroxyl, aryl, aryloxy, heterocycle, hetaryl, substituted hetaryl,  
5 nitro, cyano, alkylthio, thiol, sulfamido and the like.

The term "heteroalkyl" refers to the group -R-Het where Het is a heterocycle group and R is a lower alkyl group. Heteroalkyl groups can optionally be unsubstituted or substituted with e.g., halogen, lower alkyl, lower alkoxy, lower alkylthio, trifluoromethyl, amino, amido, carboxyl, hydroxyl, aryl, aryloxy, heterocycle, hetaryl,  
10 substituted hetaryl, nitro, cyano, alkylthio, thiol, sulfamido and the like.

The term "heteroarylalkyl" refers to the group -R-HetAr where HetAr is an heteroaryl group and R lower alkyl or substituted loweralkyl. Heteroarylalkyl groups can optionally be unsubstituted or substituted with, e.g., halogen, lower alkyl, substituted lower alkyl, alkoxy, alkylthio, aryl, aryloxy, heterocycle, hetaryl, substituted hetaryl,  
15 nitro, cyano, alkylthio, thiol, sulfamido and the like.

The term "cycloalkyl" refers to a cyclic or polycyclic alkyl group containing 3 to 15 carbon. For polycyclic groups, these may be multiple condensed rings in which one of the distal rings may be aromatic (e.g. tetrahydronaphthalene, etc.).

The term "substituted cycloalkyl" refers to a cycloalkyl group comprising one or more  
20 substituents with, e.g halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, trifluoromethyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl,  
25 alkylcycloheteroalkyl, nitro, sulfamido or cyano and the like.

The term "cycloheteroalkyl" refers to a cycloalkyl group wherein one or more of the ring carbon atoms is replaced with a heteroatom (e.g., N, O, S or P).

The term "substituted cycloheteroalkyl" refers to a cycloheteroalkyl group as herein defined which contains one or more substituents, such as halogen, lower alkyl, lower

alkoxy, lower alkylthio, trifluoromethyl, amino, amido, carboxyl, hydroxyl, aryl, aryloxy, heterocycle, hetaryl, substituted hetaryl, nitro, cyano, alkylthio, thiol, sulfamido and the like.

5 The term "alkyl cycloalkyl" refers to the group -R-cycloalkyl where cycloalkyl is a cycloalkyl group and R is a lower alkyl or substituted lower alkyl. Cycloalkyl groups can optionally be unsubstituted or substituted with e.g. halogen, lower alkyl, lower alkoxy, lower alkylthio, trifluoromethyl, amino, amido, carboxyl, hydroxyl, aryl, aryloxy, heterocycle, hetaryl, substituted hetaryl, nitro, cyano, alkylthio, thiol, sulfamido and the like.

10 The terms "therapy" and "treatment," as used interchangeably herein, refer to an intervention performed with the intention of alleviating the symptoms associated with, preventing the development of, or altering the pathology of a disease, disorder or condition. Thus, the terms therapy and treatment are used in the broadest sense, and include the prevention (prophylaxis), moderation, reduction, and curing of a disease,  
15 disorder or condition at various stages. Those in need of therapy/treatment include those already having the disease, disorder or condition as well as those prone to, or at risk of developing, the disease, disorder or condition and those in whom the disease, disorder or condition is to be prevented.

20 The term "subject" or "patient," as used herein, refers to an animal in need of treatment.

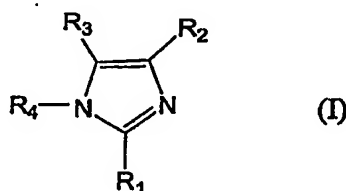
The term "animal," as used herein, refers to both human and non-human animals, including, but not limited to, mammals, birds and fish.

Administration of the compounds of the invention "in combination with" one or more further therapeutic agents, is intended to include simultaneous (concurrent)  
25 administration and consecutive administration. Consecutive administration is intended to encompass various orders of administration of the therapeutic agent(s) and the compound(s) of the invention to the subject.

As used herein, the term "about" refers to a +/-10% variation from the nominal value. It is to be understood that such a variation is always included in any given value provided herein, whether or not it is specifically referred to.

### *I. 2,4,5-Trisubstituted Imidazole Compounds*

5 The present invention provides compounds of the general formula (I):



or a salt thereof, wherein:

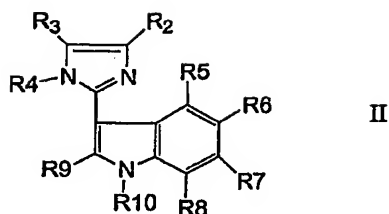
R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl,  
10 substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, heterocycle, substituted heterocycle, heteroaryl, or substituted heteroaryl;

15 R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano  
20 or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

In another embodiment of the present invention, the compound of formula (I) is other than Nortopsentin A, Nortopsentin B, Nortopsentin C and Nortopsentin D.

In another embodiment of the present invention, the compound of Formula I includes  
25 the compound of the structural formula:



or a salt thereof, wherein:

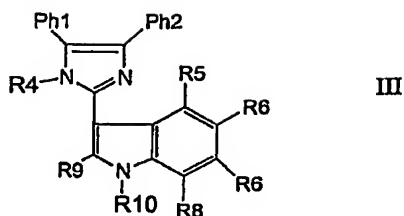
R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached form aryl or substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkylalkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl,  $-CH_2$ -aryl,  $-CH_2$ -heteroaryl.

In another embodiment of the invention, the compound of Formula II is other than Nortopsentin A, Nortopsentin B, Nortopsentin C and Nortopsentin D.

In another embodiment of the present invention, the compound of Formula II includes the compound of the structural formula III:



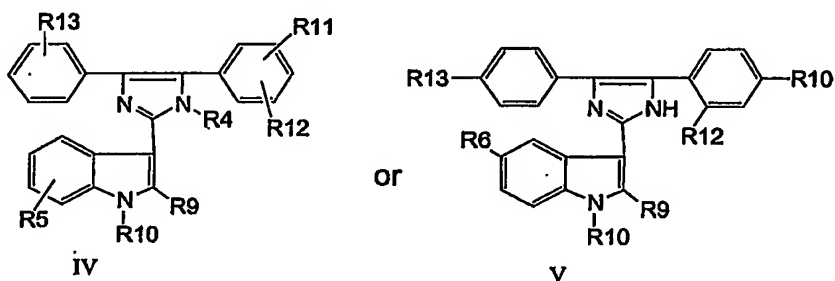
or a salt thereof, wherein:

**Ph1 and Ph2 are independently selected from phenyl and substituted phenyl;**

5 R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, 10 alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R<sub>10</sub> is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl.

In another embodiment of the invention, the compound of Formula III is selected from:



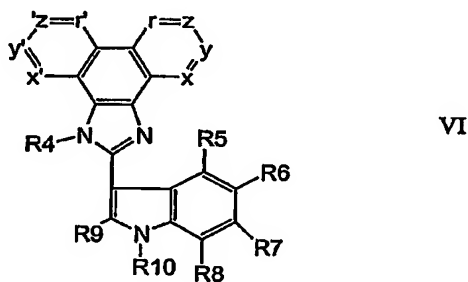
20 or a salt thereof, wherein:

R5, R6, R9, R11, R12 and R13 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower

alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano;

- 5 R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl.

In another embodiment of the present invention, the compound of Formula I includes the compound of the structural formula:



10

or a salt thereof, wherein:

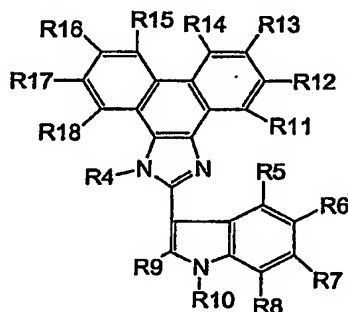
- R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;
- 20 x is CR11 or N;  
y is CR12 or N;  
z is CR13 or N;  
r is CR14 or N;  
x' is CR15 or N;
- 25 y' is CR16 or N;  
z' is CR17 or N;

r' is CR18 or N;

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl.

R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from  
 5 hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or  
 10 cyano.

In another embodiment of the present invention, the compound of Formula I includes the compound of the structural formula:



VII

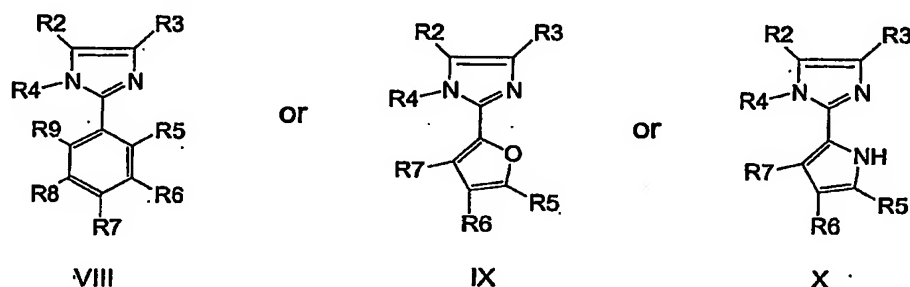
or a salt thereof, wherein:

15 R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl,  
 20 alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl;

R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

In another embodiment of the present invention, the compound of Formula I includes the compound of the structural formula:

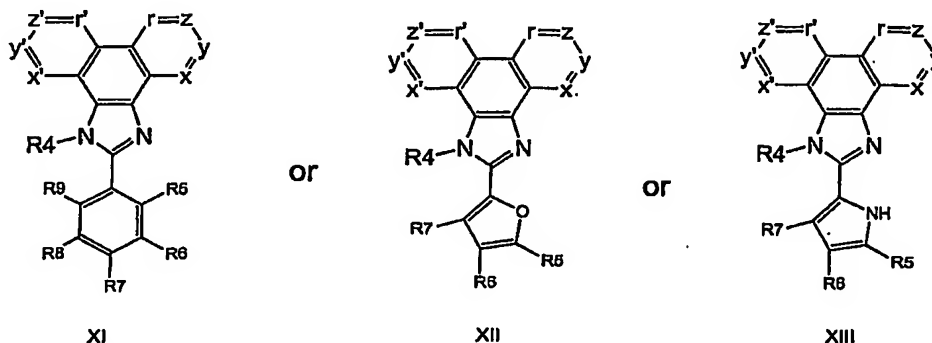


wherein:

- R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form a aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;
- R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.



In another embodiment of the present invention, the compound of Formula I includes the compound of the structural formula:



5

or a salt thereof, wherein:

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

15 x is CR11 or N;

y is CR12 or N;

z is CR13 or N;

r is CR14 or N;

x' is CR15 or N;

20 y' is CR16 or N;

z' is CR17 or N;

r' is CR18 or N;

R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl,

25

aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

In another embodiment, in the compounds of formula (XI) at least one of R11 to R18  
5 is other than H.

In another embodiment, in the compounds of formula (XI) at least one of x, y, z, r, x', y', z' or r' is nitrogen.

In another embodiment the compound of formula (XI) is other than:

2-phenyl-1H-phenanthro[9,10-d]imidazole;

10 2-(2-methylphenyl)-1H-phenanthro[9,10-d]imidazole;

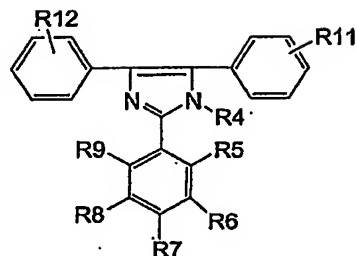
2-(3-iodophenyl)-1H-phenanthro[9,10-d]imidazole;

2-(4-dimethylaminophenyl)-1H-phenanthro[9,10-d]imidazole;

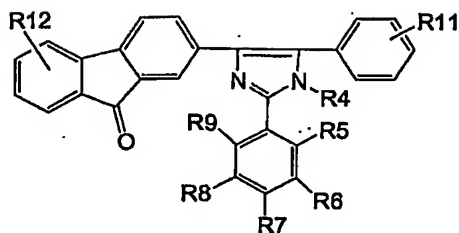
2-(4-nitrophenyl)-1H-phenanthro[9,10-d]imidazole;

1,2-diphenyl-1H-phenanthro[9,10-d]imidazole.

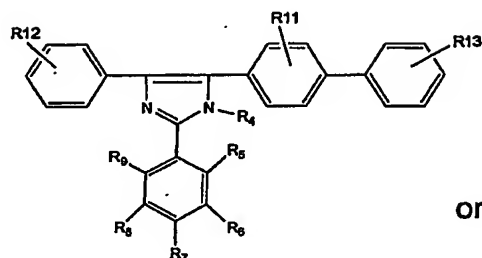
15 In another embodiment of the invention, the compound of Formula I is selected from:



XIV

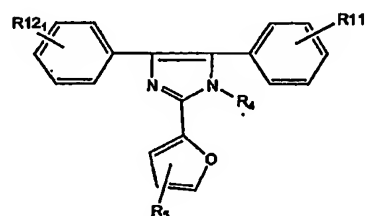


XV



XVI

OR

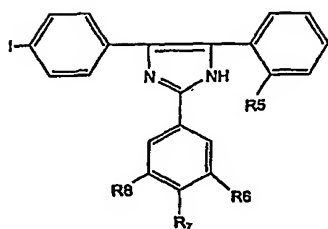


XVII

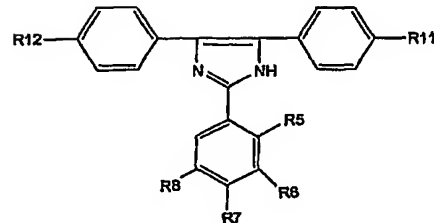
wherein:

R4, R5, R6, R7, R8, R9, R11, R12 and R13 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

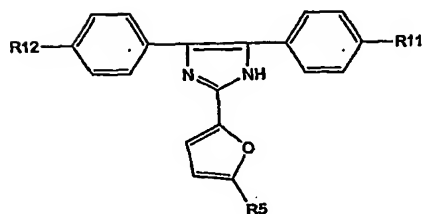
In another embodiment of the invention the compound of Formula I is selected from:



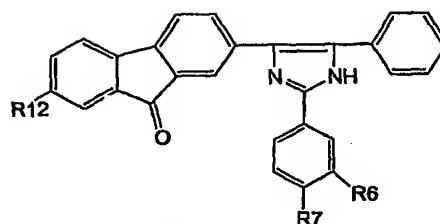
XVIII



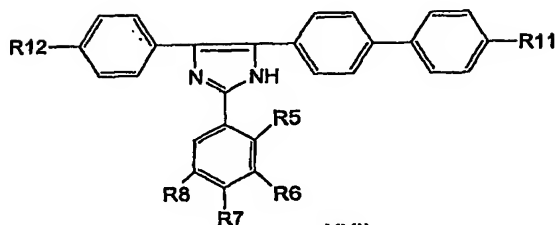
XIX



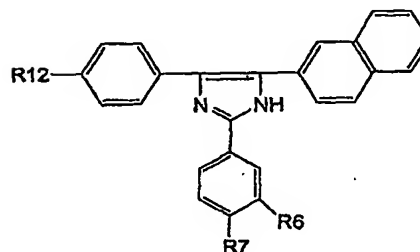
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XXI



XXII

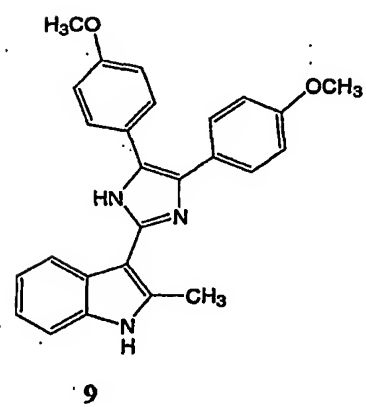
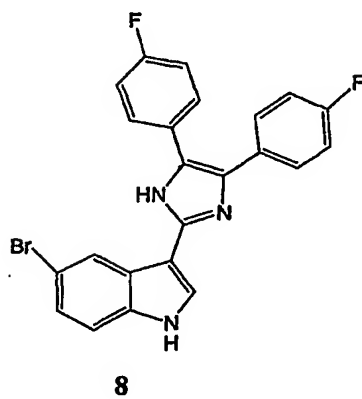
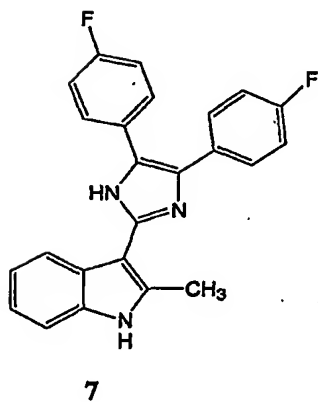
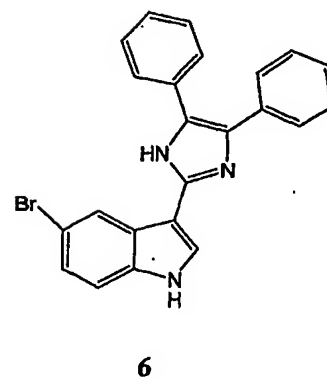
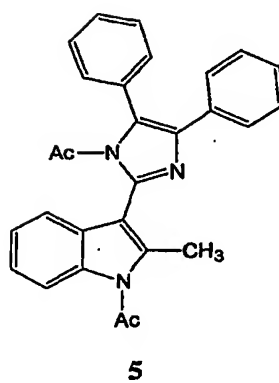
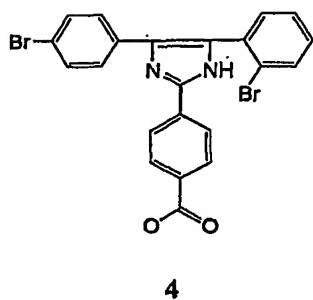
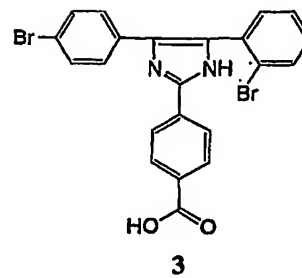
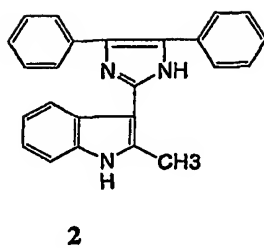
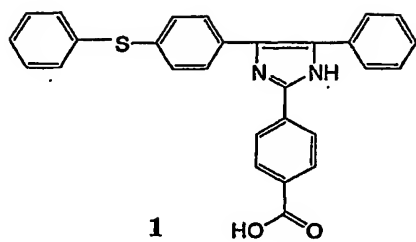


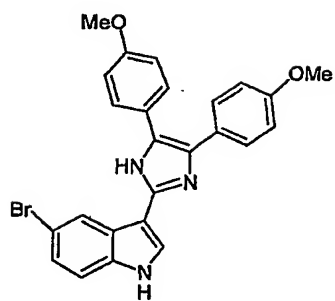
XXIII

wherein:

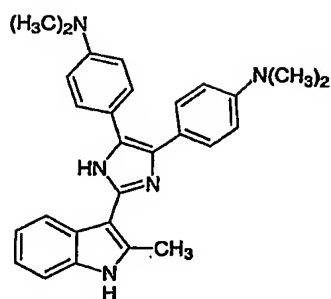
R5, R6, R7, R8, R9, R11 and R12 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkylalkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

Compounds of the present invention include, but are not limited to the following exemplary compounds:

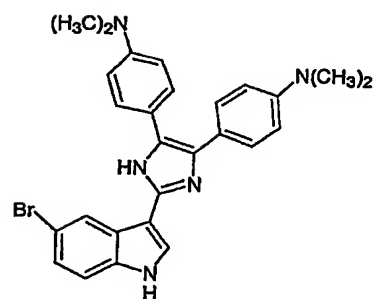




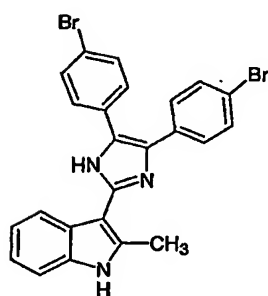
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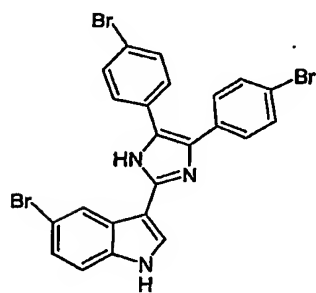
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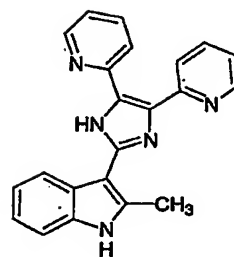
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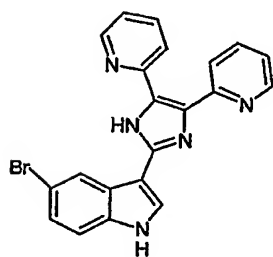
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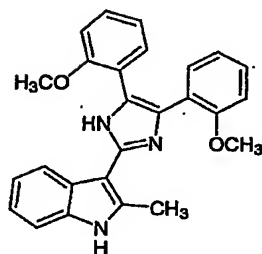
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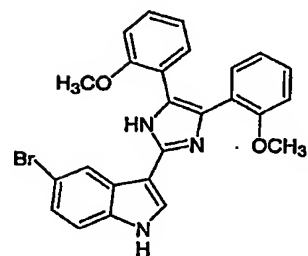
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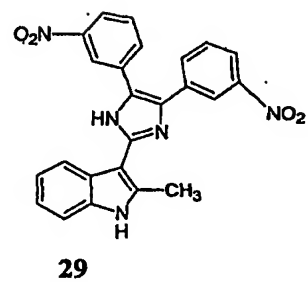
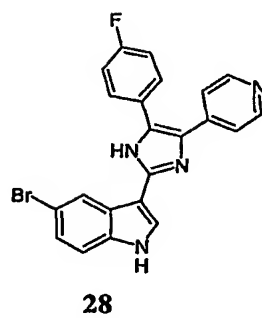
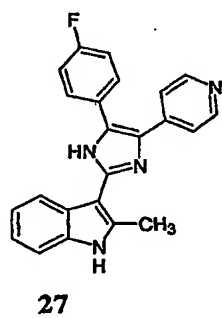
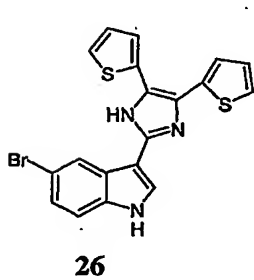
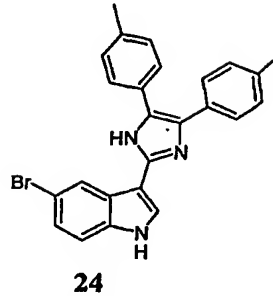
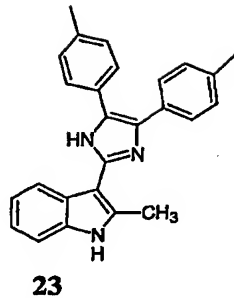
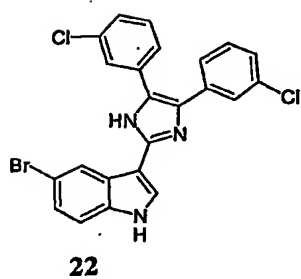
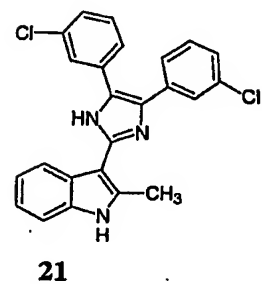
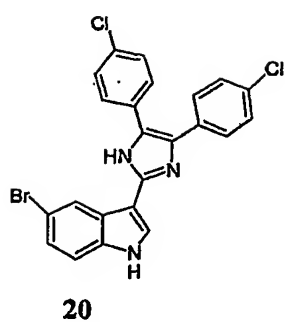
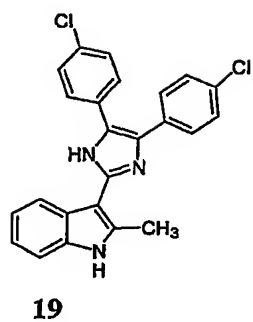
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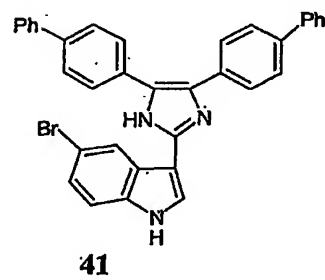
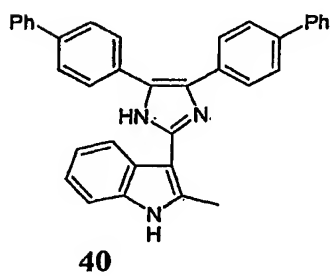
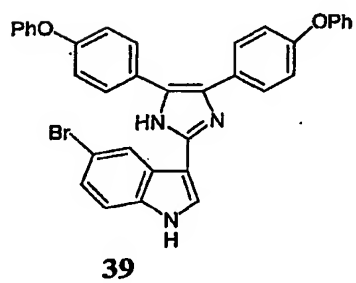
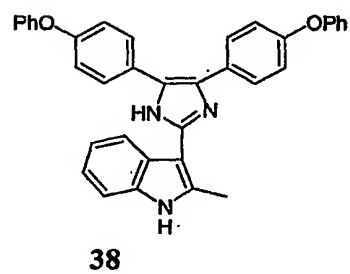
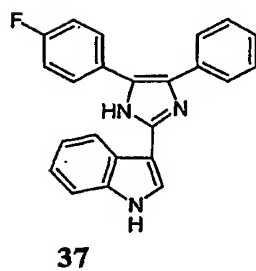
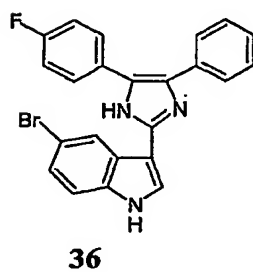
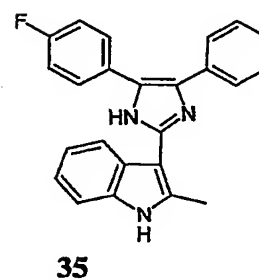
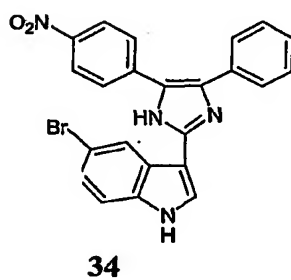
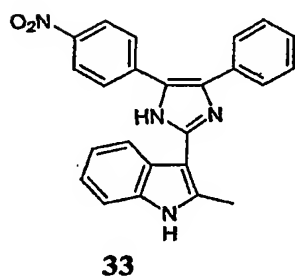
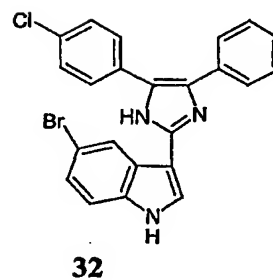
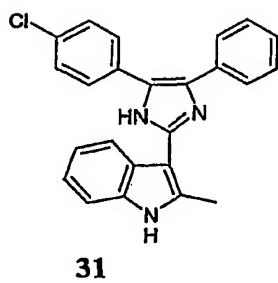
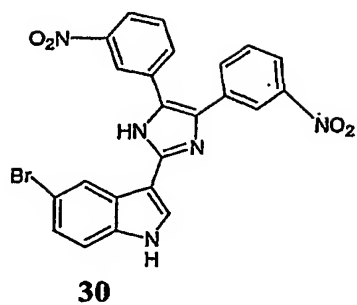


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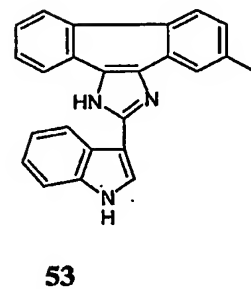
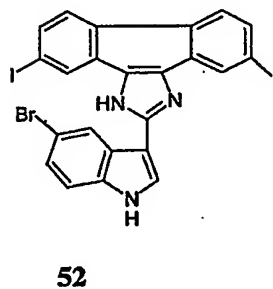
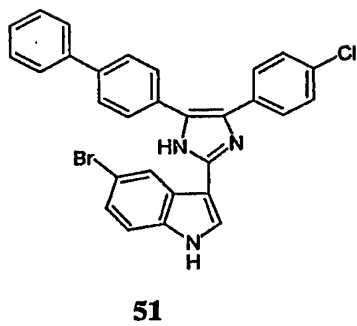
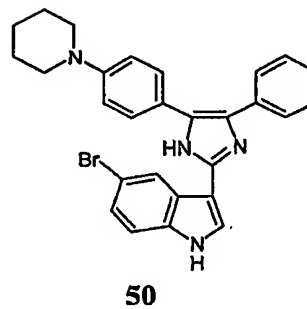
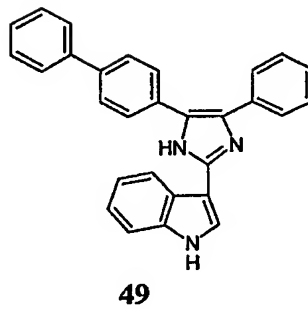
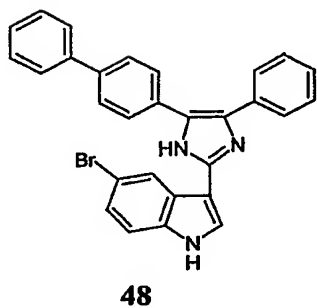
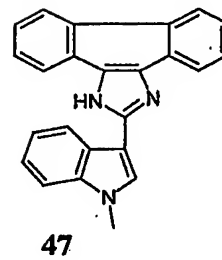
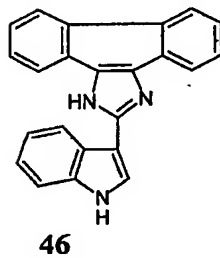
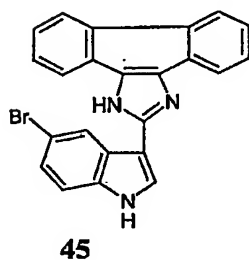
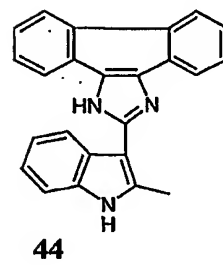
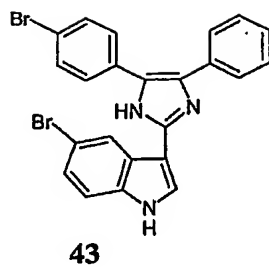
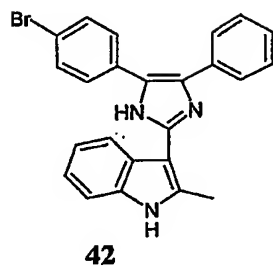


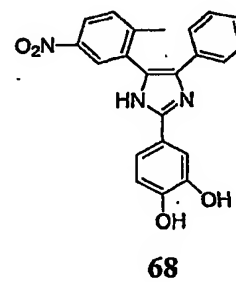
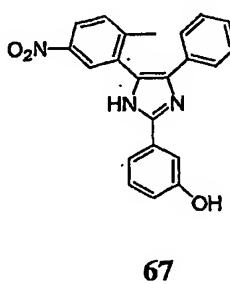
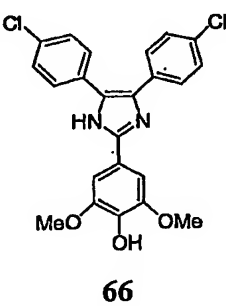
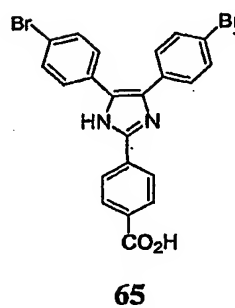
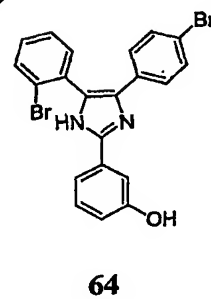
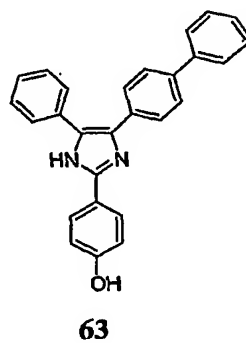
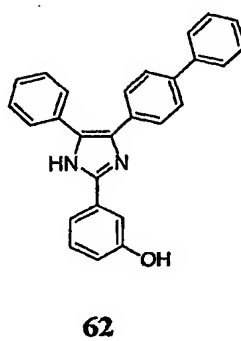
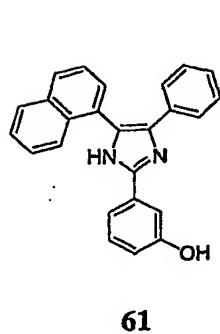
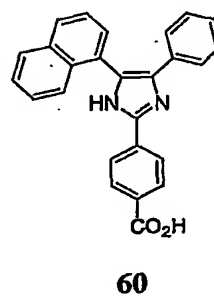
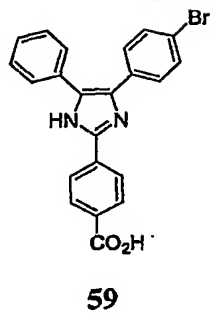
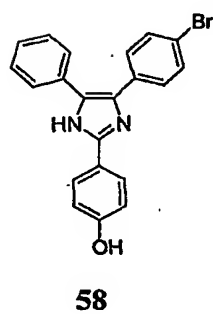
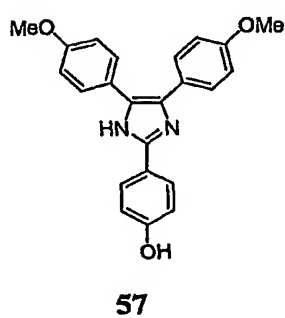
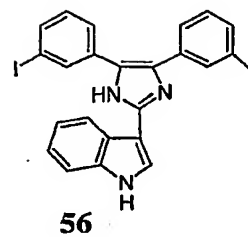
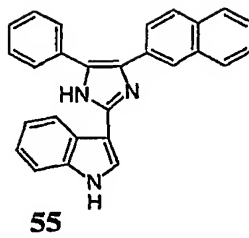
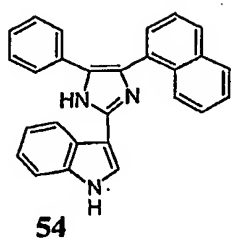
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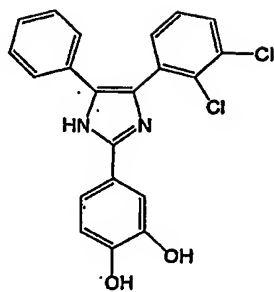




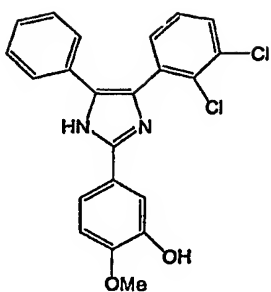




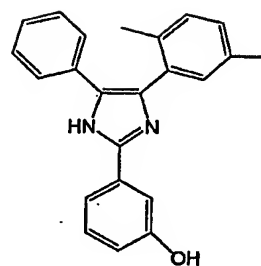




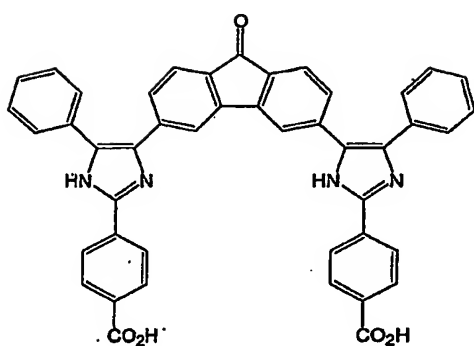
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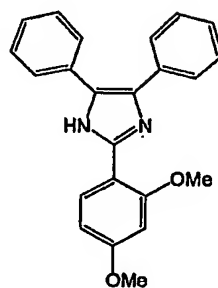
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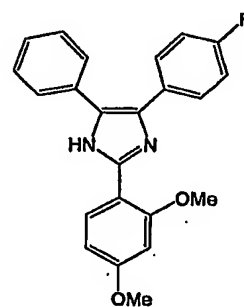
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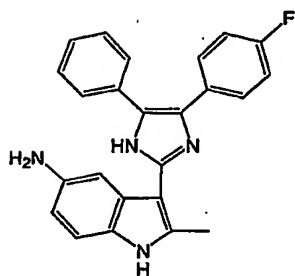
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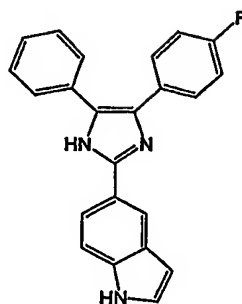
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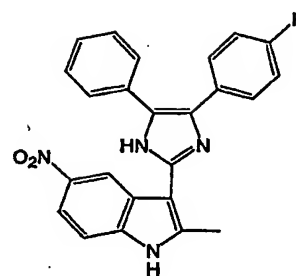
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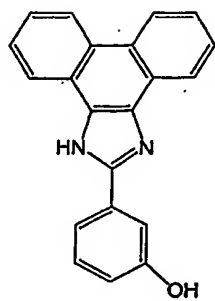
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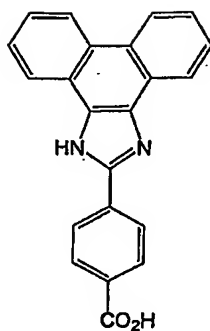
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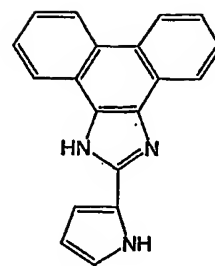
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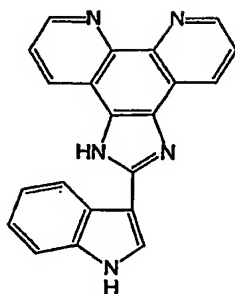
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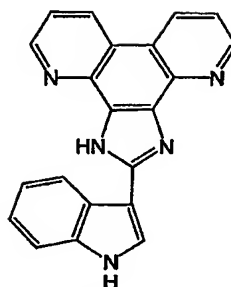
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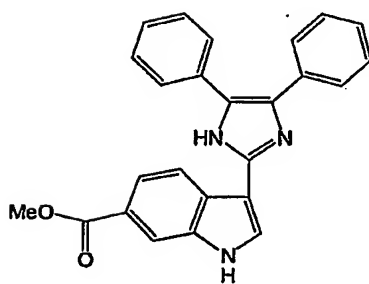
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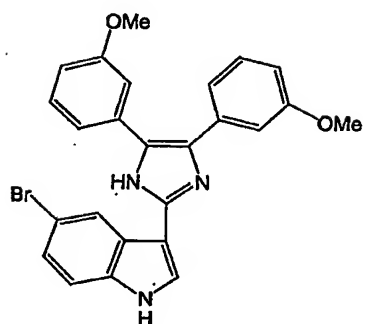
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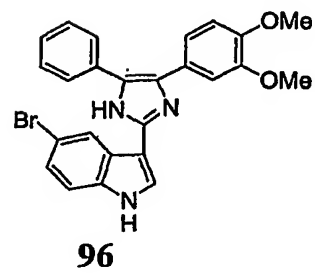
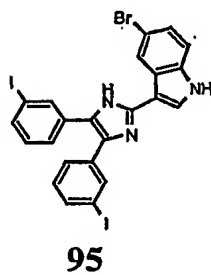
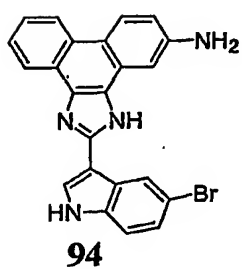
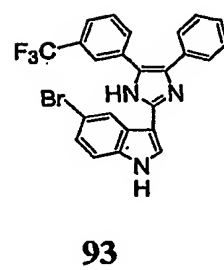
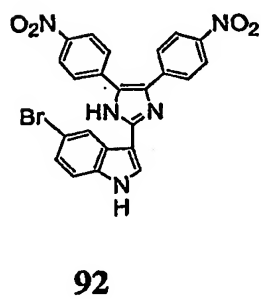
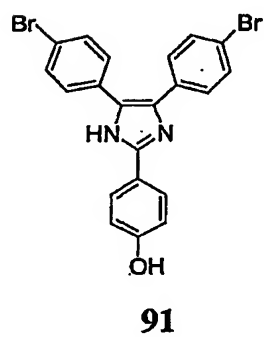
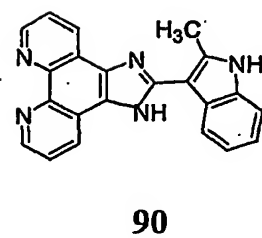
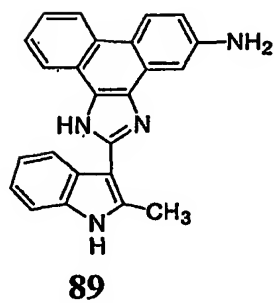
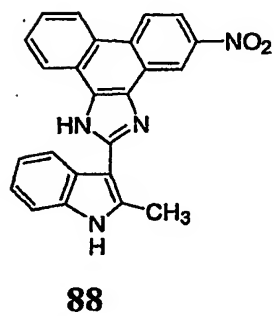
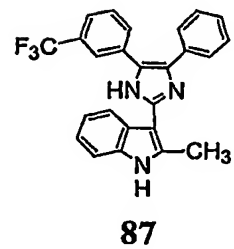
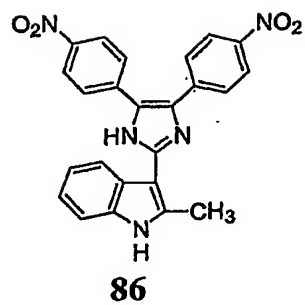
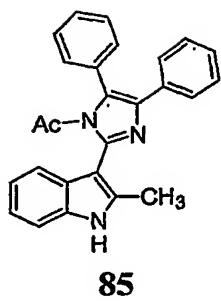
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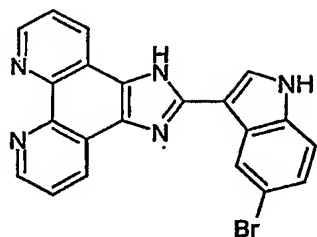


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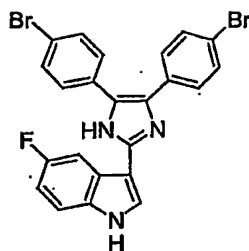


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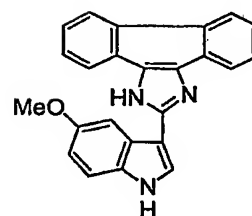




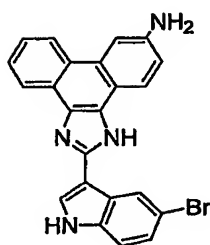
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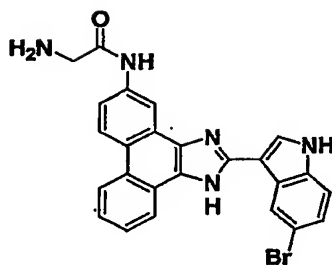
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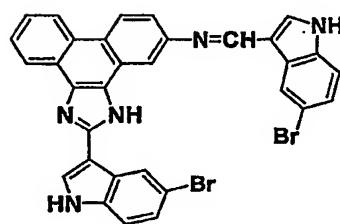
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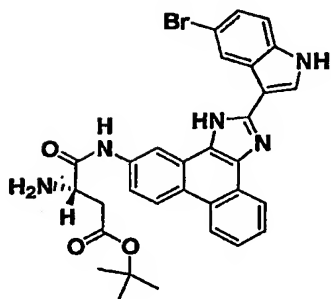
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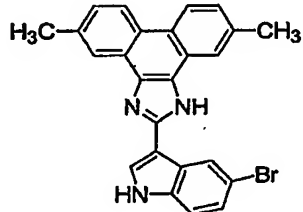
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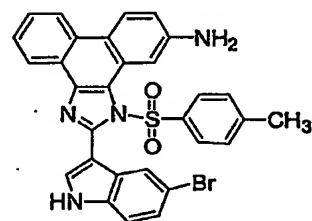
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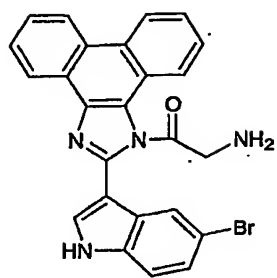
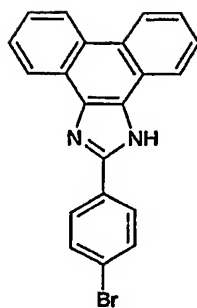
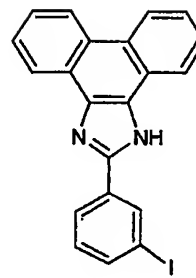
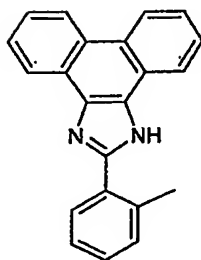
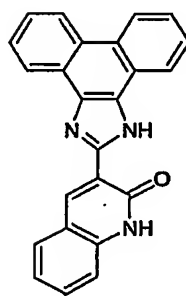
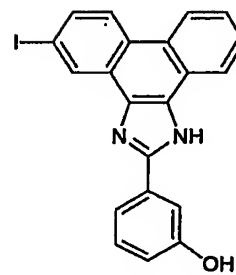
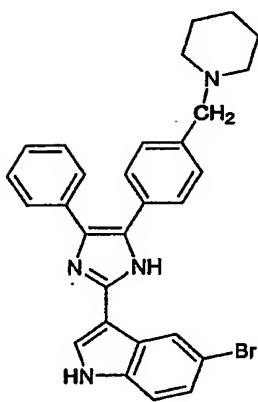
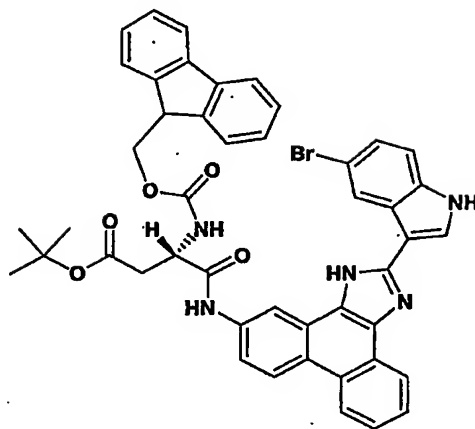
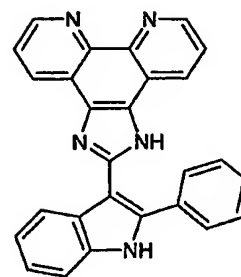
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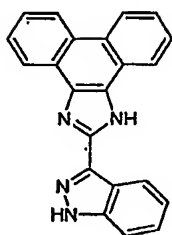


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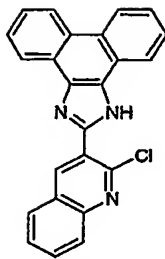


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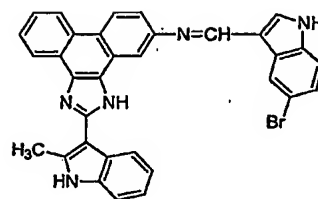
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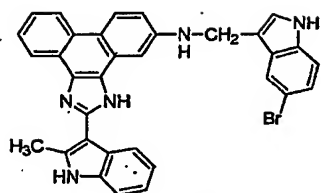
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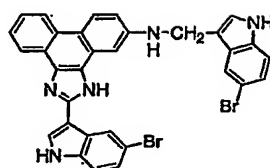
116



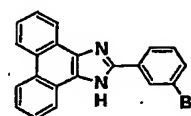
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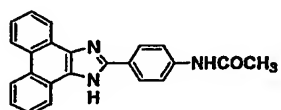
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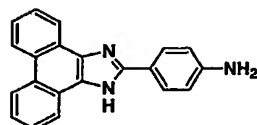
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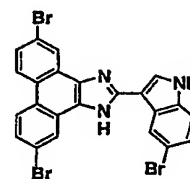
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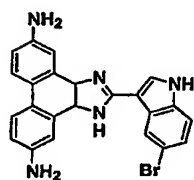
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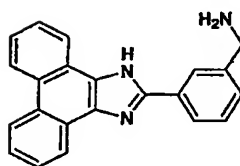
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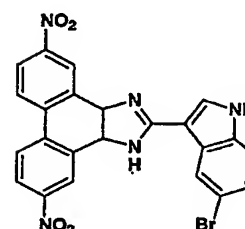
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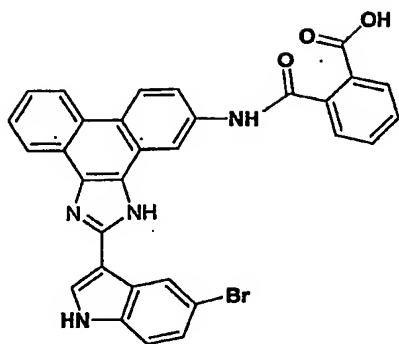


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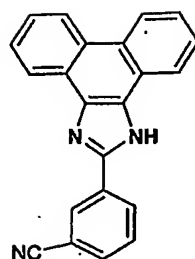


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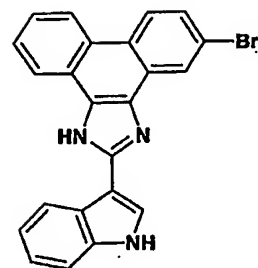




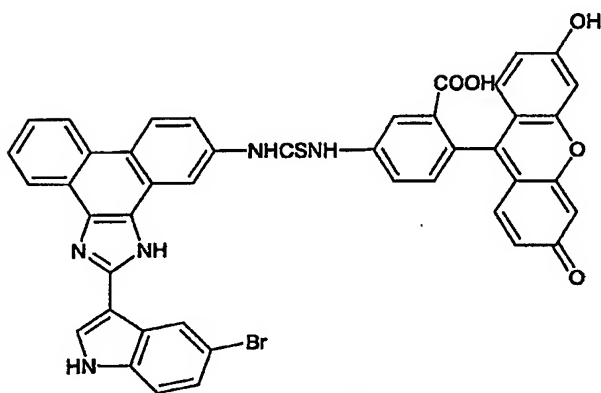
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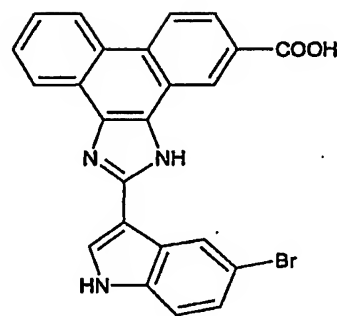
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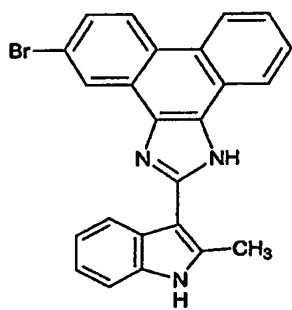
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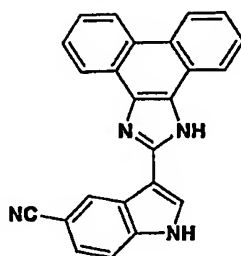
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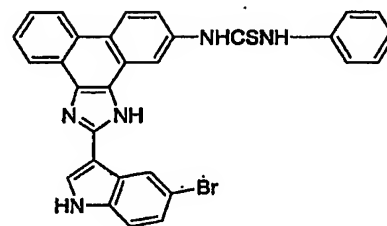
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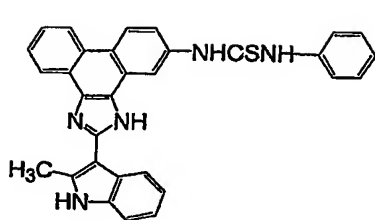
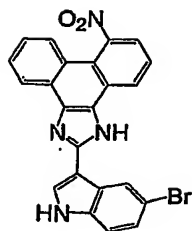
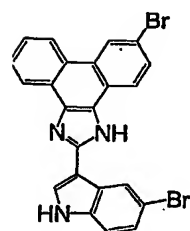
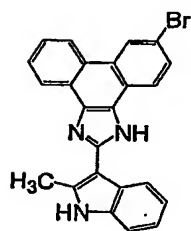
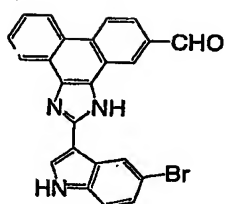
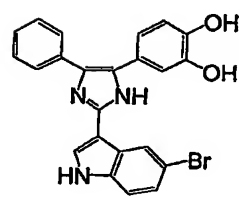
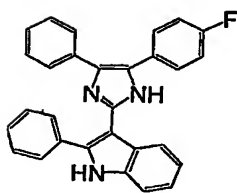
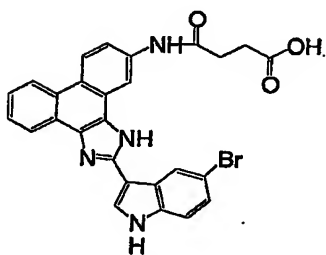
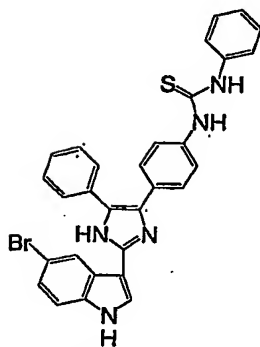
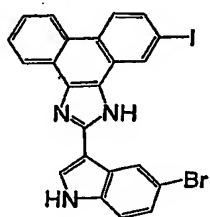
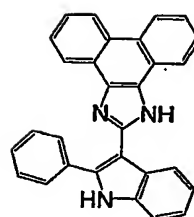
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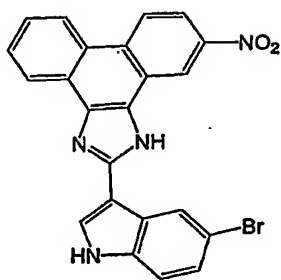


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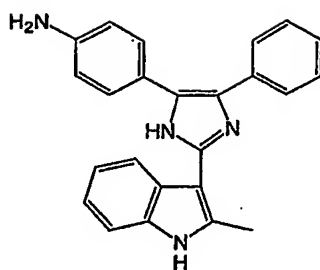


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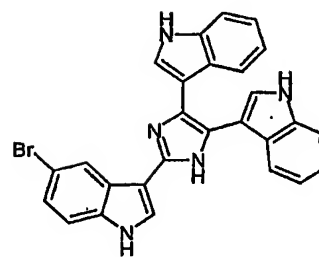
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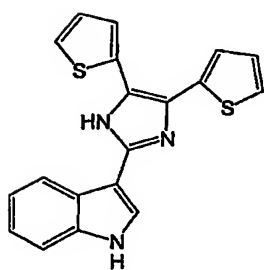
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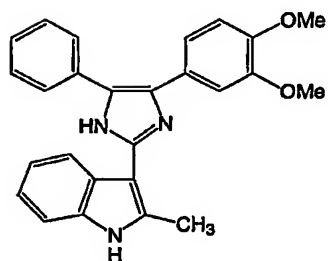
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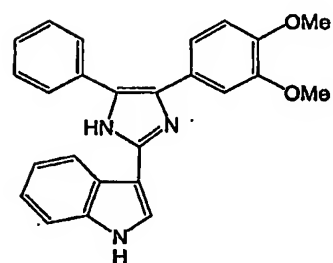
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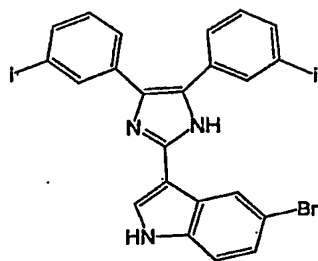
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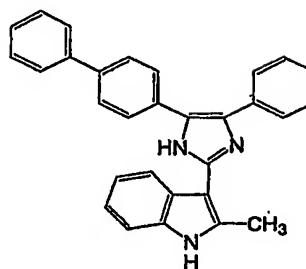
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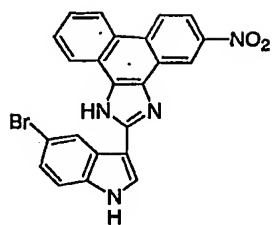
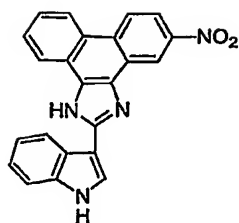
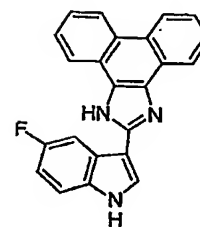
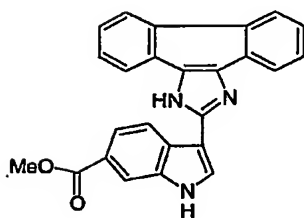
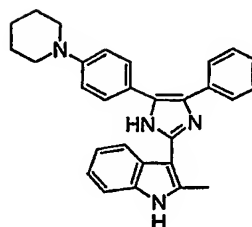
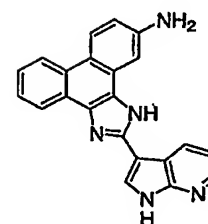
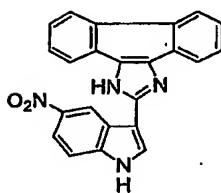
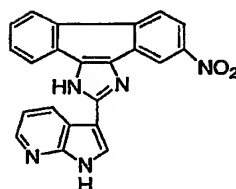
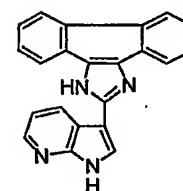
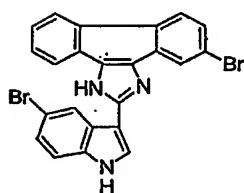
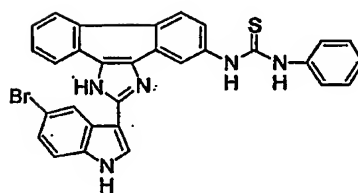
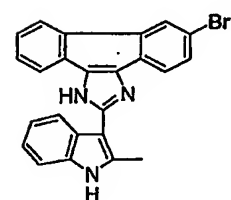
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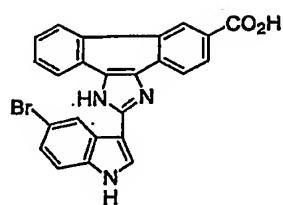


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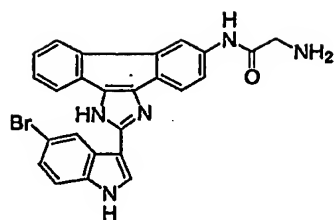


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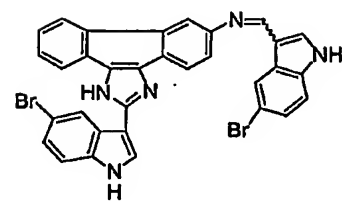
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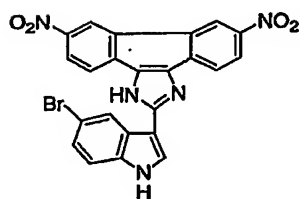
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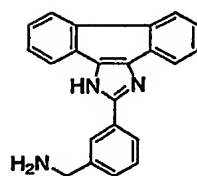
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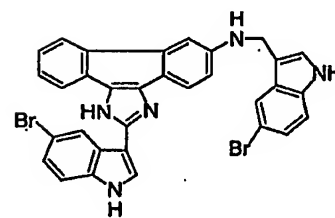
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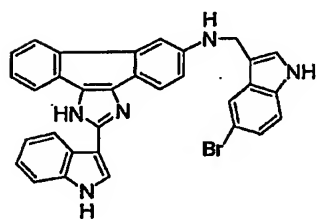
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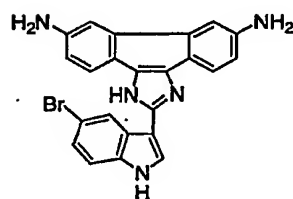
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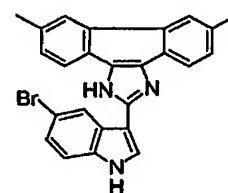
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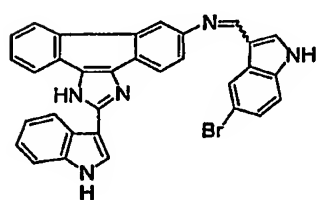
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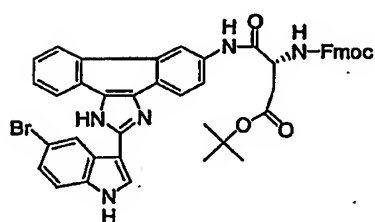
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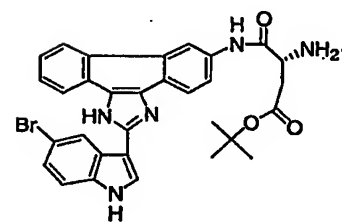
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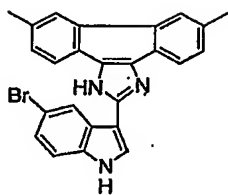
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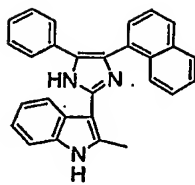
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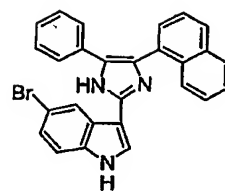
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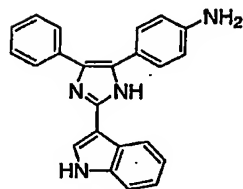
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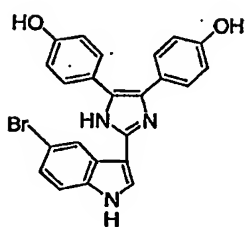
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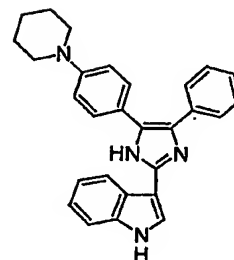
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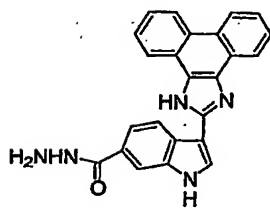
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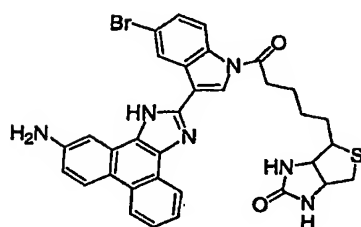
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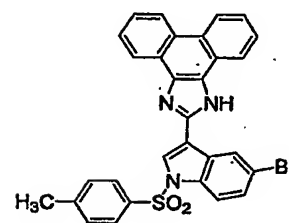
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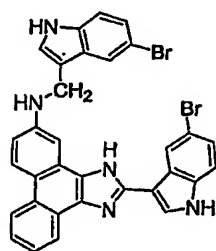
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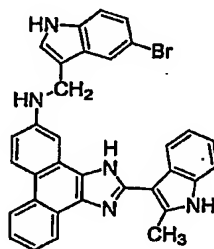
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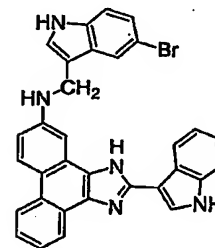
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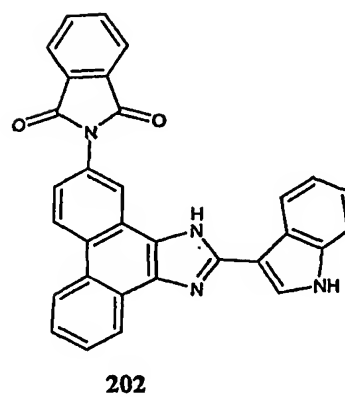
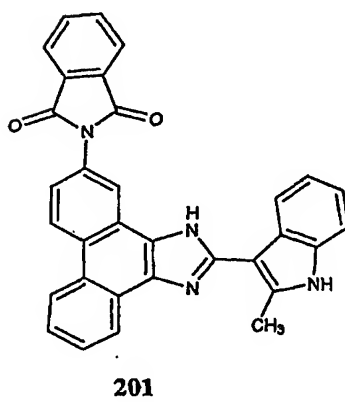
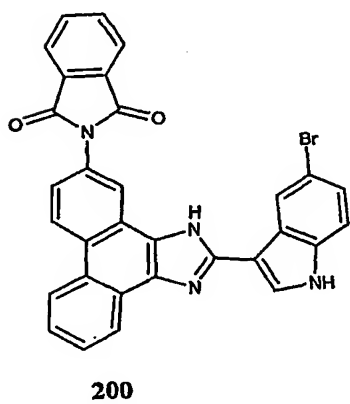
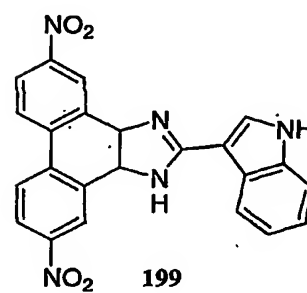
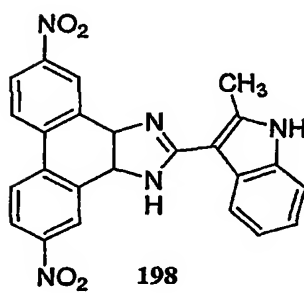
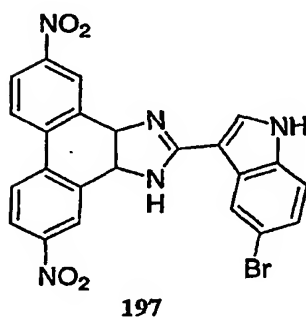
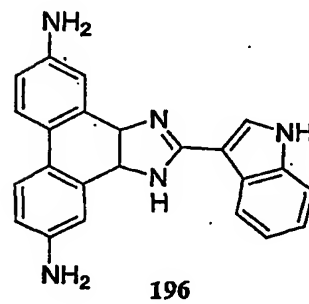
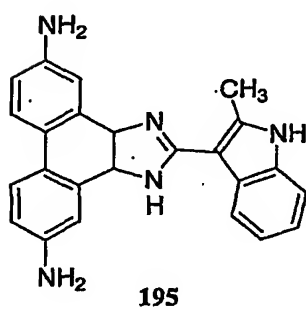
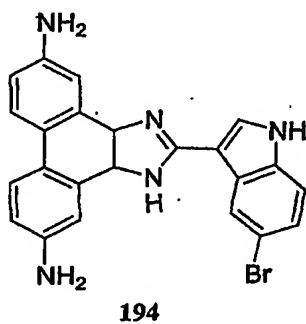
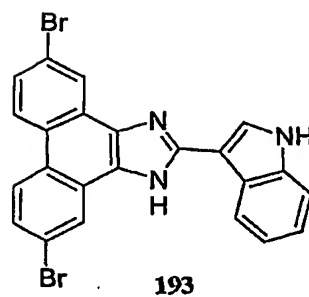
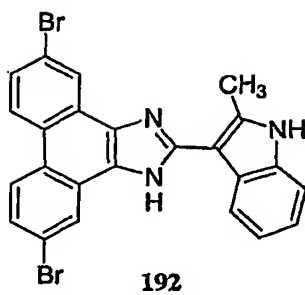
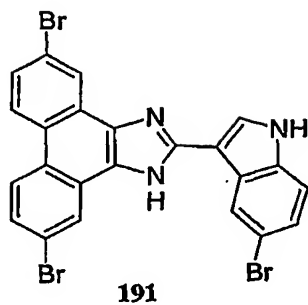
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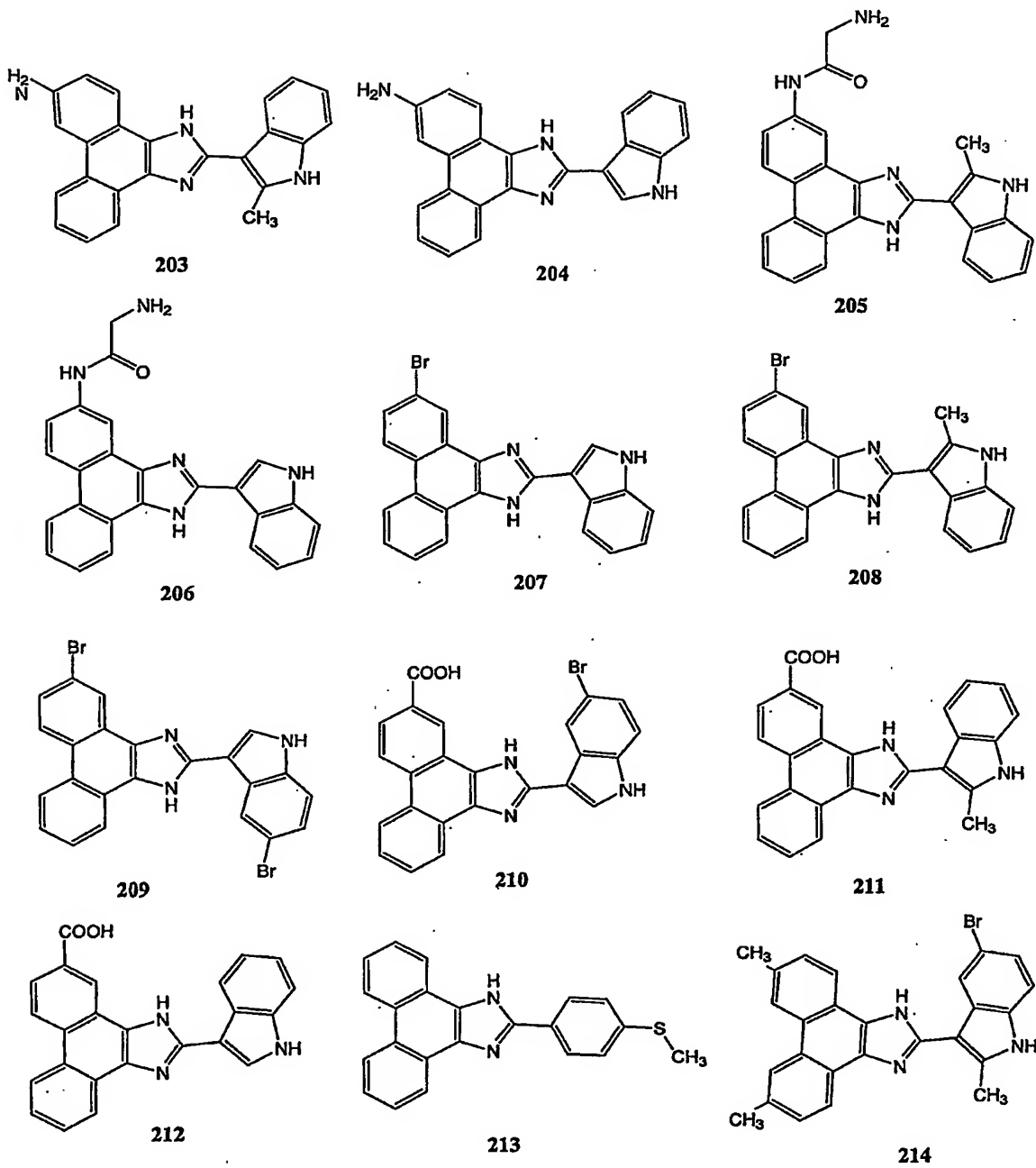


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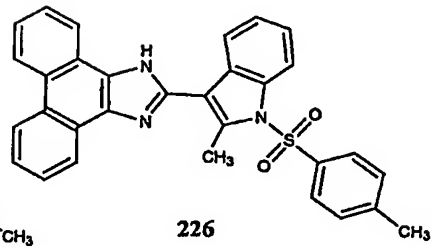
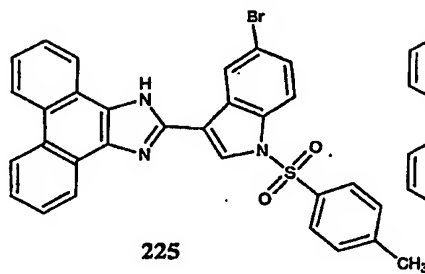
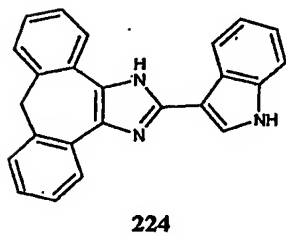
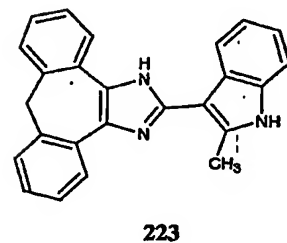
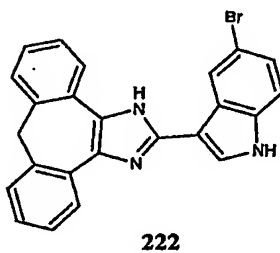
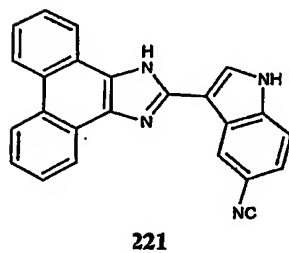
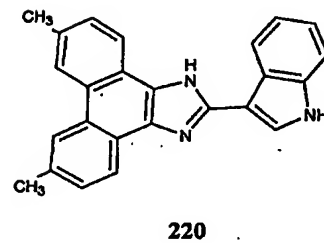
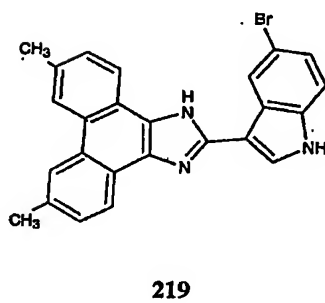
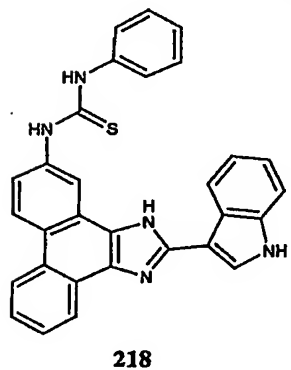
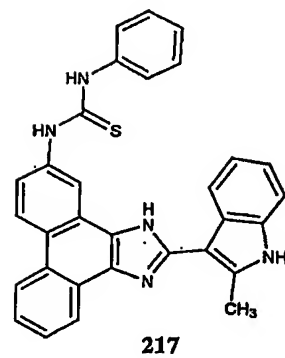
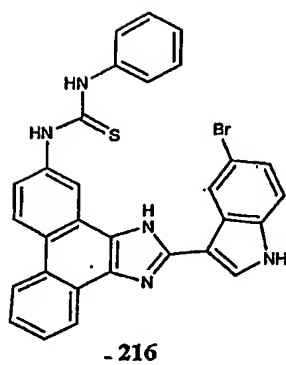
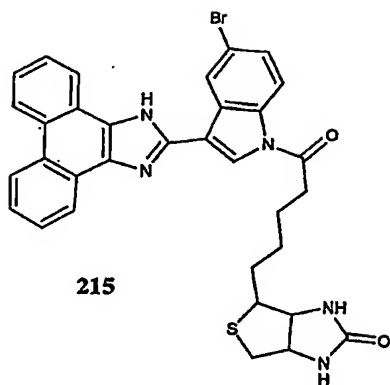


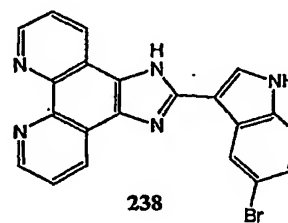
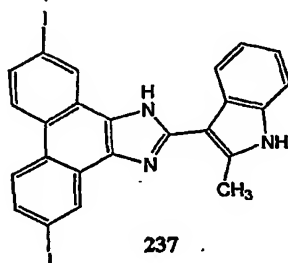
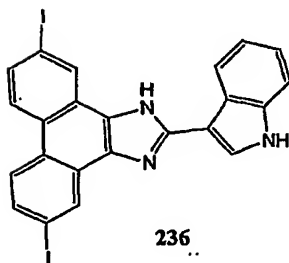
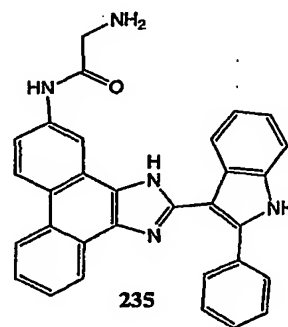
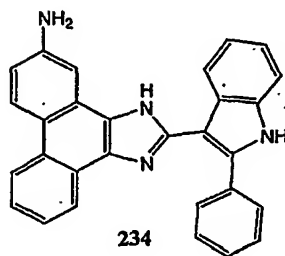
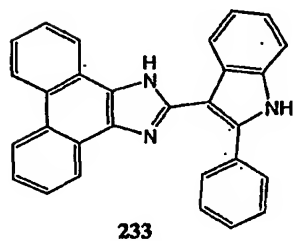
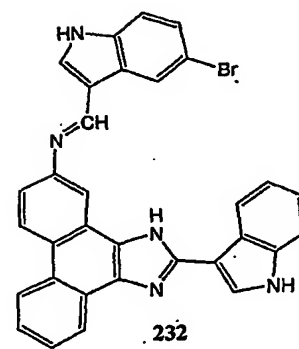
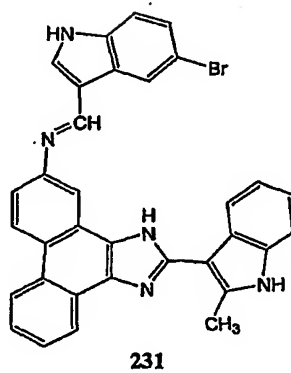
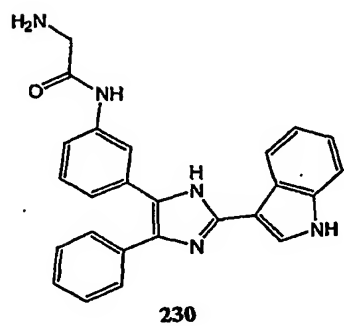
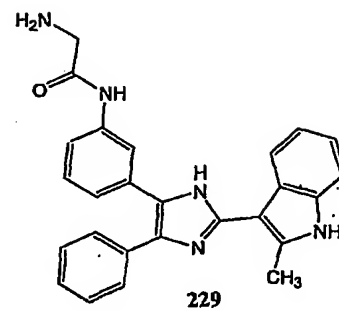
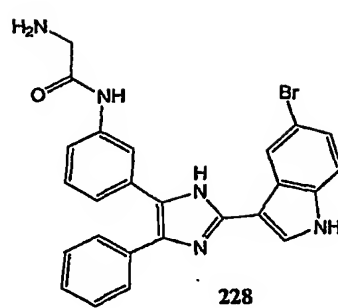
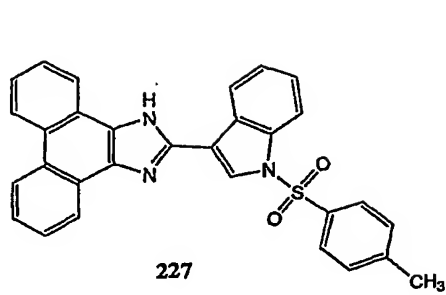
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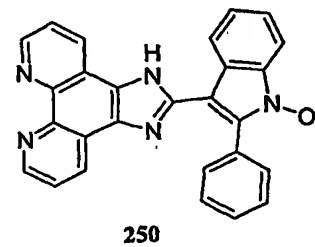
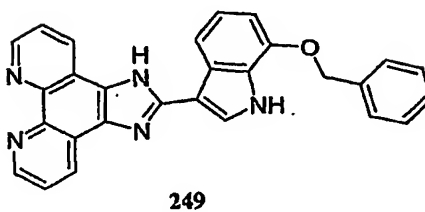
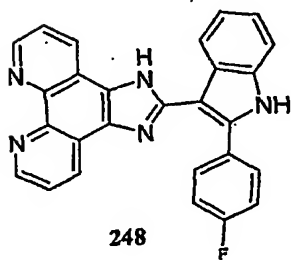
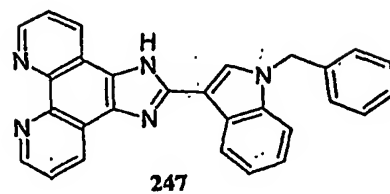
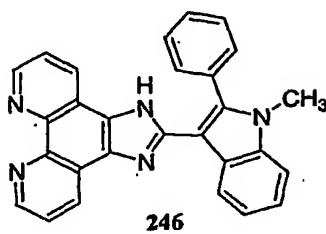
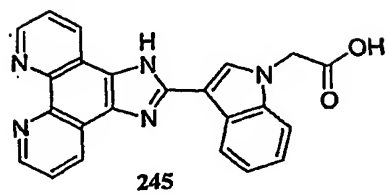
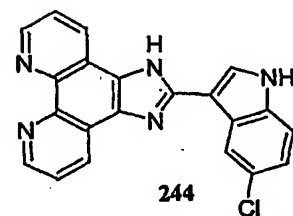
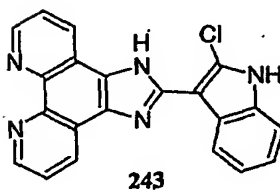
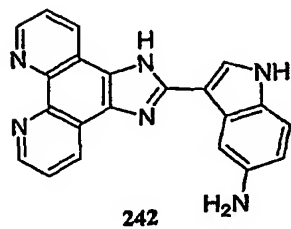
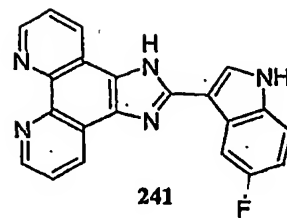
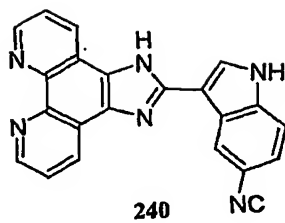
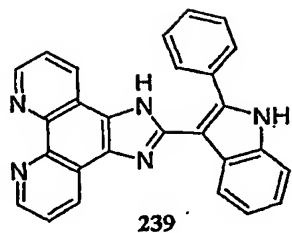


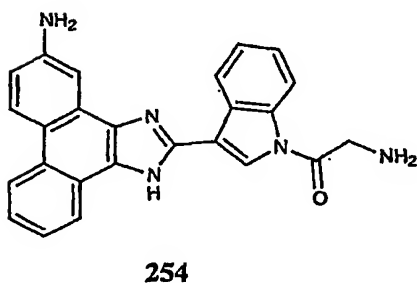
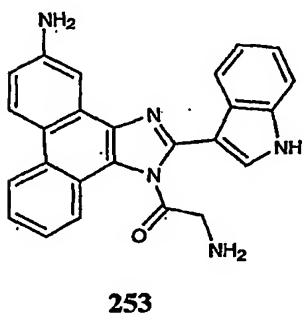
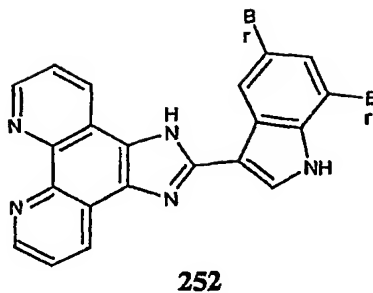
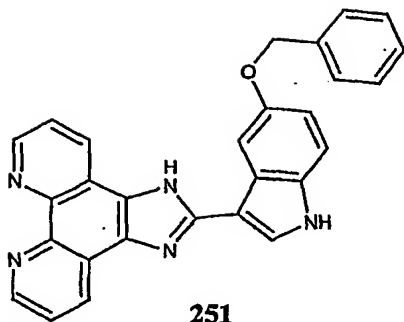












The present invention includes pharmaceutically acceptable salts of the compounds defined by Formula I. Compounds according to the present invention can possess a sufficiently acidic, a sufficiently basic, or both functional groups, and accordingly react with a number of organic and inorganic bases, and organic and inorganic acids, to form pharmaceutically acceptable salts.

The term "pharmaceutically acceptable salt" as used herein, refers to a salt of a compound of Formula I, which is substantially non-toxic to living organisms. Typical pharmaceutically acceptable salts include those salts prepared by reaction of the compound of the present invention with a pharmaceutically acceptable mineral or organic acid or an organic or inorganic base. Such salts are known as acid addition and base addition salts.

Acids commonly employed to form acid addition salts are inorganic acids such as hydrochloric acid, hydrobromic acid, hydroiodic acid, sulphuric acid, phosphoric acid, and the like, and organic acids such as *p*-toluenesulphonic acid, methanesulphonic acid, oxalic acid, *p*-bromophenylsulphonic acid, carbonic acid, succinic acid, citric

acid, benzoic acid, acetic acid, and the like. Examples of such pharmaceutically acceptable salts are the sulphate, pyrosulphate, bisulphate, sulphite, phosphate, monohydrogenphosphate, dihydrogenphosphate, metaphosphate, pyrophosphate, bromide, iodide, acetate, propionate, decanoate, caprylate, acrylate, formate, 5 hydrochloride, dihydrochloride, isobutyrate, caproate, heptanoate, propiolate, oxalate, malonate, succinate, suberate, sebacate, fumarate, maleate, butyne-1,4-dioate, hexyne-1,6-dioate, benzoate, chlorobenzoate, methylbenzoate, hydroxybenzoate, methoxybenzoate, phthalate, xylenesulphonate, phenylacetate, phenylpropionate, phenylbutyrate, citrate, lactate, gamma-hydroxybutyrate, glycolate, tartrate, 10 methanesulphonate, propanesulphonate, naphthalene-1-sulfonate, naphthalene-2-sulfonate, mandelate and the like. Preferred pharmaceutically acceptable acid addition salts are those formed with mineral acids such as hydrochloric acid and hydrobromic acid, and those formed with organic acids such as maleic acid and methanesulphonic acid.

15 Salts of amine groups may also comprise quarternary ammonium salts in which the amino nitrogen carries a suitable organic group such as an alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, or aralkyl moiety.

Base addition salts include those derived from inorganic bases, such as ammonium or alkali or alkaline earth metal hydroxides, carbonates, bicarbonates, and the like. Bases 20 useful in preparing the salts of this invention thus include sodium hydroxide, potassium hydroxide, ammonium hydroxide, potassium carbonate, sodium carbonate, sodium bicarbonate, potassium bicarbonate, calcium hydroxide, calcium carbonate, and the like.

One skilled in the art will understand that the particular counterion forming a part of a 25 salt of this invention is usually not of a critical nature, so long as the salt as a whole is pharmacologically acceptable and as long as the counterion does not contribute undesired qualities to the salt as a whole. The present invention further encompasses the pharmaceutically acceptable solvates of a compound of Formula I. Many of the compounds of Formula I can combine with solvents such as water, methanol, ethanol

and acetonitrile to form pharmaceutically acceptable solvates such as the corresponding hydrate, methanolate, ethanolate and acetonitrilate.

The compounds of the present invention may have multiple asymmetric (chiral) centres. As a consequence of these chiral centres, the compounds of the present invention occur as racemates, mixtures of enantiomers and as individual enantiomers, as well as diastereomers and mixtures of diastereomers. All asymmetric forms, individual isomers and combinations thereof, are within the scope of the present invention.

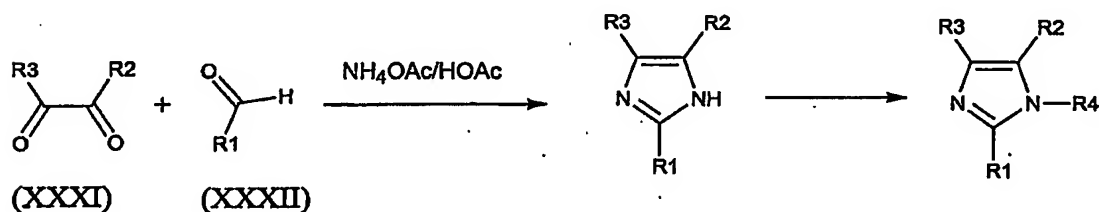
It will be readily understood by one skilled in the art that if the stereochemistry of a compound of Formula I is critical to its activity, then the relative stereochemistry of the compound is established early during synthesis to avoid subsequent stereoisomer separation problems. Further manipulation of the molecule will then employ stereospecific procedures so as to maintain the desired chirality.

Non-toxic metabolically-labile esters or amides of a compound of Formula I are those that are hydrolysed *in vivo* to afford the compound of Formula I and a pharmaceutically acceptable alcohol or amine. Examples of metabolically-labile esters include esters formed with (1-6C) alkanols, in which the alkanol moiety may be optionally substituted by a (1-8C) alkoxy group, for example methanol, ethanol, propanol and methoxyethanol. Non-limiting examples of metabolically-labile amides include amides formed with amines such as methylamine.

## II. Preparation of Compounds of Formula I

As is known in the art, triaryl imidazole compounds can be prepared by a number of standard techniques. Compounds of Formula I, therefore, can be prepared by several general synthetic methods, for example, as described by Grimmett, (Grimmett, M.R., *Comprehensive Heterocyclic Chemistry: The Structure, Reaction, Synthesis and Uses of Heterocyclic Compounds*, A. R. Katritzky and C. W. Rees, eds., Vol. 5, Pergamon Press, Oxford, 1984, pp. 457-498; Grimmett, M. R., *Imidazole and Benzimidazole Synthesis*, Academic Press, San Diego CA, 1997).

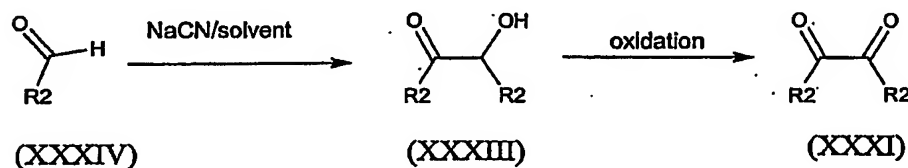
In one embodiment of the present invention, compounds of Formula I are prepared *via* solution or solid phase synthesis, by reacting a dione of Formula II with the aldehyde (III) at elevated temperature in the presence of ammonium acetate in acetic acid (see, for example, Krieg *et al.*, *Naturforsch.* 1967, 22b:132; Sarshar *et al.*, *Tetrahedron Lett.* 1996, 37:835-838).



The compounds of Formula (XXXI) and (XXXII) are either commercially available or may be prepared using standard procedures known to a person skilled in the relevant art. Compounds of Formula (XXXI), therefore, can be prepared by several general synthetic methods, for example, as described by: Fischer *et. al* (*J. Am. Chem. Soc.* 1961, 83, 4208-4210); Guijarro *et al.* (*J. Am. Chem. Soc.* 1999, 121, 4155-4157); Chi *et. al.* (*Synth. Comm.* 1994, 24(15), 2119-2122) and Armesto *et. al.* (*Synthesis*, 1988, 799-801).

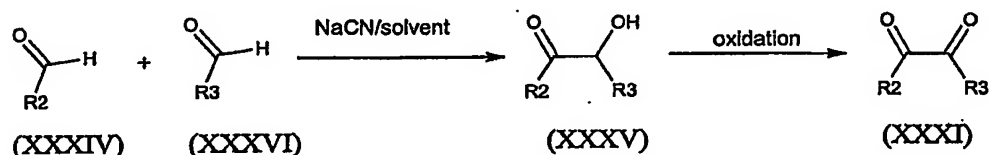
Compounds of formula XXXI can also be prepared:

- i) by oxidizing a compound of formula (XXXIII). Compounds of formula (XXXIII), in turn can be prepared by reacting a compounds of formula (XXXIV) with sodium cyanide in the presence of a solvent as shown below, wherein R3 = R2 and R2 is as defined above:



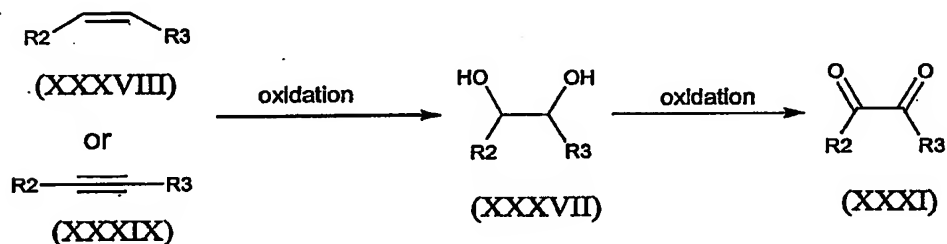
or,

- ii) by oxidizing a compound of formula (XXXV). Compounds of formula (XXXV), in turn can be prepared by treating a compound of formula (XXXIV) and a compound of formula (XXXVI) with sodium cyanide in the presence of a solvent as shown below, wherein R2 and R3 are as defined above:



or,

- iii) by oxidizing a compound of formula (XXXVII). Compounds of formula (XXXVII) in turn can be prepared by oxidizing a compound of formula (XXXVIII) or (XXXIX) as shown below, wherein R2 and R3 are as defined above:



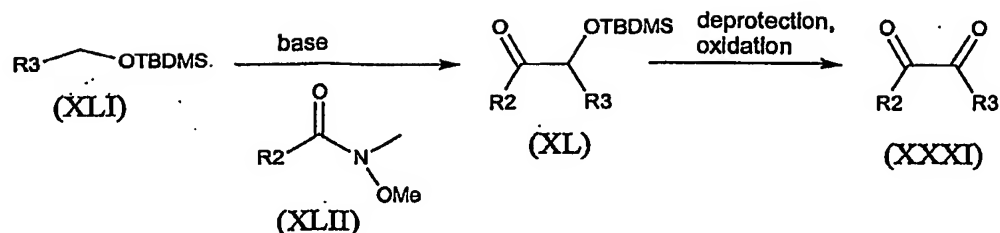
or,

- iv) by oxidizing a compound of formula (XXXIX) using PdCl<sub>2</sub> in DMSO,

or,

- v) by deprotecting and oxidizing a compound of formula (XL). Compounds of formula (XL) in turn can be prepared by reacting a compound of formula (XLI) with a compound of formula (XLII) in the presence of a suitable base:

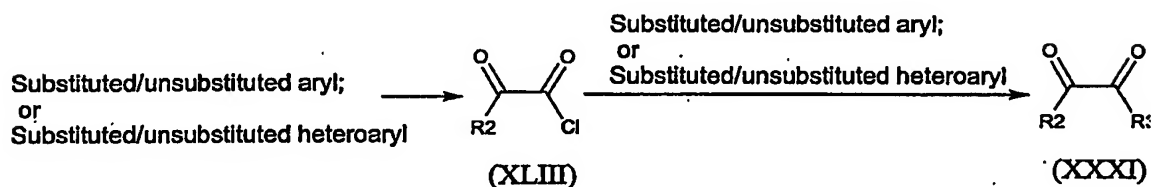




wherein R2 and R3 are independently aryl, substituted aryl, heteroaryl or substituted heteroaryl,

5 or,

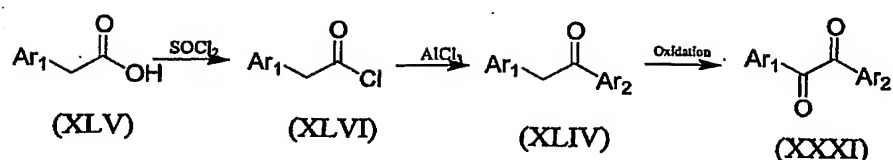
vi) by reacting a compound of formula (XLIII) with a substituted or unsubstituted aryl or substituted or unsubstituted heteroaryl under Friedel-Crafts acylation conditions or by nucleophilic displacement of the chloride in compound of formula (XLIII). Compounds of formula (XLIII) in turn can be prepared by reacting a substituted or unsubstituted aryl or substituted heteroaryl or unsubstituted heteroaryl with oxalyl chloride under Friedel-Crafts acylation conditions:



wherein R2 and R3 are independently aryl, substituted aryl, heteroaryl or substituted heteroaryl;

or

vii) by oxidising a compound of formula (XLIV). Compounds of formula (XLIV) in turn can be prepared by reacting a compound of formula (XLV) with thionyl chloride in benzene with catalytic dimethylformamide to form an intermediate (XLVI). This intermediate (XLVI) is then used directly without purification in a Freidel-Crafts reaction to produce the ketone (XLIV).



### III. Anti-cancer Activity of Compounds of Formula I

The ability of a candidate compound of Formula I to inhibit neoplastic cell growth and/or proliferation can be tested using standard techniques known in the art. In addition, compounds of Formula I that demonstrate inhibitory activity may be further tested *in vitro* and/or *in vivo* in combination with various known chemotherapeutics to evaluate their potential use in combination therapies. Exemplary methods of testing candidate compounds of Formula I are provided below and in the Examples included herein. One skilled in the art will understand that other methods of testing the compounds are known in the art and are also suitable for testing candidate compounds.

#### A. In vitro Testing

Candidate compounds of Formula I can be assayed initially *in vitro* for their ability to inhibit cell growth (*i.e.* their cytotoxicity) using standard techniques. In general, cells of a specific test cell line (typically a cancer cell line) are grown to a suitable density (e.g. approximately  $1 \times 10^4$ ) and the candidate compound is added. After an appropriate incubation time (typically between about 48 to 74 hours), cell survival is assessed, for example, by assaying for tetrazolium salt (or modified tetrazolium salt) cleavage, or by using the resazurin reduction test (see Fields & Lancaster (1993) *Am. Biotechnol. Lab.* 11:48-50; O'Brien *et al.*, (2000) *Eur. J. Biochem.* 267:5421-5426 and U.S. Patent No. 5,501,959), the sulforhodamine assay (Rubinstein *et al.*, (1990) *J. Natl. Cancer Inst.* 82:113-118) or the neutral red dye test (Kitano *et al.*, (1991) *Euro. J. Clin. Investg.* 21:53-58; West *et al.*, (1992) *J. Investigative Derm.* 99:95-100). Inhibition of cell growth is determined by comparison of cell survival in the treated culture with cell survival in one or more control cultures, for example, cultures not pre-treated with the candidate compound and/or those pre-treated with a control

compound (typically a known therapeutic). Other suitable techniques for assessing cytotoxicity are known in the art.

Assays that measure metabolic activity (such as tetrazolium-based assays) can also be used to assess the effect of candidate compounds on cell activation and /or proliferation, due the fact that proliferating cells are metabolically more active than  
5 resting cells.

Candidate compounds can also be tested *in vitro* for their ability to inhibit anchorage-independent growth of tumour cells. Anchorage-independent growth is known in the art to be a good indicator of tumourigenicity. In general, anchorage-independent  
10 growth is assessed by plating cells from an appropriate cancer cell-line onto soft agar and determining the number of colonies formed after an appropriate incubation period. Growth of cells treated with the candidate compound can then be compared with that of cells treated with an appropriate control (as described above).

A variety of cancer cell-lines suitable for testing the candidate compounds are known  
15 in the art. In one embodiment of the present invention, *in vitro* testing of the candidate compounds is conducted in a human cancer cell-line. Examples of suitable human cancer cell-lines for *in vitro* testing of the compounds of the present invention include, but are not limited to, colon and colorectal carcinoma cell lines such as HT-29, CaCo, LoVo, COLO320 and HCT-116; non small cell lung cancer cell lines such as NCI-  
20 H460, small cell lung cancer cell lines such as H209; breast cancer cell lines such as MCF-7, T47D and MDA-MB-231; ovarian cancer cell lines such as SK-OV-3; prostate cancer cell lines such as PC-3 and DU-145; chronic myeloid leukaemia cell lines such as K562; bladder cancer cell lines such as T24; brain cancer cell lines such as U-87-MG; pancreatic cancer cell lines such as AsPC-1, SU.86.86 and BxPC-3;  
25 kidney cancer cell lines such as A498 and Caki-1; liver cancer cell lines such as HepG2, and skin cancer cell lines such as A2058 and C8161. Drug-resistant cancer cell lines can be used to determine the ability of the compounds of the present invention to inhibit growth and/or proliferation of drug- or multi-drug resistant neoplastic cells.

The selectivity of the candidate compounds of Formula I may also be tested, *i.e.* the ability of the compound to demonstrate some level of selective action toward neoplastic (or cancer) cells in comparison to normal proliferating cells. An exemplary method of assessing the differential sensitivity between normal and cancer cells for a compound has been described by Vassilev *et al.* (*Anti-Cancer Drug Design* (2001) 16:7). This method involves the comparison of IC<sub>90</sub> values, *i.e.* the molar concentration of a test compound required to cause 90% growth inhibition of exponentially growing cells. Thus, the IC<sub>90</sub> values for candidate compounds can be evaluated in various cancer cell lines (such as those outlined above) and normal cells (such as HUVEC and/or WI38 cells) and compared. IC<sub>90</sub> values can be measured using a variety of standard techniques including those described above for cytotoxicity testing.

While the mechanism of action of the compounds of Formula I is not relevant to the instant invention, assays to investigate potential mechanisms of action of the compounds may be conducted if desired in order to provide information useful in determining what aspects of tumour growth the compounds affect. This type of information may help to determine cancer types that will benefit from treatment with the compounds. Examples of such assays include, but are not limited to, cell-cycle analysis (for example, employing flow cytometry techniques), apoptosis assays (such as DNA fragmentation analysis), anti-angiogenesis assays (for example, various Matrigel assays, including cord formation and Matrigel plug assays) and immunohistochemical analysis.

Toxicity of the candidate compounds can also be initially assessed *in vitro* using standard techniques. For example, human primary fibroblasts can be treated *in vitro* with a compound of Formula I and then tested at different time points following treatment for their viability using a standard viability assay, such as the assays  
5 described above or the trypan-blue exclusion assay. Cells can also be assayed for their ability to synthesize DNA, for example, using a thymidine incorporation assay, and for changes in cell cycle dynamics, for example, using a standard cell sorting assay in conjunction with a fluorocytometer cell sorter (FACS).

*B. In vivo Testing*

The ability of the candidate compounds to inhibit tumour growth, proliferation and/or metastasis *in vivo* can be determined in an appropriate animal model using standard techniques known in the art (see, for example, Enna, *et al.*, *Current Protocols in Pharmacology*, J. Wiley & Sons, Inc., New York, NY). Exemplary protocols are provided below and in the Examples. Non-limiting examples of suitable animal models are provided in Table 1.

In general, current animal models for screening anti-tumour compounds are xenograft models, in which a human tumour has been implanted into an animal. For example, the candidate compounds can be tested *in vivo* on solid tumours using mice that are subcutaneously grafted or injected with 30 to 60 mg of a tumour fragment, or an appropriate number of tumour cells (*e.g.* about  $10^6$  to  $10^7$ ) on day 0. The animals bearing tumours are mixed before being subjected to the various treatments and controls. In the case of treatment of advanced tumours, tumours are allowed to develop to the desired size, animals having insufficiently developed tumours being eliminated. The selected animals are distributed at random to undergo the treatments and controls. Animals not bearing tumours may also be subjected to the same treatments as the tumour-bearing animals in order to be able to dissociate the toxic effect from the specific effect on the tumour. Chemotherapy generally begins from 3 to 22 days after grafting, depending on the type of tumour, and the animals are observed every day. Candidate compounds can be administered to the animals, for example, by bolus infusion. The different animal groups are weighed about 3 or 4 times a week until the maximum weight loss is attained, after which the groups are weighed at least once a week until the end of the trial.

The tumours are measured about 2 or 3 times a week until the tumour reaches a pre-determined size and / or weight, or until a pre-determined time period has passed, or until the animal dies (if this occurs before the tumour reaches the pre-determined size / weight). The animals are then sacrificed and the tissue histology, size and / or proliferation of the tumour assessed.

If desired, one or more standard immunohistochemical tests may also be conducted on tissues isolated from the test animals in order to determine the effects of the compound on tumour growth, differentiation, apoptosis and/or angiogenesis. Examples of such tests include, but are not limited to, the use of specific antibodies (for example, antibodies against Ki-67 to assess proliferation, CD31 to assess angiogenesis, NK1.1 as an indication of the presence of NK cells, F4/80 as an indication of the presence of macrophages) and TUNEL assays to determine apoptosis.

Other models, such as orthopedic implantation of tumours into animals (*i.e.* the implantation of cancer cells of a certain type into the corresponding tissue in the animal, such as pancreatic cancer cells into the pancreas), may also be used to assess the effect of the candidate compounds on tumour growth and proliferation. In addition, the effect of the candidate compound on spontaneous tumours in normal mice can be assessed.

The effect of the candidate compounds on drug-resistant tumours can be assessed *in vivo* by utilising a drug- or multidrug-resistant cancer cell in the xenograft experiments.

For the study of the effect of the candidate compounds on haematologic tumours, such as lymphomas or leukaemias, the animals are grafted or injected with a particular number of cells, and the anti-tumour activity is determined by the increase in the survival time of the treated mice relative to the controls.

To study the effect of the candidate compounds on tumour metastasis, tumour cells are typically treated with the compound *ex vivo* and then injected into a suitable test animal. The spread of the tumour cells from the site of injection is then monitored over a suitable period of time.

The ability of the candidate compounds to act in combination with, or to sensitise a tumour to the effects of, another chemotherapeutic agent can also be tested in the above models. In this case, the test animals would be treated with both the chemotherapeutic agent and the candidate compound of Formula I. Control animals

could include animals treated with the chemotherapeutic alone, animals treated with the candidate compound alone and/or untreated animals.

*In vivo* toxic effects of the compounds of Formula I can be evaluated by standard techniques, for example, by measuring their effect on animal body weight during treatment and by performing haematological profiles and liver enzyme analysis after the animal has been sacrificed (survival assays).

**Table I: Examples of *in vivo* models of human cancer**

Cancer Model	Cell Type
Tumour Growth Assay Human solid tumour xenografts in mice (sub-cutaneous injection)	Prostate (PC-3, DU145) Breast (MDA-MB-231, MVB-9) Colon (HT-29) Lung (NCI-H460, NCI-H209) Pancreatic (ASPC-1, SU86.86) Pancreatic: drug resistant (BxPC-3) Skin (A2058, C8161) Cervical (SIHA, HeLa-S3) Cervical: drug resistant (HeLa S3-HU-resistance) Liver (HepG2) Brain (U87-MG) Renal (Caki-1, A498) Ovary (SK-OV-3) Bladder (T24)
Tumour Growth Assay Human solid tumour isografts in mice (fat pad injection)	Breast: drug resistant (MDA-CDDP-S4, MDA-MB435-To.1)
Survival Assay Experimental model of lymphoma and leukaemia in mice	Human: Burkitts lymphoma (Non-Hodgkin's) (raji) Murine: erythroleukemia (CB7 Friend retrovirus-induced)
Experimental model of lung metastasis in mice	Human: melanoma (C8161) Murine: fibrosarcoma (R3)

#### IV. Toxicity Testing

It is important that the anti-cancer compounds of the present invention exhibit low toxicity *in vivo*. Toxicity tests for potential drugs are well-known in the art (see, for example, Hayes, A.W., ed., (1994), *Principles and Methods of Toxicology*, 3<sup>rd</sup> ed.,  
5 Raven Press, NY; Maines, M., ed., *Current Protocols in Toxicology*, John Wiley & Sons, Inc., NY).

*In vitro* acute toxicity testing of a compound of Formula I can be performed using mammalian cell lines (see, for example, Ekwall, B., *Ann. N.Y. Acad. Sci.*, (1983)  
10 407:64-77). Selection of an appropriate cell line is dependent on the potential application of the candidate compound and can be readily determined by one skilled in the art.

*In vivo* toxicity testing can be performed by standard methodology. For example, by injecting varying concentrations of the candidate compound into an appropriate  
15 animal model. The compound can be injected once, or administration can be repeated over several days. The toxic effects of the compound can be evaluated over an appropriate time period by monitoring the general health and body weight of the animals. After the completion of the period of assessment, the animals can be sacrificed and the appearance and weight of the relevant organs determined. An  
20 indication of the toxicity of a compound can also be obtained during the *in vivo* anti-cancer testing of the compound.

#### V. Therapeutic Uses of Compounds of Formula I

The compounds of Formula I can be used in the treatment and/or stabilisation of various types of cancers. In this context, the compounds may exert either a cytotoxic  
25 or cytostatic effect resulting in a reduction in the size of a tumour, the slowing or prevention of an increase in the size of a tumour, an increase in the disease-free survival time between the disappearance or removal of a tumour and its reappearance, prevention of an initial or subsequent occurrence of a tumour (e.g. metastasis), an



increase in the time to progression, reduction of one or more adverse symptom associated with a tumour, or an increase in the overall survival time of a subject having cancer. The compounds can be used alone or they can be used as part of a multi-drug regimen in combination with one or more known therapeutics.

5 Examples of cancers which may be may be treated or stabilized in accordance with the present invention include, but are not limited to haematologic neoplasms, including leukaemias and lymphomas; carcinomas, including adenocarcinomas; melanomas and sarcomas. Carcinomas, adenocarcinomas and sarcomas are also frequently referred to as "solid tumours." Examples of commonly occurring solid  
10 tumours include, but are not limited to, cancer of the brain, breast, cervix, colon, head and neck, kidney, lung, ovary, pancreas, prostate, stomach and uterus, non-small cell lung cancer and colorectal cancer. Various forms of lymphoma also may result in the formation of a solid tumour and, therefore, are also often considered to be solid tumours. One embodiment of the present invention provides for the use of the  
15 compounds of Formula I in the treatment and/or stabilisation of a solid tumour.

The term "leukaemia" refers broadly to progressive, malignant diseases of the blood-forming organs. Leukaemia is typically characterized by a distorted proliferation and development of leukocytes and their precursors in the blood and bone marrow but can also refer to malignant diseases of other blood cells such as erythroleukaemia, which  
20 affects immature red blood cells. Leukaemia is generally clinically classified on the basis of (1) the duration and character of the disease – acute or chronic; (2) the type of cell involved – myeloid (myelogenous), lymphoid (lymphogenous) or monocytic, and (3) the increase or non-increase in the number of abnormal cells in the blood – leukaemic or aleukaemic (subleukaemic). Leukaemia includes, for example, acute  
25 nonlymphocytic leukaemia, chronic lymphocytic leukaemia, acute granulocytic leukaemia, chronic granulocytic leukaemia, acute promyelocytic leukaemia, adult T-cell leukaemia, aleukaemic leukaemia, aleukocythemic leukaemia, basophylic leukaemia, blast cell leukaemia, bovine leukaemia, chronic myelocytic leukaemia, leukaemia cutis, embryonal leukaemia, eosinophilic leukaemia, Gross' leukaemia,  
30 hairy-cell leukaemia, hemoblastic leukaemia, hemocytoblastic leukaemia, histiocytic leukaemia, stem cell leukaemia, acute monocytic leukaemia, leukopenic leukaemia,

lymphatic leukaemia, lymphoblastic leukaemia, lymphocytic leukaemia, lymphogenous leukaemia, lymphoid leukaemia, lymphosarcoma cell leukaemia, mast cell leukaemia, megakaryocytic leukaemia, micromyeloblastic leukaemia, monocytic leukaemia, myeloblastic leukaemia, myelocytic leukaemia, myeloid granulocytic  
 5 leukaemia, myelomonocytic leukaemia, Naegeli leukaemia, plasma cell leukaemia, plasmacytic leukaemia, promyelocytic leukaemia, Rieder cell leukaemia, Schilling's leukaemia, stem cell leukaemia, subleukaemic leukaemia, and undifferentiated cell leukaemia.

The term "sarcoma" generally refers to a tumour which originates in connective  
 10 tissue, such as muscle, bone, cartilage or fat, and is made up of a substance like embryonic connective tissue and is generally composed of closely packed cells embedded in a fibrillar or homogeneous substance. Sarcomas include soft tissue sarcomas, chondrosarcoma, fibrosarcoma, lymphosarcoma, melanosarcoma, myxosarcoma, osteosarcoma, Abemethy's sarcoma, adipose sarcoma, liposarcoma,  
 15 alveolar soft part sarcoma, ameloblastic sarcoma, botryoid sarcoma, chloroma sarcoma, chorio carcinoma, embryonal sarcoma, Wilms' tumour sarcoma, endometrial sarcoma, stromal sarcoma, Ewing's sarcoma, fascial sarcoma, fibroblastic sarcoma, giant cell sarcoma, granulocytic sarcoma, Hodgkin's sarcoma, idiopathic multiple pigmented haemorrhagic sarcoma, immunoblastic sarcoma of B cells,  
 20 lymphoma, immunoblastic sarcoma of T-cells, Jensen's sarcoma, Kaposi's sarcoma, Kupffer cell sarcoma, angiosarcoma, leukosarcoma, malignant mesenchymoma sarcoma, parosteal sarcoma, reticulocytic sarcoma, Rous sarcoma, serocystic sarcoma, synovial sarcoma, and telangiectatic sarcoma.

The term "melanoma" is taken to mean a tumour arising from the melanocytic system  
 25 of the skin and other organs. Melanomas include, for example, acral-lentiginous melanoma, amelanotic melanoma, benign juvenile melanoma, Cloudman's melanoma, S91 melanoma, Harding-Passey melanoma, juvenile melanoma, lentigo maligna melanoma, malignant melanoma, nodular melanoma, subungal melanoma, and superficial spreading melanoma.

The term "carcinoma" refers to a malignant new growth made up of epithelial cells tending to infiltrate the surrounding tissues and give rise to metastases. Exemplary carcinomas include, for example, acinar carcinoma, acinous carcinoma, adenocystic carcinoma, adenoid cystic carcinoma, carcinoma adenomatosum, carcinoma of

5 adrenal cortex, alveolar carcinoma, alveolar cell carcinoma, basal cell carcinoma, carcinoma basocellulare, basaloid carcinoma, basosquamous cell carcinoma, bronchioalveolar carcinoma, bronchiolar carcinoma, bronchogenic carcinoma, cerebriiform carcinoma, cholangiocellular carcinoma, chorionic carcinoma, colorectal carcinoma, colloid carcinoma, comedo carcinoma, corpus carcinoma, cribriform

10 carcinoma, carcinoma en cuirasse, carcinoma cutaneum, cylindrical carcinoma, cylindrical cell carcinoma, duct carcinoma, carcinoma durum, embryonal carcinoma, encephaloid carcinoma, epiermoid carcinoma, carcinoma epitheliale adenoides, exophytic carcinoma, carcinoma ex ulcere, carcinoma fibrosum, gelatiniform carcinoma, gelatinous carcinoma, giant cell carcinoma, carcinoma gigantocellulare,

15 glandular carcinoma, granulosa cell carcinoma, hair-matrix carcinoma, haematoid carcinoma, hepatocellular carcinoma, Hurthle cell carcinoma, hyaline carcinoma, hypemephroid carcinoma, infantile embryonal carcinoma, carcinoma in situ, intraepidermal carcinoma, intraepithelial carcinoma, Krompecher's carcinoma, Kulchitzky-cell carcinoma, large-cell carcinoma, lenticular carcinoma, carcinoma

20 lenticulare, lipomatous carcinoma, lymphoepithelial carcinoma, carcinoma medullare, medullary carcinoma, melanotic carcinoma, carcinoma molle, mucinous carcinoma, carcinoma muciparum, carcinoma mucocellulare, mucoepidermoid carcinoma, carcinoma mucosum, mucous carcinoma, carcinoma myxomatodes, naspharyngeal carcinoma, oat cell carcinoma, non-small cell carcinoma, carcinoma ossificans,

25 osteoid carcinoma, papillary carcinoma, periportal carcinoma, preinvasive carcinoma, prickle cell carcinoma, pultaceous carcinoma, renal cell carcinoma of kidney, reserve cell carcinoma, carcinoma sarcomatodes, schneiderian carcinoma, scirrhus carcinoma, carcinoma scroti, signet-ring cell carcinoma, carcinoma simplex, small-cell carcinoma, solanoid carcinoma, spheroidal cell carcinoma, spindle cell

30 carcinoma, carcinoma spongiosum, squamous carcinoma, squamous cell carcinoma, string carcinoma, carcinoma telangiectaticum, carcinoma telangiectodes, transitional

cell carcinoma, carcinoma tuberosum, tuberous carcinoma, verrucous carcinoma, and carcinoma villosum.

The term "carcinoma" also encompasses adenocarcinomas. Adenocarcinomas are carcinomas that originate in cells that make organs which have glandular (secretory) properties or that originate in cells that line hollow viscera, such as the gastrointestinal tract or bronchial epithelia. Examples include, but are not limited to, adenocarcinomas of the breast, lung, colon, pancreas and prostate.

Additional cancers encompassed by the present invention include, for example, Hodgkin's Disease, Non-Hodgkin's lymphoma, multiple myeloma, neuroblastoma, rhabdomyosarcoma, primary thrombocytosis, primary macroglobulinemia, small-cell lung tumours, primary brain tumours, malignant pancreatic insulanoma, malignant carcinoid, urinary bladder cancer, premalignant skin lesions, gliomas, testicular cancer, thyroid cancer, esophageal cancer, genitourinary tract cancer, malignant hypercalcemia, endometrial cancer, adrenal cortical cancer, mesothelioma and medulloblastoma.

The cancer to be treated or stabilized may be indolent or it may be aggressive. The compounds of the invention can be used to treat refractory cancers, advanced cancers, recurrent cancers and metastatic cancers. One skilled in the art will appreciate that many of these categories may overlap, for example, aggressive cancers are typically also metastatic.

"Aggressive cancer," as used herein, refers to a rapidly growing cancer. One skilled in the art will appreciate that for some cancers, such as breast cancer or prostate cancer the term "aggressive cancer" will refer to an advanced cancer that has relapsed within approximately the earlier two-thirds of the spectrum of relapse times for a given cancer, whereas for other types of cancer, such as small cell lung carcinoma (SCLC), nearly all cases present rapidly growing cancers which are considered to be aggressive. The term can thus cover a subsection of a certain cancer type or it may encompass all of other cancer types. A "refractory" cancer or tumour refers to a cancer or tumour that has not responded to treatment. "Advanced cancer," refers to overt disease in a patient that is not amenable to cure by local modalities of treatment,

such as surgery or radiotherapy. Advanced disease may refer to a locally advanced cancer or it may refer to metastatic cancer. The term "metastatic cancer" refers to cancer that has spread from one part of the body to another.

The present invention also contemplates the use of the compounds of Formula I as  
5 "sensitizing agents," which selectively inhibit the growth of cancer cells. In this case, the compound alone does not have a cytotoxic effect on the cancer cell, but provides a means of weakening the cancer cells, and thereby facilitates the benefit from conventional anti-cancer therapeutics.

Thus, the present invention contemplates the administration to a subject of a  
10 therapeutically effective amount of one or more compound of Formula I together with one or more anti-cancer therapeutics. The compound(s) can be administered before, during or after treatment with the anti-cancer therapeutic. An "anti-cancer therapeutic" is a compound, composition or treatment that prevents or delays the growth and/or metastasis of cancer cells. Such anti-cancer therapeutics include, but  
15 are not limited to, chemotherapeutic drug treatment, radiation, gene therapy, hormonal manipulation, immunotherapy and antisense oligonucleotide therapy. A wide variety of chemotherapeutic drugs are known in the art and can be used in combination therapies with a compound of the present invention. Examples of useful chemotherapeutic drugs include broad spectrum chemotherapeutics, *i.e.* those that are  
20 useful in the treatment of a range of cancers, such as doxorubicin, capecitabine, mitoxantrone, irinotecan (CPT-11), cisplatin and gemcitabine. Other examples of useful chemotherapeutic agents include, but are not limited to, hydroxyurea, busulphan, carboplatin, chlorambucil, melphalan, cyclophosphamide, Ifosphamide, danorubicin, epirubicin, vincristine, vinblastine, Navelbine® (vinorelbine), etoposide,  
25 teniposide, paclitaxel, docetaxel, cytosine, arabinoside, bleomycin, neocarcinostatin, suramin, taxol, mitomycin C and the like. The compounds of the invention are also suitable for use with standard combination therapies employing two or more chemotherapeutic agents. It is to be understood that anti-cancer therapeutics for use in the present invention also include novel compounds or treatments developed in the  
30 future.

## VI. *Pharmaceutical Compositions*

The compounds of the present invention are typically formulated prior to administration. The present invention thus provides pharmaceutical compositions comprising one or more compounds of Formula I and a pharmaceutically acceptable carrier, diluent, or excipient. The pharmaceutical compositions are prepared by known procedures using well-known and readily available ingredients. Pharmaceutical compositions comprising one or more compounds of Formula I in combination with one or more known cancer chemotherapeutics are also contemplated by the present invention.

- 10 Compounds of the general Formula I or pharmaceutical compositions comprising the compounds may be administered orally, topically, parenterally, by inhalation or spray, or rectally in dosage unit formulations containing conventional non-toxic pharmaceutically acceptable carriers, adjuvants and vehicles. In the usual course of therapy, the active compound is incorporated into an acceptable vehicle to form a composition for topical administration to the affected area, such as hydropohobic or hydrophilic creams or lotions, or into a form suitable for oral, rectal or parenteral administration, such as syrups, elixirs, tablets, troches, lozenges, hard or soft capsules, pills, suppositories, oily or aqueous suspensions, dispersible powders or granules, emulsions, injectables, or solutions. The term parenteral as used herein includes subcutaneous injections, intradermal, intra-articular, intravenous, intramuscular, intravascular, intrasternal, intrathecal injection or infusion techniques.

- 25 Compositions intended for oral use may be prepared in either solid or fluid unit dosage forms. Fluid unit dosage form can be prepared according to procedures known in the art for the manufacture of pharmaceutical compositions and such compositions may contain one or more agents selected from the group consisting of sweetening agents, flavouring agents, colouring agents and preserving agents in order to provide pharmaceutically elegant and palatable preparations. An elixir is prepared by using a hydroalcoholic (e.g., ethanol) vehicle with suitable sweeteners such as sugar and saccharin, together with an aromatic flavoring agent. Suspensions can be

prepared with an aqueous vehicle with the aid of a suspending agent such as acacia, tragacanth, methylcellulose and the like.

Solid formulations such as tablets contain the active ingredient in admixture with non-toxic pharmaceutically acceptable excipients that are suitable for the manufacture of tablets. These excipients may be for example, inert diluents, such as calcium carbonate, sodium carbonate, lactose, calcium phosphate or sodium phosphate: granulating and disintegrating agents for example, corn starch, or alginic acid: binding agents, for example starch, gelatin or acacia, and lubricating agents, for example magnesium stearate, stearic acid or talc and other conventional ingredients such as dicalcium phosphate, magnesium aluminum silicate, calcium sulfate, starch, lactose, methylcellulose, and functionally similar materials. The tablets may be uncoated or they may be coated by known techniques to delay disintegration and absorption in the gastrointestinal tract and thereby provide a sustained action over a longer period. For example, a time delay material such as glyceryl monostearate or glyceryl distearate may be employed.

Formulations for oral use may also be presented as hard gelatin capsules wherein the active ingredient is mixed with an inert solid diluent, for example, calcium carbonate, calcium phosphate or kaolin, or as soft gelatin capsules wherein the active ingredient is mixed with water or an oil medium, for example peanut oil, liquid paraffin or olive oil. Soft gelatin capsules are prepared by machine encapsulation of a slurry of the compound with an acceptable vegetable oil, light liquid petrolatum or other inert oil.

Aqueous suspensions contain active materials in admixture with excipients suitable for the manufacture of aqueous suspensions. Such excipients are suspending agents, for example sodium carboxymethylcellulose, methyl cellulose, hydropropylmethylcellulose, sodium alginate, polyvinylpyrrolidone, gum tragacanth and gum acacia: dispersing or wetting agents may be a naturally-occurring phosphatide, for example, lecithin, or condensation products of an alkylene oxide with fatty acids, for example polyoxyethylene stearate, or condensation products of ethylene oxide with long chain aliphatic alcohols, for example hepta-decaethyleneoxycetanol, or condensation products of ethylene oxide with partial

esters derived from fatty acids and a hexitol such as polyoxyethylene sorbitol monooleate, or condensation products of ethylene oxide with partial esters derived from fatty acids and hexitol anhydrides, for example polyethylene sorbitan monooleate. The aqueous suspensions may also contain one or more preservatives, for example ethyl, or *n*-propyl- *p*-hydroxy benzoate, one or more colouring agents, one or more flavouring agents or one or more sweetening agents, such as sucrose or saccharin.

Oily suspensions may be formulated by suspending the active ingredients in a vegetable oil, for example peanut oil, olive oil, sesame oil or coconut oil, or in a mineral oil such as liquid paraffin. The oily suspensions may contain a thickening agent, for example beeswax, hard paraffin or cetyl alcohol. Sweetening agents such as those set forth above, and flavouring agents may be added to provide palatable oral preparations. These compositions may be preserved by the addition of an anti-oxidant such as ascorbic acid.

Dispersible powders and granules suitable for preparation of an aqueous suspension by the addition of water provide the active ingredient in admixture with a dispersing or wetting agent, suspending agent and one or more preservatives. Suitable dispersing or wetting agents and suspending agents are exemplified by those already mentioned above. Additional excipients, for example sweetening, flavouring and colouring agents, may also be present.

Pharmaceutical compositions of the invention may also be in the form of oil-in-water emulsions. The oil phase may be a vegetable oil, for example olive oil or peanut oil, or a mineral oil, for example liquid paraffin or mixtures of these. Suitable emulsifying agents may be naturally-occurring gums, for example gum acacia or gum tragacanth, naturally-occurring phosphatides, for example soy bean, lecithin, and esters or partial esters derived from fatty acids and hexitol, anhydrides, for example sorbitan monooleate, and condensation products of the said partial esters with ethylene oxide, for example polyoxyethylene sorbitan monooleate. The emulsions may also contain sweetening and flavoring agents.



The pharmaceutical compositions may be in the form of a sterile injectable aqueous or oleaginous suspension. This suspension may be formulated according to known art using those suitable dispersing or wetting agents and suspending agents that have been mentioned above. The sterile injectable preparation may also be a sterile  
5 injectable solution or a suspension in a non-toxic parentally acceptable diluent or solvent, for example as a solution in 1,3-butanediol. Among the acceptable vehicles and solvents that may be employed are water, Ringer's solution and isotonic sodium chloride solution. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose any bland fixed oil may be employed  
10 including synthetic mono- or diglycerides. In addition, fatty acids such as oleic acid find use in the preparation of injectables. Adjuvants such as local anaesthetics, preservatives and buffering agents can also be included in the injectable solution or suspension.

The compound(s) of the general Formula I may be administered, together or  
15 separately, in the form of suppositories for rectal administration of the drug. These compositions can be prepared by mixing the drug with a suitable non-irritating excipient which is solid at ordinary temperatures but liquid at the rectal temperature and will therefore melt in the rectum to release the drug. Such materials include cocoa butter and polyethylene glycols.

20 Other pharmaceutical compositions and methods of preparing pharmaceutical compositions are known in the art and are described, for example, in "*Remington: The Science and Practice of Pharmacy*" (formerly "*Remingtons Pharmaceutical Sciences*"); Gennaro, A., Lippincott, Williams & Wilkins, Philadelphia, PA (2000).

## ***VII. Administration of Compounds of Formula I***

25 Compounds of Formula I may be administered to a subject by a variety of routes depending on the cancer to be treated, for example, the compounds may be administered orally, topically, parenterally, by inhalation or spray, or rectally in dosage unit formulations. In one embodiment, the compounds are administered systemically to a subject, for example, by bolus injection or continuous infusion into a

subject's bloodstream or by oral administration. When used in conjunction with one or more known chemotherapeutic agents, the compounds can be administered prior to, or after, administration of the chemotherapeutic agents, or they can be administered concomitantly. The one or more chemotherapeutic may also be administered  
5 systemically, for example, by bolus injection, continuous infusion, or oral administration.

The compounds of Formula I may be used as part of a neo-adjuvant therapy (to primary therapy), or as part of an adjuvant therapy regimen, where the intention is to cure the cancer in a subject. The present invention contemplates the use of the  
10 compounds of Formula I at various stages in tumour development and progression, including in the treatment of advanced and/or aggressive neoplasias (*i.e.* overt disease in a subject that is not amenable to cure by local modalities of treatment, such as surgery or radiotherapy), metastatic disease, locally advanced disease and/or refractory tumours (*i.e.* a cancer or tumour that has not responded to treatment).

15 "Primary therapy" refers to a first line of treatment upon the initial diagnosis of cancer in a subject. Exemplary primary therapies may involve surgery, a wide range of chemotherapies and radiotherapy. "Adjuvant therapy" refers to a therapy that follows a primary therapy and that is administered to subjects at risk of relapsing. Adjuvant systemic therapy is usually begun soon after primary therapy to delay recurrence,  
20 prolong survival or cure a subject.

It is contemplated that the compounds of the invention can be used alone or in combination with one or more other chemotherapeutic agents as part of a primary therapy or an adjuvant therapy. Combinations of the compounds of Formula I and standard chemotherapeutics may act to improve the efficacy of the chemotherapeutic  
25 and, therefore, can be used to improve standard cancer therapies. This application can be important in the treatment of drug-resistant cancers which are not responsive to standard treatment. Drug-resistant cancers can arise, for example, from heterogeneity of tumour cell populations, alterations in response to chemotherapy and increased malignant potential. Such changes are often more pronounced at advanced stages of  
30 disease.

The dosage to be administered is not subject to defined limits, but it will usually be an effective amount. It will usually be the equivalent, on a molar basis of the pharmacologically active free form produced from a dosage formulation upon the metabolic release of the active free drug to achieve its desired pharmacological and physiological effects. The compositions may be formulated in a unit dosage form. The term "unit dosage form" refers to physically discrete units suitable as unitary dosages for human subjects and other mammals, each unit containing a predetermined quantity of active material calculated to produce the desired therapeutic effect, in association with a suitable pharmaceutical excipient. Examples of ranges for the compound(s) in each dosage unit are from about 0.05 to about 100 mg, or more usually, from about 1.0 to about 50 mg.

Daily dosages of the compounds of the present invention will typically fall within the range of about 0.01 to about 100 mg/kg of body weight, in single or divided dose. However, it will be understood that the actual amount of the compound(s) to be administered will be determined by a physician, in the light of the relevant circumstances, including the condition to be treated, the chosen route of administration, the actual compound administered, the age, weight, and response of the individual patient, and the severity of the patient's symptoms. The above dosage range is given by way of example only and is not intended to limit the scope of the invention in any way. In some instances dosage levels below the lower limit of the aforesaid range may be more than adequate, while in other cases still larger doses may be employed without causing harmful side effects, for example, by first dividing the larger dose into several smaller doses for administration throughout the day.

### *VIII. Kits*

The present invention additionally provides for therapeutic kits containing one or more compounds of Formula I for use in the treatment of cancer. The contents of the kit can be lyophilized and the kit can additionally contain a suitable solvent for reconstitution of the lyophilized components. Individual components of the kit would be packaged in separate containers and, associated with such containers, can be a notice in the form prescribed by a governmental agency regulating the manufacture,

use or sale of pharmaceuticals or biological products, which notice reflects approval by the agency of manufacture, for use or sale for human or animal administration.

When the components of the kit are provided in one or more liquid solutions, the liquid solution can be an aqueous solution, for example a sterile aqueous solution. For *in vivo* use, the compounds may be formulated into a pharmaceutically acceptable syringeable composition. In this case the container means may itself be an inhalant, syringe, pipette, eye dropper, or other such like apparatus, from which the formulation may be applied to an infected area of the subject, such as the lungs, injected into an subject, or even applied to and mixed with the other components of the kit.

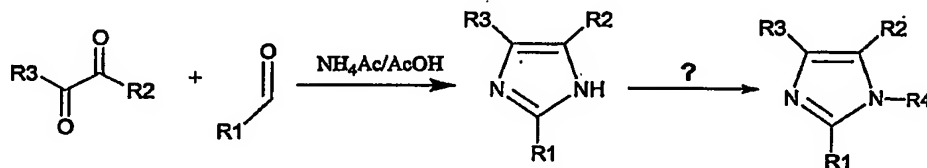
Pharmaceutical kits or packs comprising one or more compound of the present invention in combination with one or more standard chemotherapeutic for combination therapy applications are also contemplated by the present invention.

To gain a better understanding of the invention described herein, the following examples are set forth. It should be understood that these examples are for illustrative purposes only. Therefore, they should not limit the scope of this invention in any way.

## EXAMPLES

### Preparation of compounds:

All reactions have been carried out according to the scheme shown below:



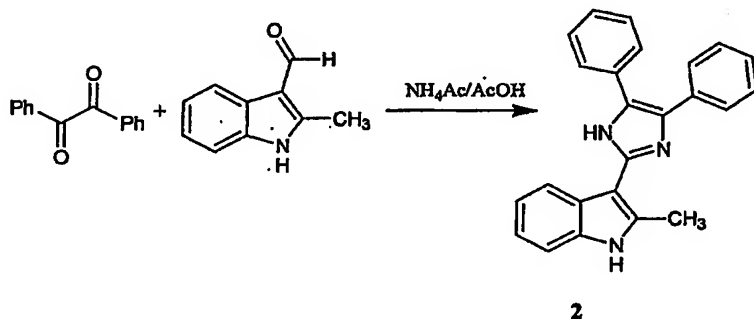
In a typical experimental procedure 1 mmol (1 equiv.) of the carboxyaldehyde was combined with 1.05 - 1.10 mmole (1.05 - 1.1 equiv.) of the dione and 20 mmole (20 equiv.) of ammonium acetate and 5 ml of acetic acid. The mixture was magnetically stirred and heated to reflux for 3-5 hr. The reaction process was monitored by TLC,

until complete consumption of the indole was achieved. The reaction mixture was cooled to room temperature and added drop-wise into well-stirred ice-water. The suspension solid was then filtered and the crude solid was dissolved in ethyl acetate, dried over sodium sulfate and filtered, the organic solvent was removed by vacuum.

5 The products was then either recrystallized with alcohol or separated by column chromatography using petroleum ether-Ethyl acetate as an eluant.

Melting points were recorded using a MEL-TEMP capillary melting point apparatus, the melting point are uncorrected. <sup>1</sup>H-NMR was performed in a 500 MHz Bruker  
10 instrument at room temperature using a suitable deuterated solvent.

#### Example 1: Preparation of compound 2



15 1 mmol (1 equiv.) of the indole carboxyaldehyde was combined with 1.05 - 1.10 mmole (1.05 - 1.1 equiv.) of the benzil and 20 mmole (20 equiv.) of ammonium acetate and 5 ml of acetic acid. The mixture was magnetically stirred and heated to reflux for 3-5 hr. The reaction process was monitored by TLC, until complete consumption of the indole was achieved. The reaction mixture was cooled to room  
20 temperature and added drop-wise into well-stirred ice-water. The suspension solid was then filtered and the crude solid was dissolved in ethyl acetate, dried over sodium sulfate and filtered, the organic solvent was removed by vacuum. The products was then either recrystallized with alcohol or separated by column chromatography using petroleum ether-Ethyl acetate as an eluant.

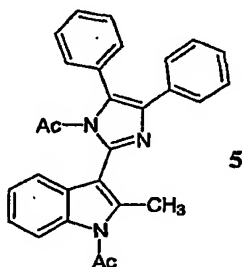
25

It is noteworthy that, the TLC of the products shows a characteristic blue florescent color under the UV (wave length  $\lambda = 254\text{nm}$ ), a property used as an additional characterization feature.

<sup>1</sup>H-NMR:  $\delta$  (DMSO- $d_6$ ), 12.10 (s, 1H), 11.30 (s, 1H), 7.98 (d, 1H), 7.62 (d, 2H), 7.56 (d, 2H), 7.45 (t, 2H), 7.28-7.40 (m, 4H), 7.24 (t, 1H), 7.03-7.14 (m, 2H), 2.70 (s, 3H).  
HRMS  $m/z$  for  $C_{24}H_{19}N_3$  calc. Is 349.157898, found 349.157897. M.p.= decomposed at 260-264.

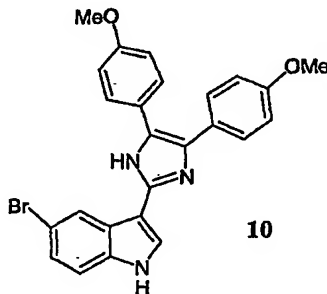
The following exemplary compounds were also prepared from the appropriate starting materials following the general synthetic procedure as discussed above.

#### Example 2: Compound 5



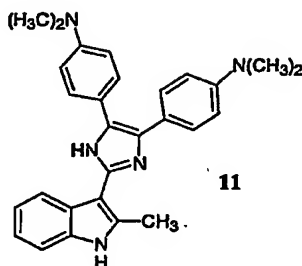
<sup>1</sup>H-NMR ( $CDCl_3$ ):  $\delta = 8.02$  (d, 2H), 7.53 (d, 1H), 7.43 - 7.52 (m, 6H), 7.41 (d, 1H), 7.21 - 7.34 (m, 6H), 2.81 (s, 3H), 2.75 (s, 3H). EIMS [ $M^+$ ]  $m/z$  for  $C_{28}H_{23}N_3O_2$  is 433. M.p.= 224-227.

#### Example 3: Compound 10



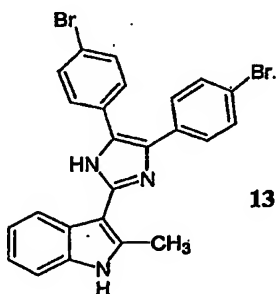
$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta = 10.68$  (bs, 1H), 7.73 (bs, 1H), 7.22 (d, 4H), 6.99 (bs, 1H), 6.92 (bd, 2H), 6.85 (bd, 2H), 6.611 (d, 4H), 3.70 (s, 6H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{25}\text{H}_{20}\text{N}_3\text{BrO}_2$  is 474. M.p.= 135.

5 **Example 4: Compound 11**



10  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta = 7.70$  (d, 1H), 7.41 (d, 4H), 7.32 (d, 1H), 7.09 (q, 2H), 6.77 (d, 4H), 2.95 (s, 12H), 2.67 (s, 3H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{28}\text{H}_{29}\text{N}_5$  is 435, M.p.= decomposed at 236-238.

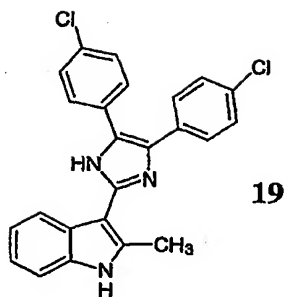
**Example 5: Compound 13**



15

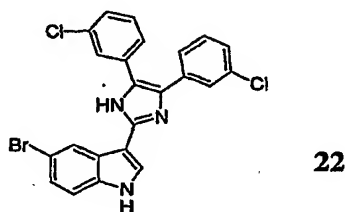
$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta = 7.47$  (d, 4H), 7.44 (d, 4H), 7.30-7.34 (m, 1H), 7.14 - 7.19 (m, 3H), 2.68 (bs, 3H), EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{24}\text{H}_{17}\text{N}_3\text{Br}_2$  is 507. M.p.= 240-245.

**Example 6: Compound 19**



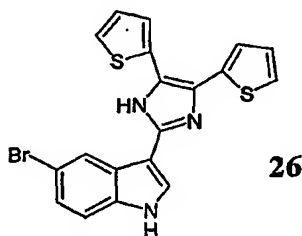
<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.13 (s, 1H), 11.33 (s, 1H), 7.94 (d, 2H), 7.57 (d, 2H), 7.52 (bd, 2H), 7.39 (bd, 2H), 7.35 (d, 1H), 7.05-7.12 (m, 3H), 2.50 (s, 3H). EIMS [M<sup>+</sup>] m/z for C<sub>24</sub>H<sub>17</sub>N<sub>3</sub>Cl<sub>2</sub> is 418. M.p.= 165-167.

**Example 7: Compound 22**



<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 13.176 (s) 1H, 12.130 (s) 1H, 8.996 (d) 1H, 8.889 (d) 1H, 8.852 (d) 1H, 8.671 (d) 1H, 8.412 (d) 1H, 8.378 (d) 1H, 7.775-7.750 (m) 2H, 7.640-7.600 (m) 2H.

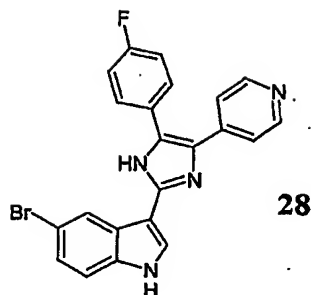
**Example 8: Compound 26**



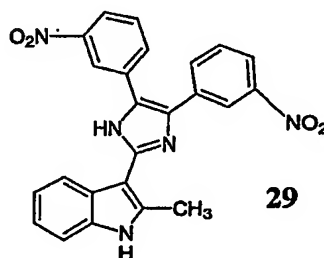
15

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.60 (s, 1H), 11.70 (s, 1H), 8.60 (d, 1H), 8.17 (s, 1H), 7.68 (bs, 1H), 7.46 (d, 2H), 7.33 (d, 2H), 7.25 (bs, 2H), 7.09 (bs, 1H). EIMS [M<sup>+</sup>] m/z for C<sub>19</sub>H<sub>12</sub>N<sub>3</sub>BrS<sub>2</sub> is 426.



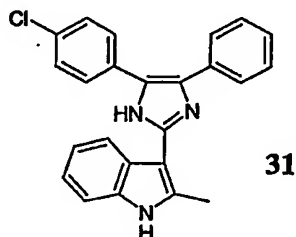
**Example 9: Compound 28**

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.60 (s, 1H), 11.65 (s, 1H), 8.44- 8.64 (m, 3H), 8.01- 8.14 (m, 1H), 7.22-7.66 (m, 8H). EIMS [M<sup>+</sup>] m/z for C<sub>22</sub>H<sub>14</sub>N<sub>4</sub>BrF is 433. M.p.= decomposed at 343.

**Example 10: Compound 29**

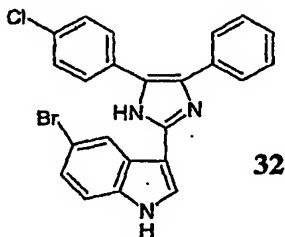
10

<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 8.83 (q, 2H), 8.73 (m, 1H), 8.68 (d, 1H), 8.46 (d, 1H), 8.24 (s, 1H), 7.74 (t, 2H), 7.62 (t, 2H), 7.51 - 7.56 (m, 1H), 7.23 - 7.27 (m, 2H), 2.71 (s, 3H). EIMS [M<sup>+</sup>] m/z for C<sub>23</sub>H<sub>15</sub>N<sub>3</sub> is 303. M.p.= 135-137.

**Example 11: Compound 31**

$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta$  = 8.90 (bs, 1H), 7.62 (bs, 1H), 7.48 (bd, 4H), 7.34 (m, 4H), 7.21 (m, 1H), 7.13 (m, 2H), 2.43 (bs, 3H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{23}\text{H}_{15}\text{N}_3\text{ClBr}$  is 448. M.p.= decomposed at 218-220.

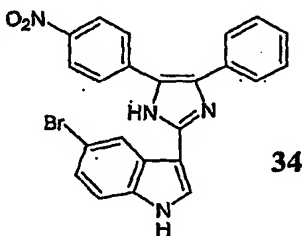
5 **Example 12: Compound 32**



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta$  = 8.12 (bs, 1H), 7.48 (d, 2H), 7.46 (d, 2H), 7.23-7.34 (m, 8H). M.p.=230-232.

10

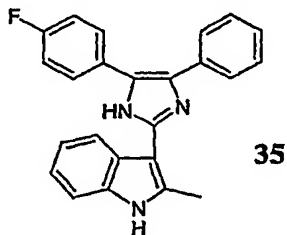
**Example 13: Compound 34**



$^1\text{H-NMR}$  ( $\text{DMSO-d}_6$ ):  $\delta$  = 12.63 (s, 1H), 11.67 (s, 1H), 8.62 (d, 1H), 8.21 (d, 2H), 8.08 (d, 1H), 7.86 (d, 2H), 7.39-7.64 (m, 6H), 7.32 (dd, 1H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{23}\text{H}_{15}\text{N}_4\text{BrFO}_2$  is 459. M.p.= decomposed at 250-253.

15

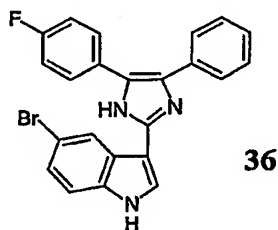
**Example 14: Compound 35**



20

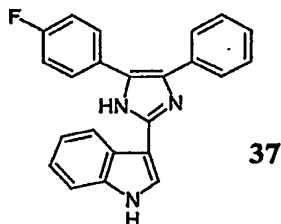
$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta$  = 7.78 (bs, 1H), 7.59 (d, 2H), 7.54 (d, 2H), 7.35 - 7.39 (m, 2H), 7.28 - 7.34 (m, 2H), 7.13 - 7.18 (m, 2H), 7.01 - 7.05 (m, 2H), 2.72 (bs, 3H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{24}\text{H}_{18}\text{N}_3\text{F}$  is 367. M.p.= decomposed at 247-250.

5 **Example 15: Compound 36**



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta$  = 10.42 (bs, 1H), 7.86 (s, 1H), 7.16-7.33 (m, 6H), 7.04 (dd, 2H), 6.95 (dd, 2H), 6.88 (t, 3H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{23}\text{H}_{15}\text{N}_3\text{BrF}$  is 432. M.p.= decomposed at 83-86.

10 **Example 16: Compound 37**



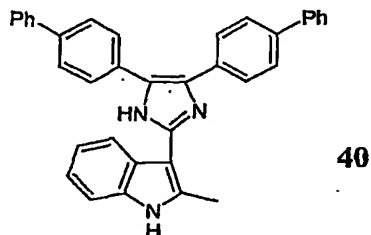
15  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta$  = 9.92 (bs, 1H), 8.17 (bs, 1H), 7.87 (t, 1H), 7.55 (bs, 1H), 7.21-7.33 (m, 6H), 7.15-7.2 (m, 1H), 7.04-7.07 (m, 2H), 6.90 (t, 2H)). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{23}\text{H}_{16}\text{N}_3\text{F}$  is 353. M.p.= 51.

20 **Example 17: Compound 38**



$^1\text{H-NMR}$  (Acetone- $d_6$ ):  $\delta$  = 11.12 (bs, 1H), 10.46 (bs, 1H), 8.12 (d, 1H), 7.80 (bd, 2H), 7.62 (bd, 2H), 7.38-7.48 (m, 5H), 6.98 - 7.22 (m, 12H), 2.84 (bs, 3H). EIMS  $[M^+]$  m/z for  $\text{C}_{36}\text{H}_{27}\text{N}_3\text{O}_2$  is 533. M.p.= decomposed at 128-130.

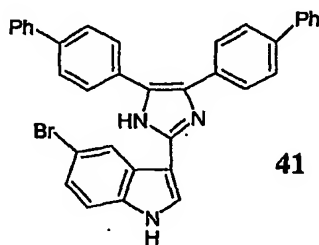
5 **Example 18: Compound 40**



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta$  = 8.12 (dd, 2H), 7.60 (m, 6H), 7.24-7.53 (m, 10H), 6.87 (bd, 2H), 6.61 (bd, 2H), 2.08 (s, 3H). M.p.= decomposed at 142.

10

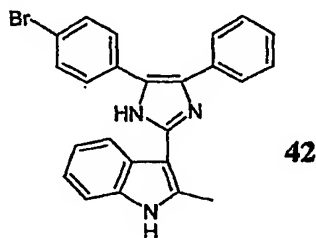
**Example 19: Compound 41**



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta$  = 8.08 (d, 4H), 8.07 (bs, 1H), 7.75 (d, 4H), 7.28-7.50 (m, 10H), 7.12 (bd, 2H), 6.97 (bs, 1H). M.p.= 155-158.

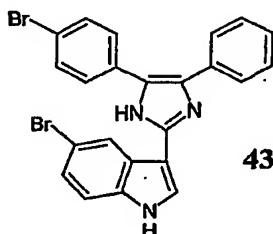
15

**Example 20: Compound 42**



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta = 9.39$  (bs, 1H), 7.39 - 7.50 (m, 4H), 7.28 - 7.38 (m, 6H), 7.06 (bs, 1H), 6.94 (bs, 2H), 2.08 (bs, 3H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{24}\text{H}_{18}\text{N}_3\text{Br}$  is 428. M.p.= decomposed at 155-157.

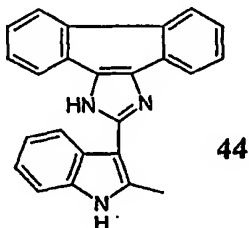
5 **Example 21: Compound 43**



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta = 9.75$  (bs, 1H), 7.83 (bs, 1H), 7.36 (m, 3H), 7.25 - 7.29 (m, 5H), 7.12 (m, 3H), 7.10 (bd, 1H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{23}\text{H}_{15}\text{N}_3\text{Br}_2$  is 493. M.p.= decomposed at 230.

10

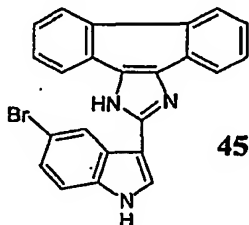
**Example 22: Compound 44**



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ ):  $\delta = 8.78$  (dd, 2H), 8.19 (dd, 1H), 7.96 (bs, 1H), 7.80 (dd, 1H), 7.80 (dd, 1H), 7.55-7.77 (m, 6H), 7.16-7.42 (m, 2H), 2.87 (bs, 3H). EIMS [ $\text{M}^+$ ]  $m/z$  for  $\text{C}_{24}\text{H}_{17}\text{N}_3$  is 347. M.p.= decomposed at 167.

15

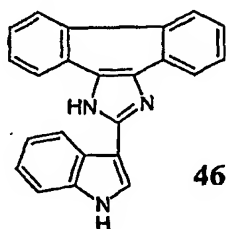
**Example 23: Compound 45**



20

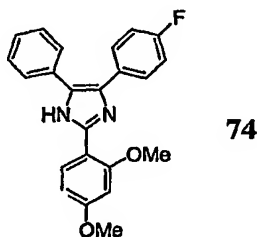
$^1\text{H-NMR}$  (DMSO- $d_6$ ):  $\delta$  = 13.30 (bs, 1H), 11.62 (d, 1H), 8.87 (bd, 2H), 8.64 (bs, 1H), 8.44 (bs, 1H), 8.29 (t, 1H), 7.76 (t, 2H), 7.62 (t, 2H), 7.52 (d, 1H), 7.35 - 7.41 (m, 2H). EIMS [ $M^+$ ]  $m/z$  for  $C_{23}H_{14}N_3Br$  is 412.

5

**Example 24: Compound 46**

$^1\text{H-NMR}$  (DMSO- $d_6$ ):  $\delta$  = 13.09 (s, 1H), 11.61 (d, 1H), 8.83 (q, 2H), 8.73 (m, 1H), 8.68 (d, 1H), 8.46 (d, 1H), 8.24 (s, 1H), 7.74 (t, 2H), 7.62 (t, 2H), 7.51 - 7.56 (m, 1H), 7.23 - 7.27 (m, 2H). EIMS [ $M^+$ ]  $m/z$  for  $C_{23}H_{15}N_3$  is 333. M.p. = 135-137.

10

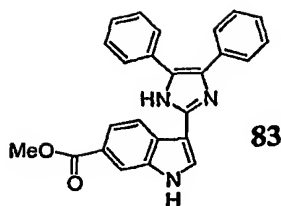
**Example 25: Compound 74**

15

$^1\text{H-NMR}$  (DMSO- $d_6$ ):  $\delta$  = 11.74 (d, 1H), 7.95 (dd, 1H), 7.32-7.57 (m, 6H), 7.17-7.31 (m, 2H), 7.12 (t, 1H), 6.70 (d, 1H), 6.66 (bd, 1H). EIMS [ $M^+$ ]  $m/z$  for  $C_{23}H_{19}N_2FO_2$  is 374.

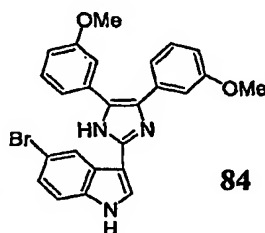
**Example 26: Compound 83**

20



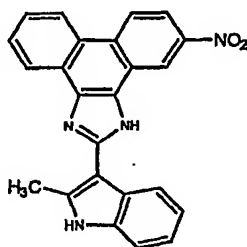
<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.40 (s, 1H), 11.80 (s, 1H), 8.56 (d, 1H), 8.24 (s, 1H), 8.15 (s, 1H), 7.78 (d, 1H), 7.65 (d, 2H), 7.54 (d, 2H), 7.47 (t, 2H), 7.32 - 7.42 (m, 3H),  
 5 7.24 (t, 1H), 3.90 (s, 3H). EIMS [M<sup>+</sup>] m/z for C<sub>25</sub>H<sub>19</sub>N<sub>3</sub>O<sub>2</sub> is 393. M.p.= 293-295.

**Example 27: Compound 84**



10 <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 8.64 (d, 1H), 8.17 (d, 1H), 7.47 (d, 1H), 7.39 (t, 1H), 7.33 (dd, 1H), 7.20-7.31 (m, 2H), 7.12 (bd, 2H), 6.97 (bd, 1H), 6.84 (bd, 1H). 3.77 (s, 3H), 3.72 (s, 3H), EIMS [M<sup>+</sup>] m/z for C<sub>25</sub>H<sub>20</sub>N<sub>3</sub>BrO<sub>2</sub> is 474. M.p.= decomposed at 250-253.

**Example 28: Compound 88**

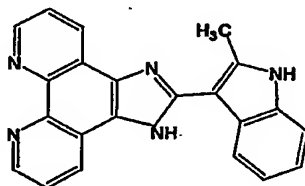


15

Mp 335-336 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>), two isomers: 1) δ = 13.160 (s) 1H, 11.602 (s) 1H, 9.720 (s) 1H, 9.143 (dd) 1H, 8.975 (dd) 1H, 8.680 (d) 1H, 8.345 (t) 1H, 8.160 (d) 1H, 7.870 (t) 1H, 7.720 (t) 1H, 7.420 (d) 1H, 7.200 (d) 2H, 2.862 (s) 3H.

2):  $\delta$  =13.090 (s) 1H, 11.602 (s) 1H, 9.370 (s) 1H, 9.143 (dd) 1H, 8.975 (dd) 1H, 8.680 (d) 1H, 8.345 (t) 1H, 8.099 (d) 1H, 7.870 (t) 1H, 7.720 (t) 1H, 7.420 (d) 1H, 7.200 (d) 2H, 2.847 (s) 3H. EI-MS ( $C_{24}H_{16}N_4O_2$ ) = 392.

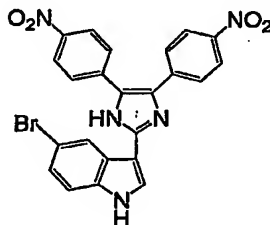
**Example 29: Compound 90**



5

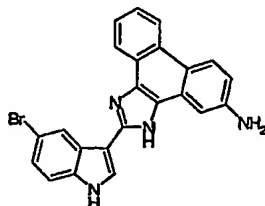
$^1H$ -NMR (DMSO- $d_6$ ):  $\delta$  =13.083 (s) 1H, 11.595 (s) 1H, 9.040-9.010 (m) 4H, 8.950 (d) 1H, 8.120 (m) 1H, 7.821 (t) 1H, 7.432 (m) 1H, 7.176 (m) 2H, 2.830 (s) 3H.

10 **Example 30: Compound 92**



15  $^1H$ -NMR (DMSO- $d_6$ ):  $\delta$  =12.729 (s) 1H, 11.724 (s) 1H, 8.578 (d) 1H, 8.325 (d) 2H, 8.260 (d) 2H, 8.127 (d) 1H, 7.871 (m) 2H, 7.810-7.785 (m) 2H, 7.454 (d) 1H, 7.330 (d) 1H.

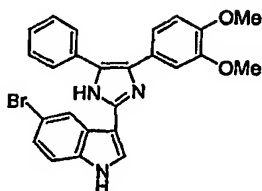
**Example 31: Compound 94**





Mp 300-303 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.55 (s) 1H, 8.83 (m) 3H, 8.68 (m) 1H, 8.50 (m) 1H, 8.15 (m) 1H, 7.75 (m) 2H, 7.65 (m) 1H, 7.47 (m) 1H, 7.40 (m) 1H. ESI-MS (C<sub>23</sub>H<sub>15</sub>BrN<sub>4</sub>) = 427.

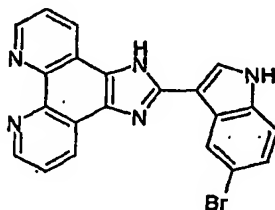
5 **Example 32: Compound 96**



Mp 265-266 °C <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.1 (s) 1H, 11.6 (s) 1H, 8.7 (d) 1H, 8.0 (d) 1H, 7.3 (m) 10H, 3.8 (d) 3H, 3.6 (d) 3H. ESI-MS (C<sub>25</sub>H<sub>20</sub>BrN<sub>3</sub>O<sub>2</sub>) = 474.

10

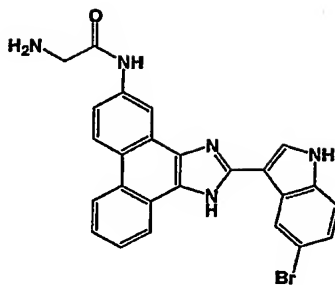
**Example 33: Compound 97**



<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 13.460 (s) 1H, 11.890 (s) 1H, 9.080-8.985 (m) 4H, 8.860-8.825 (m) 1H, 8.285 (d) 1H, 7.890-7.840 (m) 2H, 7.560-7.540 (m) 1H, 7.420-7.390 (m) 1H. ESI-MS (C<sub>21</sub>H<sub>12</sub>BrN<sub>5</sub>) = 414.

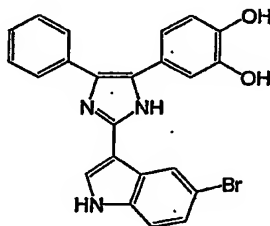
15

**Example 34: Compound 101**



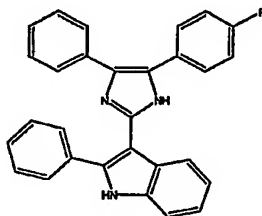
EI-MS : 484.00 ( $C_{25}H_{18}BrN_5O$  requires 483.07)  $H^1$  NMR (DMSO- $d_6$ )  $\delta$  = 13.167 (s) 1H, 11.845 (s) 1H, 8.839-8.781 (m) 4H, 8.292-8.405 (m) 2H, 7.364-7.697 (m) 5H,  
 5 3.543 (s) 1H, 3.410 (s) 2H, 1.463 (s) 2H.

**Example 35: Compound 140**



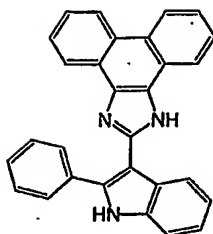
10 Mp 210-215  $^{\circ}C$   $^1H$ -NMR (DMSO- $d_6$ ):  $\delta$  = 12.18 (s) 1H, 11.54 (s) 1H, 9.08 (d) 1H, 8.62 (s) 1H, 8.65 (s) 1H, 7.20 (m) 10H.

**Example 36: Compound 141**

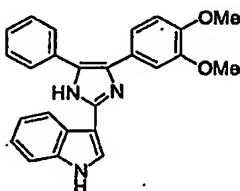


15

$^1H$ -NMR (DMSO- $d_6$ ):  $\delta$  = 12.30 (s) 1H, 11.70 (s) 1H, 7.79 (m) 3H, 7.58 (m) 2H, 7.43 (m) 7H, 7.32 (m) 2H, 7.23 (m) 2H, 7.14 (m) 2H.

**Example 37: Compound 146**

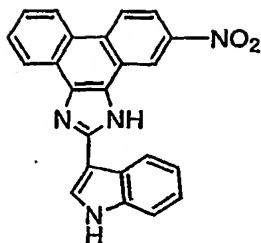
Mp 240-242 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.20 (s) 1H, 11.90 (s) 1H, 8.85 (m) 2H,  
 5 8.60 (d) 1H, 8.40 (d) 1H, 7.70 (m) 8H, 7.30 (m) 5H.

**Example 38: Compound 152**

10 Mp 258-259 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>), two isomers: 1) δ = 12.160 (s) 1H, 11.350 (s) 1H, 8.480 (t) 1H, 7.995 (d) 1H, 6.995 (d) 1H, 7.440-7.420 (m) 2H, 7.360-7.300 (m) 2H, 7.220-7.020 (m) 5H, 3.805 (s) 3H, 3.695 (s) 3H.  
 2) δ = 12.190 (s) 1H, 11.350 (s) 1H, 8.480 (t) 1H, 7.995 (d) 1H, 6.995 (d) 1H, 7.440-7.420 (m) 2H, 7.360-7.300 (m) 2H, 7.220-7.020 (m) 5H, 3.762 (s) 3H, 3.617 (s) 3H.

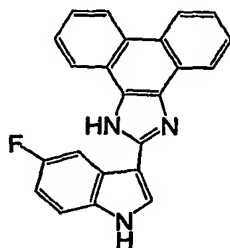
15

**Example 39: Compound 156**



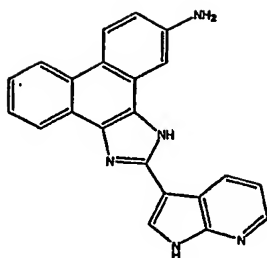
Mp 365-366 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>), two isomers: a) δ = 13.410 (s) 1H, 11.670 (s) 1H, 9.40 (d) 1H, 9.11 (d) 1H, 8.96 (d) 1H, 8.70 (d) 1H, 8.35-8.18 (m) 2H, 7.96 (s) 1H, 7.70 (t) 1H, 7.56 (t) 1H, 7.28 (m) 2H.  
 b) δ = 13.290 (s) 1H, 11.67 (s) 1H, 9.305 (d) 1H, 9.095 (d) 1H, 8.960 (d) 1H, (d) 1H, 8.70 (d) 1H, 8.495 (d) 1H, 7.87 (d) 1H, 7.70 (t) 1H, 7.56 (t) 1H, 7.28 (m) 2H. EI-MS (C<sub>23</sub>H<sub>14</sub>N<sub>4</sub>O<sub>2</sub>) = 378.

10 **Example 40: Compound 157**



<sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 13.102 (s) 1H, 11.702 (s) 1H, 8.900-8.840 (m) 3H, 8.690 (d) 1H, 8.445-8.400 (m) 3H, 8.301 (d) 1H, 7.747 (t) 1H, 7.644-7.624 (m) 1H, 7.622-7.605 (m) 1H, 7.585-7.529 (m) 1H, 7.128-7.086 (m) 1H. EI-MS (C<sub>23</sub>H<sub>14</sub>N<sub>3</sub>F) = 351.

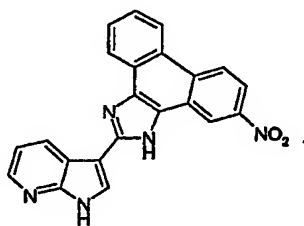
**Example 41: Compound 160**



Mp 320=330 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 13.30 (exc.) 1H, 12.92 (d) 1H, 8.64 (d) 1H, 8.56 (d) 1H, 8.43 (m) 2H, 7.62 (m) 4H, 7.34 (t) 1H, 7.03 (d) 1H, 5.95 (exc.) 2H.

5

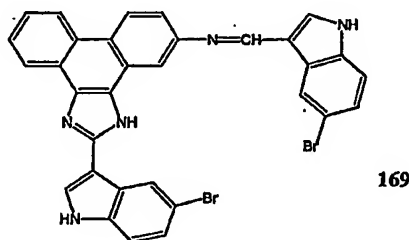
**Example 42: Compound 162**



Mp >400 °C. <sup>1</sup>H NMR (DMSO-d<sub>6</sub>): δ = 13. (s) 1H, 12.25 (s) 1H, 9.41 (d) 1H, 9.13 (t) 1H, 8.98 (m) 2H, 8.37 (m) 3H, 7.96 (s) 1H, 7.89 (t) 1H, 7.73 (t) 1H, 7.36 (m) 1H. EI-MS (C<sub>22</sub>H<sub>13</sub>N<sub>5</sub>O<sub>2</sub>) = 379.

10

**Example 43: Compound 169**

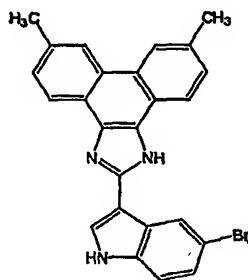


169

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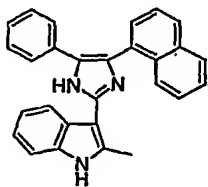
Mp 236-237 °C. EI-MS: 633.87 (C<sub>32</sub>H<sub>19</sub>Br<sub>2</sub>N<sub>5</sub>) require 633.33. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>), two isomers: 1) δ =13.190 (s) 1H, 11.820 (s) 1H, 8.955 (s) 1H, 8.910-8.883 (m) 3H, 8.640 (d) 1H, 8.590 (d) 1H, 8.280 (d) 2H, 8.157 (d) 1H 7.730 (t) 1H, 8.620 (t) 1H, 7.525 (s) 1H, 7.509 (s) 1H, 7.410 (d) 1H, 7.375 (d) 1H.  
 2) δ =13.190 (s) 1H, 12.060 (s) 1H, 8.955 (s) 1H, 8.910-8.883 (m) 3H, 8.640 (d) 1H, 8.590 (d) 1H, 8.280 (d) 2H, 8.157 (d) 1H 7.730 (t) 1H, 8.620 (t) 1H, 7.525 (s) 1H, 7.509 (s) 1H, 7.410 (d) 1H, 7.375 (d) 1H.

#### Example 44: Compound 175



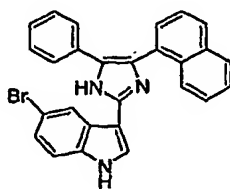
EI-MS: 440.11 (C<sub>25</sub>H<sub>18</sub>BrN<sub>3</sub> requires 440.07. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ =13.141 (s) 1H, 11.779 (s) 1H, 8.842 (d) 1H, 8.673 (s) 1H, 8.636 (s) 1H, 8.508 (d) 1H, 8.295 (d) 1H, 8.242 (d) 1H, 7.553 (d) 2H, 7.508 (d) 1H, 7.365 (d) 1H, 2.612 (s) 6H.

#### Example 45: Compound 180



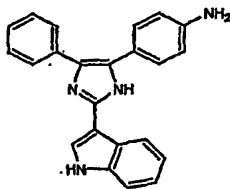
Mp 239-240 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.12 (s) 1H, 11.30 (s) 1H, 8.07 (d) 1H, 8.03 (d) 1H, 7.93 (d) 1H, 7.72 (d) 1H, 7.65 (m) 2H, 7.54 (t) 1H, 7.47 (m) 3H, 7.28 (m) 3H, 7.08 (m) 4H, 2.70 (s) 3H. EI-MS (C<sub>28</sub>H<sub>21</sub>N<sub>3</sub>) = 399.

5 **Example 46: Compound 181**



Mp 308-310 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>), two isomers: 1) δ = 12.547 (s) 1H, 11.570 (s) 1H, 8.739 (d) 1H, 8.200-8.790 (m) 3H, 7.695 (m) 2H, 7.570-7.060 (m) 10H.  
10 2) δ = 12.575 (s) 1H, 11.620 (s) 1H, 8.478 (d) 1H, 8.200-8.790 (m) 3H, 7.695 (m) 2H, 7.570-7.060 (m) 10H. ESI-MS (C<sub>27</sub>H<sub>18</sub>BrN<sub>3</sub>) = 464.

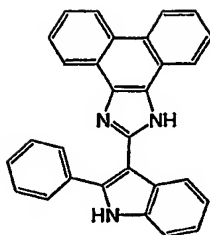
**Example 47: Compound 182**



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Mp 264-265 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.0 (s) 1H, 11.3 (s) 1H, 8.46 (d) 1H, 7.96 (d) 1H, 7.67 (d) 2H, 7.51 (d) 1H, 7.43 (d) 1H, 7.39 (t) 1H, 7.28 (t) 2H, 7.15 (m) 1H, 6.62 (d) 2H. EI-MS (C<sub>23</sub>H<sub>18</sub>N<sub>4</sub>) = 350.

20 **Example 48: Compound 183**



Mp 240-242 °C. <sup>1</sup>H-NMR (DMSO-d<sub>6</sub>): δ = 12.20 (s) 1H, 11.90 (s) 1H, 8.85 (m) 2H, 8.60 (d) 1H, 8.40 (d) 1H, 7.70 (m) 8H, 7.30 (m) 5H.

5 **Example 49: *In vitro* Inhibition of Proliferation of Cancer Cells #1**

Selected compounds of Formula I were tested for anti-cancer activity *in vitro* using a human colon carcinoma cells (HT-29) and human non-small cell lung cancer cells (H460). The cells were maintained in α-MEM medium (Wisent, St-Bruno, Qc) supplemented with 10% FBS, and grown at 37°C in an atmosphere of 5% CO<sub>2</sub>. Cells  
10 were transferred onto 150mm tissue culture plates and grown until sub-confluency (70-80%) prior to their use.

The anti-cancer activity *in vitro* was evaluated by a cell proliferation assay based on the ability of live cells to reduce the tetrazolium salt XTT to orange coloured compounds of formazan (XTT cell proliferation kit II, Roche Applied Science,  
15 Montreal, QC).

Approximately 4 x 10<sup>3</sup> colon cancer cells (HT-29) or 2 x 10<sup>3</sup> non-small cell lung cancer cells (NCI-H460) in 100 μl of complete culture medium were plated onto 96-well microtiter plates and incubated overnight at 37°C. The medium was then removed by inverting the plate and patting on a sterile absorbent cloth. Fifty μl of  
20 medium containing the test compound at either 25 or 100 μM, were added to the wells containing cells and incubated at 37°C in an atmosphere of 5% CO<sub>2</sub> for 48 h. Following incubation, 25 μl of an XTT reaction mixture (XTT at a final concentration of 0.3 mg/ml) were added to each well and the plates were incubated for a further 4 h. The absorbance of each sample was then determined at a wavelength of 490 nm/650  
25 nm as reference. Each compound was tested in duplicate and the results are reported



as averages. Table II shows the effect that different compounds of Formula I have on the growth of human colon carcinoma HT-29. Table III shows the effect that different compounds of Formula I have on the growth of human non-small cell lung cancer cells (H460).

5 **Table II: Inhibition of Proliferation of Human Colon Carcinoma (HT-29) Cells**

Compound	100 $\mu$ M		25 $\mu$ M	
	% Survival	SD(%)	% Survival	SD(%)
5	110.7	1.9	110.9	2.8
6	3.2	0.2	11.7	1.6
9	15.1	2.8	68.3	16
10	7.6	0.5	25.8	2.6
11	94.3	3.6	107.8	1
13	82.4	0.8	105.9	5.4
14	3.8	0.5	55.2	15.7
19	37.1	7.2	105.5	2.9
20	28.1	5	100	2.7
23	45.7	5.8	98.2	0
25	39.8	4.7	63.9	1.6
27	35	0.6	62.3	2.4
29	20.9	1	37.1	6.2
31	24.9	1.8	98.6	3.3
32	7.7	0.6	22.7	0
33	10	0.3	56.1	5.9
34	10.8	0	22.8	2
35	2.5	0.3	44.1	4.4
36	4.7	0.8	31.6	2.2
38	35.7	1.5	67	7.9
39	53	0.3	96.2	4
40	36.7	1.8	79.1	1.4
42	1.8	0	59.9	0.1
43	5.7	0.3	28	6.8

Compound	100 $\mu$ M		25 $\mu$ M	
	% Survival	SD(%)	% Survival	SD(%)
44	6.5	0.4	63.6	2.9
45	35	0.6	88.9	3.3
46	4.5	0	16.1	1
73	62.2	3.2	65	2
83	109.5	4.7	100.3	1.1
CPT-11	51.1	3.2	82.3	10
Vehicle	100	7	100	7

**Table III: Inhibition of Proliferation of Human Lung Carcinoma (NCI- 460) Cells**

Compound	100 $\mu$ M		25 $\mu$ M	
	% Survival	SD(%)	% Survival	SD(%)
5	106	2.6	102	0.7
6	1.9	0.5	10.4	0.8
9	8.4	1.6	98.2	1
10	2.7	0.1	26.9	1.6
11	101.6	8.3	98.8	3.3
13	96.2	1.1	101.9	4
14	1.8	0.1	83.5	20.4
19	27.3	6.1	89.2	0.2
20	82.1	20.6	98.6	2.1
23	92.1	0	96.3	0.9
25	89	3.4	99.5	0.8
27	43.1	1.4	93.5	0.2
29	20.2	1.4	73.8	2.2
31	37.6	5.6	94	2.3
32	2.9	0.5	15	0.2
33	9.4	2	73.4	2.2

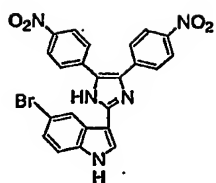
Compound	100 $\mu$ M		25 $\mu$ M	
	% Survival	SD(%)	% Survival	SD(%)
34	7.6	0.9	17.4	0.2
35	1.2	0.1	83.8	8
36	2.4	0.3	24.5	2.1
38	12.2	1.6	98.8	2
39	17	0.7	98.1	0.3
40	7.7	0.5	97.6	3
42	1.2	0.1	66.1	16.9
43	3	0.1	18.8	1.5
44	3.4	1	77.3	5.3
45	32.7	5.5	96	1.1
46	1.9	0.1	11.5	1.4
73	53.5	1.3	89.2	0.6
83	109.2	1.5	100.9	2.6
CPT-11	6.1	0.5	32.2	4.5
Vehicle	100	3.4	100	3.4

**Example 50: *In vitro* Inhibition of Proliferation of Cancer Cells #2**

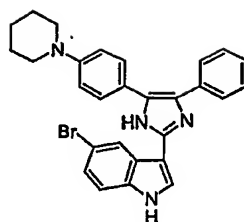
The compounds listed below were tested for anti-cancer activity against several carcinoma cell lines as described below and in Examples 51-53.



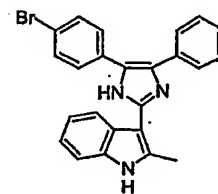
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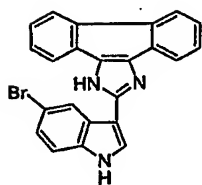
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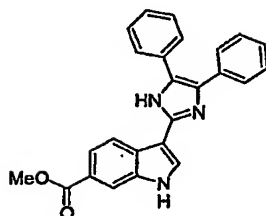
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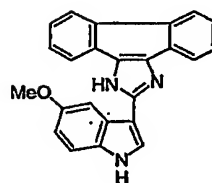
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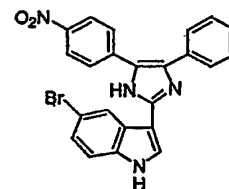
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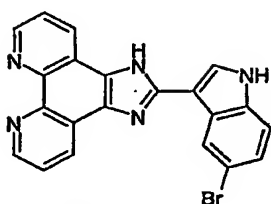
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99



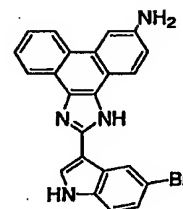
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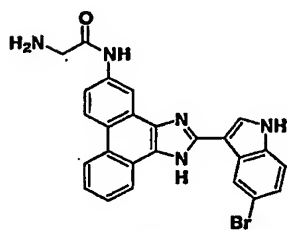
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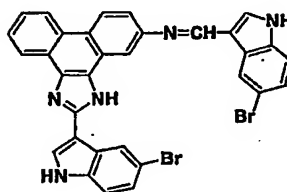
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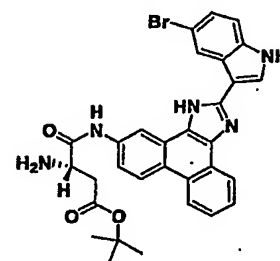
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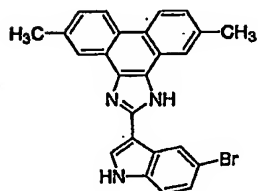
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102



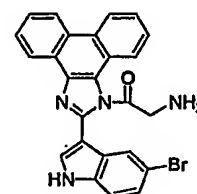
103



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Cells were maintained in  $\alpha$ -MEM medium (Wisent, St-Bruno, QC) supplemented with 10% FBS, and grown at 37°C in an atmosphere of 5% CO<sub>2</sub>. They were transferred onto 150mm tissue culture plates and grown until sub-confluency (70-80%) prior to their use.

- 5 The anti-cancer activity *in vitro* was evaluated by a cell proliferation assay based in the ability of live cells to reduce the tetrazolium salt XTT to orange coloured compounds of formazan (XTT cell proliferation kit II, Roche Applied Science, Montreal, QC). The following cancer cell lines were tested: HT-29 colon carcinoma, A498 renal carcinoma, Caki-1 renal carcinoma, C8161 melanoma, MDA-MB-231  
10 breast adenocarcinoma, A2058 metastatic melanoma, SK-OV-3 ovarian adenocarcinoma, Hep G2 liver carcinoma, AsPC-1 pancreatic adenocarcinoma, PC3 metastatic prostate adenocarcinoma. WI 38 is a human lung fibroblast cell line.

Approximately  $2-3 \times 10^3$  cells in 100  $\mu$ l of complete culture medium were plated onto 96-well microtiter plates and incubated overnight at 37°C, the medium was removed  
15 by inverting plate and patting on sterile absorbent cloth. Fifty  $\mu$ l of medium containing the different compounds at different concentrations were added and wells were incubated at 37°C with 5% CO<sub>2</sub> for 48 h. Following incubation, 25  $\mu$ l of an XTT reaction mixture (XTT at a final concentration of 0.3 mg/ml) were added and wells were incubated for 4 h. The absorbance of each sample was determined at a  
20 wavelength of 490nm/650 nm as reference. The percentage of survival was determined by the ratio between absorbance values of cells incubated with the different compounds and their respective controls (cells incubated with vehicle only). The results are shown in Figures 1-4.

Figure 1 depicts the results with compound 92; Figure 2 depicts the results with  
25 compound 28; Figure 3 depicts the results with compound 50; and Figure 4 depicts the results with compound 42.

**Example 51: Concentration Dependence of Inhibition of Cancer Cell Proliferation by Compound 45 *in vitro***

The effect of various concentrations of compound 45 on various cancer cell lines was tested following the general protocol outlined in Example 50, with the following exceptions. Cell survival was assessed 48 h, 72 h and 6 days post-treatment by incubating cells with XTT for 2 h. The cancer cell lines utilised in this example were the same as those listed in Example 50, together with the cervical carcinoma cell line KB. The results are shown in Figures 5 and 6, which depict cell survival after treatment with various concentrations of compound 45. A. 48 h after treatment, B. 72 h after treatment and C. 6 days after treatment.

**Example 52: Concentration Dependence of Inhibition of Cancer Cell Proliferation by Compounds 45, 33 and 99 *In Vitro***

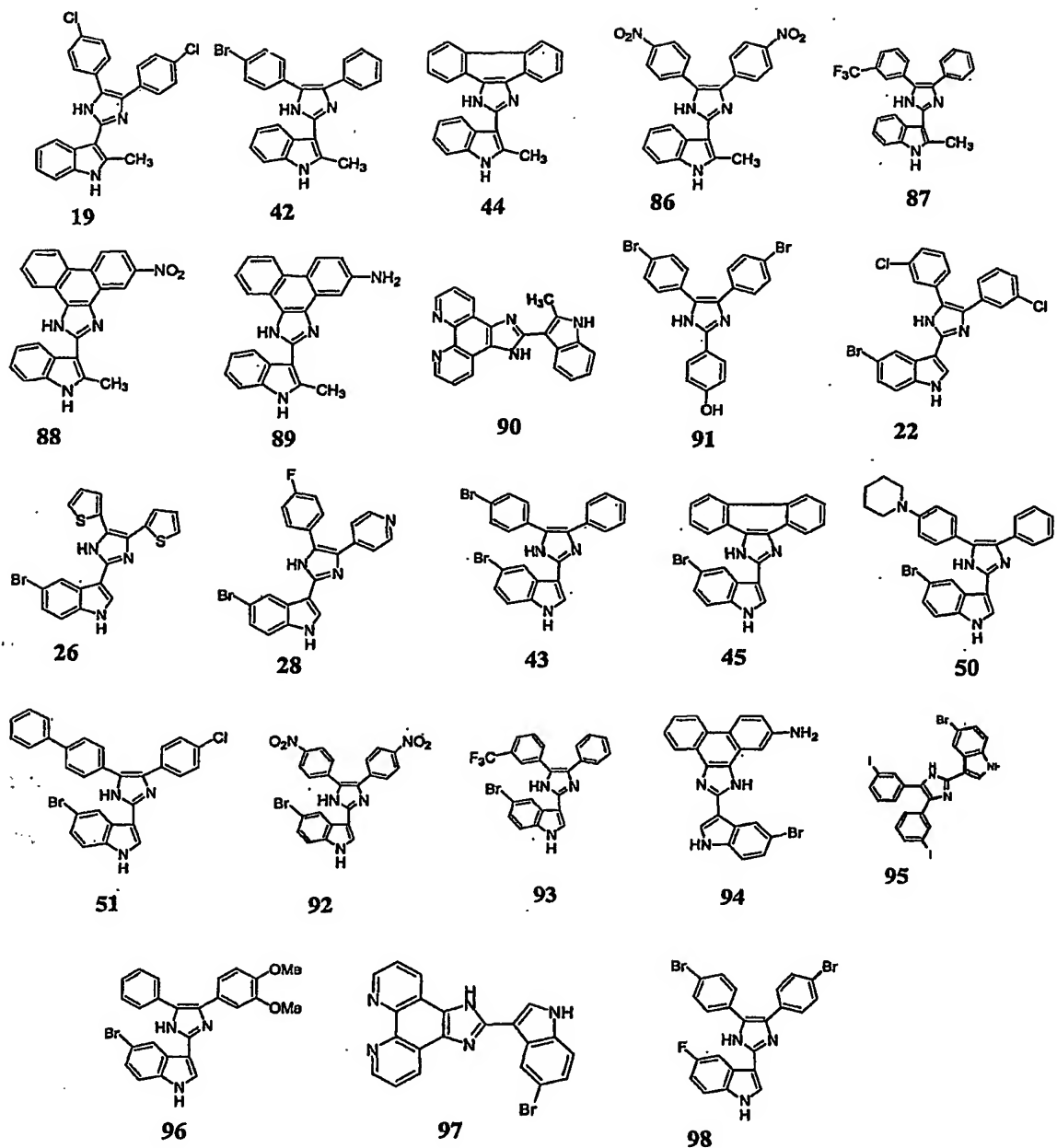
The effect of various concentrations of compounds 45, 33 and 99 on the colon carcinoma cancer cell line LS513 was tested following the general protocol outlined in Example 50, with cell survival being assessed 6 days post-treatment. The results are shown in Figure 7.

**Example 53: *In Vitro* Inhibition of Proliferation of Colon Carcinoma Cells #1.**

The effect of various compounds of Formula I on the proliferation of HT-29 colon carcinoma cells was tested following the general protocol outlined in Example 50 with the exception that cell survival was assessed after 5 to 7 days of treatment. The results using concentrations of 2, 10 and 25  $\mu$ M of each compound are shown in Figure 8. Results were compiled from different experiments with 5 to 7 days of treatment. The co-efficient of variation for most samples were within 5%.

**Example 54: *In Vitro* Inhibition of Proliferation of Cancer Cells #3**

The twenty-three compounds of Formula I shown below were evaluated for their antiproliferative effects in a panel of 60 human cancer cell lines as part of the *in vitro* anticancer screening services provided by the DTP (Developmental Therapeutics Program) of the US National Cancer Institute (NCI) U.S. National Cancer Institute (NCI) of the National Institutes of Health (NIH) in Rockwell, Maryland. The cancer cell lines used in this screen are provided in Figure 9.



The NCI conducts a standard 48/72 hour 60 cell line assay and an *in vitro* time course assay as described in Alley *et al.* (*Cancer Res* (1988) 48:589). In the standard 60 cell line assay, a minimum of 5 concentrations of the test compound are tested at 10-fold dilutions against 60 cell lines and cell growth is assayed at 48 and 72 hours using a sulphorhodamine B assay. For the time course analysis, tumour cells are treated with

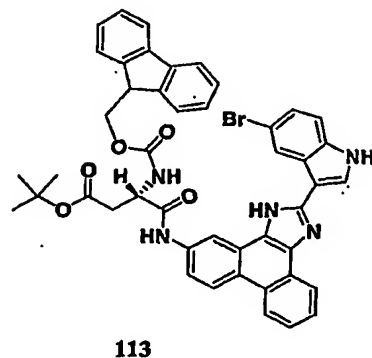
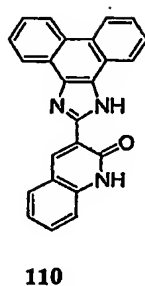
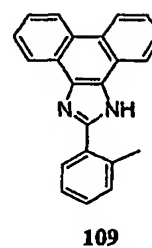
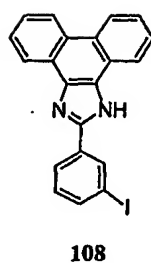
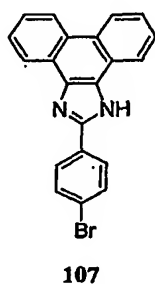
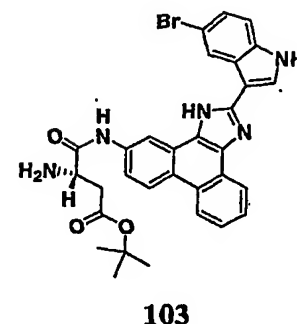
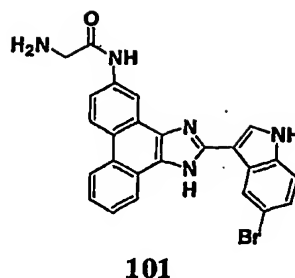
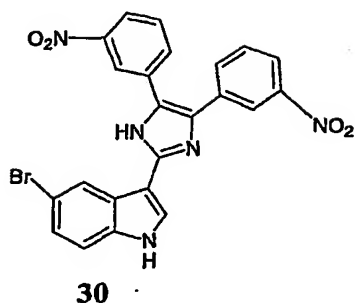
the test compound at various time points, then washed and grown in medium free of the test compound until the end of the experiment at 144 hrs. This assay employs 20% FBS to better approximate the minimum c x t (concentrations and times) test compound exposure conditions that are required to achieve activity *in vivo*. Cell growth is quantified by an MTT assay (similar to the XTT assay described above) and the concentration of the test compound required for growth inhibition is determined. The inhibitory effect of the test compounds are expressed as a GI<sub>50</sub> value, which represents the molar concentration of the test compound that results in 50% growth inhibition.

All compounds exhibited antiproliferative activity against all human tumour cell lines including NSCLC, leukemia, colon cancer, prostate cancer, melanoma, ovarian cancer, renal cancer, CNS cancer, and breast cancer, with GI<sub>50</sub> (growth inhibition by 50%) values ranging from 0.61  $\mu$ M to 12.3  $\mu$ M, with an average of 2  $\mu$ M. The compound 45 had a GI<sub>50</sub> value of 2.0  $\mu$ M, while the most effective compound was 90 (Figure 10A). The compounds affected the growth of all cell lines comparatively equally. The average GI<sub>50</sub> values for compound 45 ranged from 1.3  $\mu$ M (renal) to 3.4  $\mu$ M (leukemia) (Figure 10B). These results suggest that compound 45 affects a ubiquitous target. The TGI (total growth inhibition) for this compound towards leukemia cell lines was significantly different from that of other cell types. These cell lines were not 100% growth inhibited, even at 100  $\mu$ M, the highest concentration used (Figure 10C).

#### **Example 55: *In Vitro* Inhibition of Proliferation of Lung Cancer Cells**

The following compounds were tested for their ability to inhibit the proliferation of H460 non-small cell lung carcinoma cells *in vitro*. The protocol described in Example 50 was utilised with the exception that cell survival was assessed after 6 days of treatment. Each compound was tested at concentrations of 0.2, 2, 10 and 25  $\mu$ M. The results are shown in Figure 11.

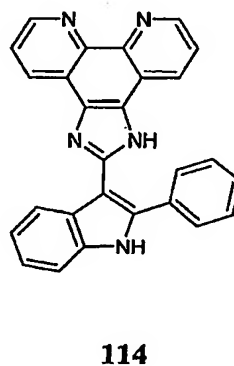
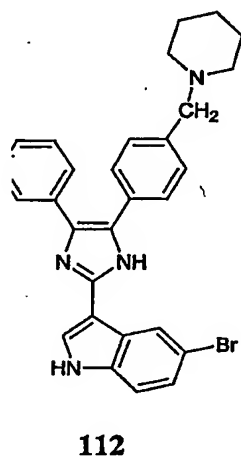
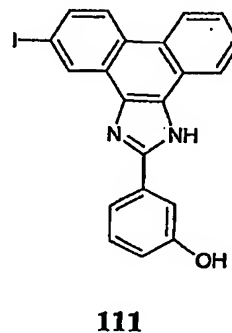
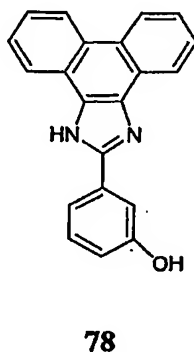
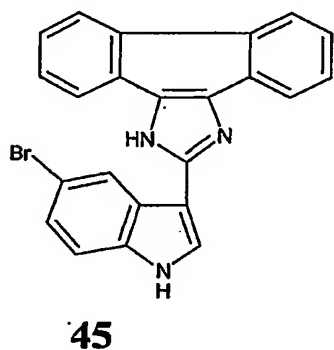




#### Example 56: *In Vitro* Inhibition of Proliferation of Colon Carcinoma Cells #2

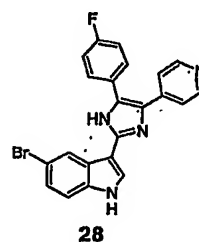
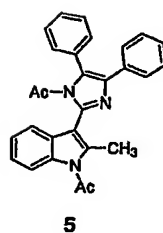
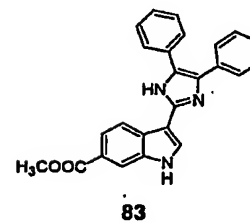
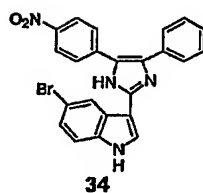
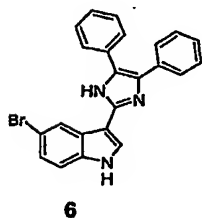
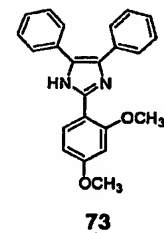
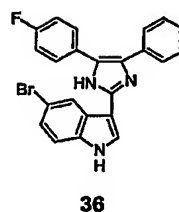
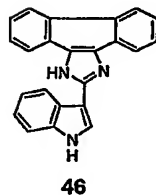
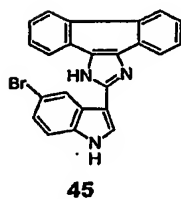
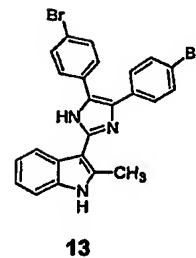
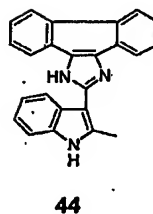
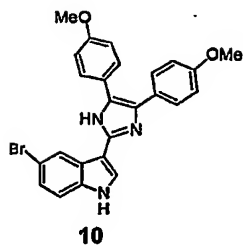
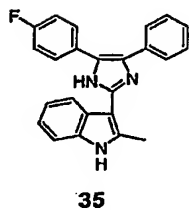
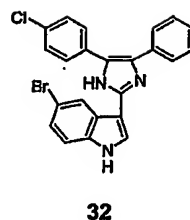
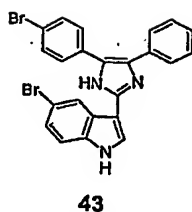
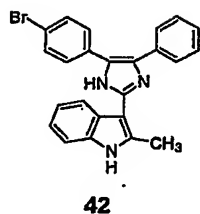
The above compounds (as shown in Example 55), together with those shown below, were tested for their ability to inhibit the proliferation of HT-29 colon carcinoma cells *in vitro*. The protocol described in Example 50 was utilised with the exception that cell survival was assessed after either 2 or 6 days of treatment. Each compound was tested at concentrations of 0.2, 2, 10 and 25  $\mu\text{M}$  (compounds 110, 30, 101, 113, 103, 107, 108 and 109) or at concentrations of 2.5, 10 and 25  $\mu\text{M}$  (compounds 112, 114, 78, 111 and 45). The results are shown in Figures 12 and 13. The results shown in

Figure 12 reflect cell survival 6 days after treatment with the listed compounds. Figure 13A shows cell survival 2 days after treatment with the listed compounds and Figure 13B shows cell survival 6 days after treatment.



#### 5 Example 57: Inhibition of Colon Carcinoma Growth *In Vivo* #1

This Example and the following Example 58 describe *in vivo* efficacy studies of various compounds of Formula I performed using a mouse xenograft model using the human colon adenocarcinoma cell line HT-29. The following compounds were tested.



Groups of five to 10 CD-1 female nude mice (6-7 weeks) were injected in the lower mid back with human colon adenocarcinoma cells HT-29 ( $3 \times 10^6$  cells in 0.1 ml  
 5 PBS) subcutaneously, and the treatment initiated 5 days post-inoculation (size of tumours = 20-40 mm<sup>3</sup>). The treatment schedule consisted of 2 x 200  $\mu$ l intraperitoneal

injections per day of 5 mg/ml (100 mg/Kg/d) for five days and 2 days break, for 4 weeks. Tumour sizes were measured during the course of the treatment using calipers, mice were then sacrificed by cervical dislocation and tumours surgically removed and weighed. Figures 14 shows the average tumour size (mm<sup>3</sup>) in the different groups of mice. Figures 15 and 16 show the average tumour weight per group of mice and per individual mouse, respectively.

#### **Example 58: Inhibition of Colon Carcinoma Growth *In Vivo* #2**

The protocol described in Example 55 was followed. The results are shown in Figure 17, which depicts the average tumour size (mm<sup>3</sup>) in the different groups of mice. Abbreviations used in Figure 17 are as follows: V-ip = Vehicle (i.p); 42 (5-ip) = 5 mg/Kg (i.p.); 42 (25-1p) = 25 mg/Kg; 42 (100-ip); 100 mg/Kg (i.p.); 43 (ip) = 100 mg/Kg(i.p.); 45 (ip) = 100 mg/Kg (i.p); 44(ip) = 100 mg/Kg(i.p.); 46 = 100 mg/Kg (i.p); 28 = 100 mg/kg (i.p); V-op = vehicle, oral; 42 (100-op) = 100 mg/Kg (oral).

#### **Example 59: *In Vivo* Inhibition of Cancer Cell Growth by Compound 45**

The ability of compound 45 to inhibit the growth of cancer cells *in vivo* was further investigated in a mouse xenograft model of hepatocellular (liver) cancer. Groups of five to 10 CD-1 female nude mice were injected subcutaneously in the mid right flank with HepG2 human hepatocarcinoma cells (1 x 10<sup>7</sup> cells). The treatment was initiated 7 days post-inoculation and consisted of 2 x 200 µl intraperitoneal injections per day (100 mg/Kg/d). Tumour sizes were measured during the course of the treatment using calipers, and were surgically removed and weighed after 10 weeks. The results obtained are shown in Figure 18A & B.

Notably, none of the compounds tested in the preceding Examples 57-59 showed toxic effects *in vivo*.

### Example 60: Effect of Compound 45 on the Activity of Various Human Kinase Enzymes #1

Compound 45 was tested for its ability to function as a kinase inhibitor using the kinase profiler service from Upstate Biotechnologies. The general protocol employed is as follows: recombinant kinases were incubated with specific substrates, 10 mM MgAcetate, and [ $\gamma$ - $^{33}$ P-ATP]. The reaction was initiated by the addition of MgATP mix. After incubation at room temperature for 40 minutes, the reaction was stopped by the addition of 5  $\mu$ l of a 3% phosphoric acid solution. 10  $\mu$ l of the reaction was then spotted on to a P30 filtermat and washed 3 times for 5 min. in 75 mM phosphoric acid and once in methanol prior to drying and scintillation counting. Each reaction was performed in duplicate with 100  $\mu$ M ATP  $\pm$  10  $\mu$ M compound 45. Results are presented in Table IV and are expressed as the mean of % control (no compound). PI 3-kinase- $\gamma$  (PI3K- $\gamma$ ) activity was determined with the PIPProfiler™ assay, which measures the binding of the GRP1 pleckstrin homology (PH) domain to PIP3, the product of PI3K acting on its physiological substrate PIP2.

Seventy-nine recombinant kinases were tested. Of these 87% retained greater than 60% activity in the presence of 10  $\mu$ M ML-220. Four kinases retained between 40 and 60% activity (Alk-60%; Aurora-A, 54%; PKD2, 52%; SAPK3, 54%; TrkA, 56%), whereas 5 kinases had less than 40% activity (CaMKII, 32%; PI3K $\alpha$ , 30%; PI3K $\beta$ , 11%; PI3K $\delta$ , 9%; and PI3K $\gamma$ , 22%). These results indicate that compound 45 can function as a kinase inhibitor, and it has a high degree of selectivity for particular kinases.

**Table IV: Kinase Inhibiting Activity of Compound 45**

Kinase	Family	% activity
Abl	TK	107
ALK	TK	60
AMPK	CAMK	100
ASK1	STE	99
Aurora-A	other	54

Kinase	Family	% activity
Axl	TK	100
BRK	TK	112
CaMKII	CAMK	32
CaMKIV	CAMK	98
CDK1/cyclinB	CMGC	156
CDK2/cyclinA	CMGC	95
CDK2/cyclinE	CMGC	117
CDK3/cyclinE	CMGC	107
CDK6/cyclinD3	CMGC	87
CDK7/cyclinH/MAT1	CMGC	95
CHK1	CAMK	111
CK2	other	91
EGFR	TK	105
EphA2	TK	95
EphB4	TK	95
ErbB4	TK	73
Fes	TK	99
FGFR3	TK	82
Fms	TK	135
Fyn	TK	103
GSK3 $\alpha$	CMGC	96
IGF-1R	TK	80
IKK $\beta$	other	111
IKK $\alpha$	other	150
JNK1 $\alpha$ 1	CMGC	85
JNK3	CMGC	121
Lyn	TK	81
MAPK1	CMGC	85
MAPK2	CMGC	99
MAPKAP-K2	CAMK	119
MEK1	STE	88
Met	TK	129

Kinase	Family	% activity
MINK	STE	91
MKK4	STE	96
MKK6	STE	86
MSK1	AGC	76
MST2	STE	77
NEK2	other	90
p70S6K	AGC	64
PAK2	STE	89
PAR-1B $\alpha$	CAMK	88
PDGFR $\alpha$	TK	117
PDK1	AGC	106
PI3K $\square$	LIPID	22
PI3K- $\beta$	LIPID	11
PI3K- $\alpha$	LIPID	30
PI3K- $\delta$	LIPID	9
Pim-1	CAMK	70
PKA	AGC	83
PKB $\alpha$	AGC	95
PKC $\mu$	AGC	90
PKC $\alpha$	AGC	92
PKC $\delta$	AGC	87
PKC $\zeta$	AGC	96
PKD2	CAMK	52
Plk3	other	132
PRK2	AGC	83
RAF	TKL	100
Ret	TK	82
ROCK-II	AGC	86
Ros	TK	107
Rse	TK	176
Rsk1	AGC	183
SAPK2a	CMGC	62

Kinase	Family	% activity
SAPK2b	CMGC	80
SAPK3	CMGC	54
SAPK4	CMGC	77
SGK	AGC	89
SRC	TK	102
TAK1	TKL	104
Tie2	TK	109
TrkA	TK	56
Yes	TK	91

**Example 61: Effect of Other Compounds of Formula I on the Activity of Various Human Kinase Enzymes**

To assess whether other compounds of Formula I also affected the same kinases, the inhibitory activity of 10  $\mu$ M of compound 30 or compound 90 was tested on five kinases: Aurora-A, CaMKII, PKD2, SAPK3, TrkA and PI3K. The results are shown in Figure 19. The results indicated that these two compounds have a different pattern of kinase inhibition than compound 45.

**Example 62: Determination of the Subcellular Localization of Compound 45 in Various Cancer Cells**

Compound 45 is intrinsically fluorescent, which allowed the subcellular localization of this compound to be examined by fluorescent microscopy. Fluorescent microscopy was performed at the Microscopy Imaging Centre, Faculty of Medicine, University of Toronto. Cells were treated with 100  $\mu$ M of compound 45 (Figure 20A, B, D, E) or 1  $\mu$ M doxorubicin (Figure 20C) for 1 hour, washed once in PBS, fixed in 3.7% formaldehyde/PBS for 10 minutes, washed three times in PBS and mounted with Immuno-fluoro. Images were obtained with a Zeiss laser scanning fluorescent microscope with an excitation filter range of 360-370 nm (compound 45) or 530-560



nm (doxorubicin). For Figure 20B and C, differential interference contrast images were overlaid with fluorescent images.

Compound 45 localizes to punctuate spots in the perinuclear area of HT-29 colon adenocarcinoma cells (Figure 20A), and is excluded from the nucleus and plasma membrane regions (Figure 20B). In contrast, the anti-cancer agent, doxorubicin, which is also intrinsically fluorescent, is localized in the nucleus (Figure 20C). A similar localization for compound 45 was observed in A498 renal carcinoma cells (Figure 20D) and C8161 melanoma cells (Figure 20E).

#### 10 **Example 63: Determination of Morphological Changes in Cells Treated with Compound 45**

Treatment with compound 45 for 24 hours leads to the formation of large vacuoles within the cytoplasm of HT29 colon adenocarcinoma cells (Figure 21), A498 renal carcinoma cells and MDA-MB-231 breast adenocarcinoma cells. These vacuoles are not formed in DMSO- or doxorubicin-treated cells. Moreover, the nuclear membrane is no longer evident in the phase-contrast images of cells treated with compound 45, even though the nucleus is still intact, as shown by DAPI staining. Figure 21 shows differential interference contrast (DIC) images (top row) and fluorescent images (lower row) of the same cells stained with DAPI, a cell permeable marker for the nucleus.

#### 20 **Example 64: Cell Cycle Analysis**

The effect of treatment with compound 45 on cell cycle progression in HT-29 colon adenocarcinoma cells was examined by flow cytometry (Figure 22). Values were determined by gate analysis of flow cytometric plots and are presented in Figure 22 as a percentage of the total cell population, after eliminating doubles. Apoptotic events inferred by the surface area preceding G1 phase. Cells were starved for 3 days, and treated with 15  $\mu$ M or 25  $\mu$ M compound 45 for 24 or 48 hours in the presence of 10% serum, followed by flow cytometric analysis. Treatment with compound 45 led to an increase of cells in the G1 phase and a decrease in the S and G2/M phases.

The results presented above in this Example and in Examples 58, 60 and 61 indicate that compound 45 suppressed the growth of HT-29 colon cancer cells with a  $GI_{50}$  of 2.6  $\mu$ M, and induced a partial arrest in the G0/G1 phase of the cell cycle. Fluorescent microscopy revealed the presence of compound 45 within the cytoplasm, but not the nucleus or plasma membrane regions of the cell. In addition, compound 45 was found to inhibit kinase activity in a screen of protein kinases, indicating that the cellular target may be a cytoplasmic protein kinase. These results indicate that compound 45 and related derivatives have potential as therapeutic agents for the treatment of human cancer.

#### 10 **Example 65: Selectivity of Compounds of Formula I**

Compounds of Formula I that demonstrate the ability to decrease the growth or proliferation of at least one cancer cell line may undergo further testing to evaluate their selectivity towards cancer cells. An exemplary method to measure the selectivity of the compounds of the present invention is provided below.

- 15  $IC_{90}$  values of selected compounds on a panel of normal actively proliferating cells (HUVEC and WI38) and cancer cells representing colon (HT-29), lung (NCI-H460), breast (MDA-MB-231) and prostate cancer (PC-3) are measured. Compounds with 2-fold or higher overall selectivity to the panel of cancer cell lines at  $IC_{90}$  are identified as potential therapeutics.
- 20  $IC_{90}$  values are determined using the XTT assay as an indicator of growth arrest and/or cytotoxicity. This assay is conducted as outlined in Example 50. Percentage inhibition is calculated for each cell line and  $IC_{90}$  values for each compound and cell type determined. The average  $IC_{90}$  values for the normal cells are calculated and divided by the average  $IC_{90}$  values for the cancer cell lines. Compounds with a
- 25 selectivity ratio of  $>2$  are identified and chosen for further optimization and/or testing.

#### **Example 66: Additional *In Vivo* Anti-tumour Efficacy Evaluations**

Further pharmacological evaluation of selected compounds is conducted in animal models of human tumour growth. Data from these studies provide evidence of the

therapeutic efficacy of selected compounds against various types of cancer and help to identify compounds with better pharmacological properties and potency.

Examples of mouse models that can be utilized to investigate the efficacy of selected compounds include, but are not limited to, xenografts of various human tumour types, inoculated subcutaneously into nude mice or mice with severe combined immunodeficiency disorder (SCID) as described above; orthotopic implantation of various human tumours in nude or SCID mice for investigation of effects on the tumour in the target organ (for example, a pancreatic cancer cell graft implanted directly into the pancreas of the animal), and investigation of spontaneous tumours in normal mice.

In order to provide evidence of the efficacy of a selected compound as a single agent, it may be evaluated, for example, in specific models (xenograft or orthotopic) for representative human cancers such as pancreas, skin (melanoma), kidney, colon, breast, lung, liver, ovary, prostate, bladder and brain. Similar studies can be conducted to evaluate the performance of test compounds in combination with other standard therapeutic modalities used in the treatment of human cancers.

For typical xenograft studies, 5-6 week old, female, CD-1 athymic nude mice, (Charles River, Montreal, QC) are acclimatized in a pathogen-free facility for at least 1 week. Animal protocols followed are in compliance with the Guide for the Care and Use of Laboratory Animals in Canada. Approximately  $10^6$ - $10^7$  human tumour cells in 100 ml PBS are subcutaneously injected into the right flank of each mouse. Once tumours reach an approximate volume of 100 mm<sup>3</sup> (several days post tumour cell injection), mice are randomized by tumour size into control and treatment groups. Test compounds are administered at various doses 5 days a week for several weeks. Control animals receive vehicle alone (negative control) and/or a standard chemotherapeutic (positive control) for the same period. The tumour dimensions (length, width, and height) are measured using calipers twice a week over the treatment period. Tumour volume is calculated by the formula  $L \times W \times H/2$ , where L indicates length, W indicates width and H indicates height. The mice are sacrificed when the tumour burden reaches approximately 10% of total body weight and excised

tumours are weighed. A standard bar graph is used to demonstrate the differences in tumour weights with each bar representing mean tumour weight.

#### **Example 67: Additional Assays to Investigate Potential Mechanism of Action**

The potential mechanism of action of selected compounds can be investigated using assays such as cell-cycle analysis, apoptosis assays, anti-angiogenesis assays and immunohistochemical analysis. A representative example of each type of assay is provided below.

##### *i) Cell-cycle analysis*

Alterations in cell cycle are determined using flow cytometric analyses. Tumour cells sensitive to a test compound are synchronized by plating in medium containing 0.5% FBS for 24 h followed by culturing in FBS-free medium for 48 h. The cells are then released into complete medium containing 0.1% DMSO (vehicle control) or the test compound at an appropriate concentration (*e.g.* 3 x IC<sub>90</sub> value), harvested 16 to 24 h following treatment, washed twice with cold PBS and fixed in 70% ethanol at 4° C for at least 4 h. The fixed cells are centrifuged at 1500rpm for 4 minute at 4° C, washed twice with cold PBS containing 2% FBS, treated with 3 mg/ml ribonuclease (Sigma Chemical Co. Oakville, ON) and 50 µg/ml propidium iodide (PI) (Sigma Chemical Co.) for 30 minutes at 37° C. The fluorescence of the stained cells is measured using a FACScan flow cytometer and the Cell Quest program (Becton Dickinson, San Jose, CA). Data are evaluated using Modfit software (Verity software House, Topsham, ME) and the effects of the selected compounds on cell cycle are evaluated.

##### *ii) Apoptosis assay*

DNA fragmentation analysis is used to evaluate the apoptotic effects of test compounds. Briefly, cells are plated in six-well culture plates 24 hr prior to treatment. After incubation with the test compound, medium containing detached cells is transferred to 15 ml conical tubes while cells still attached to the plate are trypsinized and then added to the same tubes. After centrifugation, collected cells are washed with PBS and resuspended in 0.5 ml lysis buffer containing 50 mM Tris-HCl, pH 8.0, 1.0 M NaCl, 10 mM EDTA and 0.5% SDS. Cell lysates are transferred to

microfuge tubes and proteinase K is added to a final concentration of 0.2 ml/ml and incubated overnight at 37° C. DNA is extracted by phenol:chloroform:isoamyl alcohol (24:24:1), dried and dissolved in 40 µM of 10 µM Tris-HCl (pH 8.0) and 0.1 mM EDTA. DNase-free RNase A is added to each sample for 30 min at 37° C and 12  
5 µl of each sample are loaded onto a 2% agarose gel containing 0.5 µg/ml ethidium bromide and electrophoresed. DNA is visualized under UV illumination and the induction of apoptosis by the test compound is evaluated based on the generation of a nucleosomal-size DNA ladder.

*iii) Anti-angiogenesis assay*

10 Proliferation of new capillaries, *i.e.* angiogenesis or neovascularization, is critical for the transition of a small localized tumour to expand into a large malignant growth. The Matrigel Plug Assay (see, Passaniti *et al.*, *Lab. Invest.* (1992) 67:519) is a simple method for assessing angiogenesis and the possible anti-angiogenic effect of selected  
15 compounds in mice. Briefly, liquid Matrigel (Becton Dickinson & Co., NJ) is injected subcutaneously near the abdominal midline or the dorsal flank of the animal using a 25-gauge needle. Growth factor-reduced Matrigel supplemented with 8.3 nM basic fibroblast growth factor (bFGF, Collaborative Biomedical Products, MA) stays in liquid form at 4 °C. bFGF is a proven and potent inducer of angiogenesis. When  
20 injected into a mouse (0.5 ml/mouse), Matrigel immediately forms a readily recoverable solid gel, which is removed at various times (not exceeding 10 days) to assess neo-vessel growth around and into the gel. Test compounds are administered according to appropriate doses and schedules. Typically at a 5-day point, mice are sacrificed, overlying skin is removed and the gels are cut out retaining the peritoneal  
25 lining for support. For quantitation of angiogenesis, two methods are employed: 1. haemoglobin content in the gel is measured using the Drabkin method (Drabkin and Austin, *J. Biol Chem.* (1932) 98:719) and Drabkin reagent kit 525 (Sigma, MO); 2. the number of blood vessels invading the Matrigel is determined by microscopic analysis after the gels are fixed, embedded in paraffin, sectioned and stained.

*iv) Immunohistochemistry*

The anti-cancer effects of test compounds can be evaluated in mouse xenograft models (as described above) by quantitating the effects of these compounds on tumour growth, differentiation, apoptosis and angiogenesis using immunohistochemical methods.

- 5 Tumour cell proliferation, angiogenesis and tumour immune infiltrates are delineated immunohistochemically using specific antibodies (Ki-67 for proliferation, CD31 for angiogenesis and NK1.1 for NK cells and F4/80 for macrophage). Apoptosis is delineated utilising the TUNEL assay (*In Situ* Cell Death Detection kit; Boehringer Mannheim, Laval, QC). Signal generation is accomplished by peroxidase catalyzed  
10 generation of enzyme product which is visualized microscopically. Tissue histology is determined after H&E staining of separate sections.

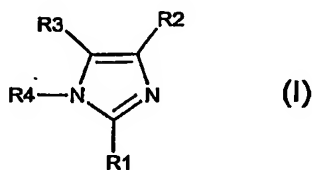
- Briefly, tumour xenografts from treated mice are isolated, fixed and paraffin embedded individually in blocks and several 5  $\mu$ m sections are cut for immunostaining and TUNEL assays. One additional section is obtained for H&E  
15 staining. For all immunohistochemical labeling, prior antigen retrieval is employed to improve detection. Typically, a 3-step amplification method is used to generate signals in immunohistochemistry that consists essentially of applying a biotinylated secondary antibody that recognizes the primary antibody, followed by avidin-peroxidase incubation. The final step is enzyme reaction in stable DAB solution.  
20 Immunohistochemical sections are counterstained with hematoxylin for tissue histology. To eliminate non-specific immunostaining with mouse monoclonal antibodies applied to mouse tissues, a specific blocking step is included in the procedure. Staining patterns are documented photographically, examined by at least two independent observers and quantitated by counting a pre-determined number of  
25 cells.

- The disclosure of all patents, publications, including published patent applications, and database entries referenced in this specification are specifically incorporated by reference in their entirety to the same extent as if each such individual patent, publication, and database entry were specifically and individually indicated to be  
30 incorporated by reference.

The invention being thus described, it will be obvious that the same may be varied in many ways. Such variations are not to be regarded as a departure from the spirit and scope of the invention, and all such modifications as would be obvious to one skilled in the art are intended to be included within the scope of the following claims.

**THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:**

1. Use of a therapeutically effective amount of a compound of formula I:



wherein:

R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

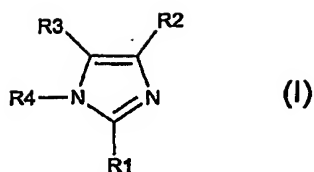
R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,

to inhibit neoplastic cell growth or proliferation in a mammal.

2. Use of a therapeutically effective amount of a compound of formula I:





wherein:

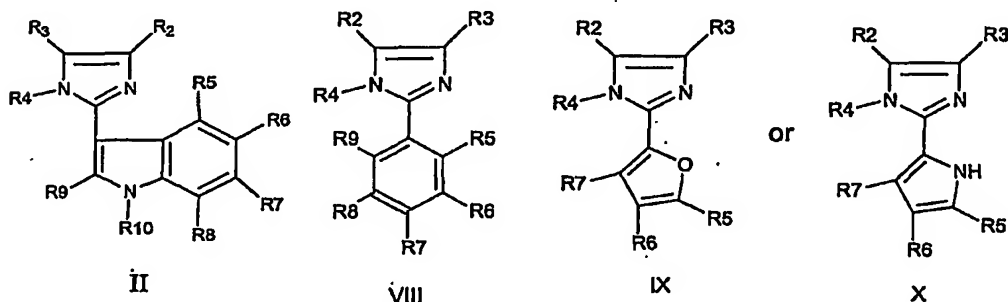
R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,

in the treatment of cancer in a mammal in need thereof.

3. The use according to claim 1 or 2, wherein said compound is selected from the group of compounds of structural formulae:



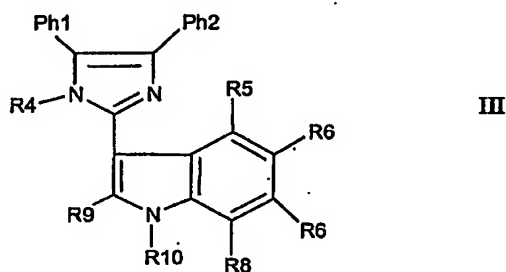
or a salt thereof, wherein:

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached form aryl or substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0.2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl; and

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl,  $-CH_2$ -aryl, or  $-CH_2$ -heteroaryl.

4. The use according to claim 1 or 2, wherein said compound has formula III:



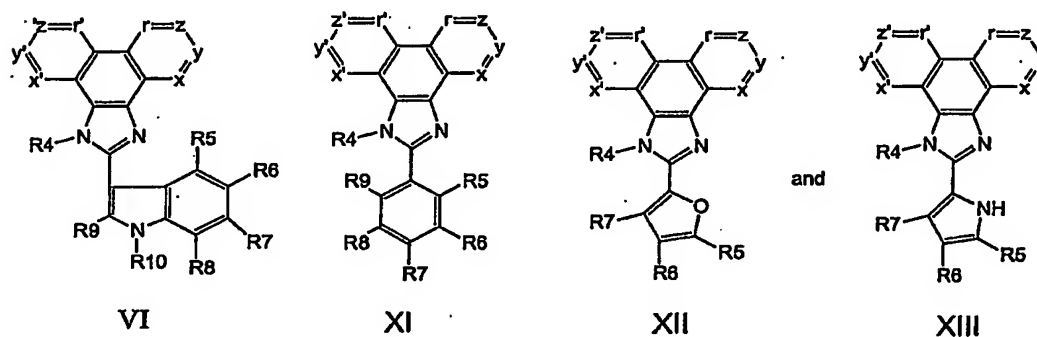
or a salt thereof, wherein:

Ph1 and Ph2 are independently selected from phenyl and substituted phenyl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, or acyl.

5. The use according to claim 1 or 2, wherein said compound is selected from the group of compounds of structural formulae:



or a salt thereof, wherein:

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

x is CR11 or N;

y is CR12 or N;

z is CR13 or N;

r is CR14 or N;

x' is CR15 or N;

y' is CR16 or N;

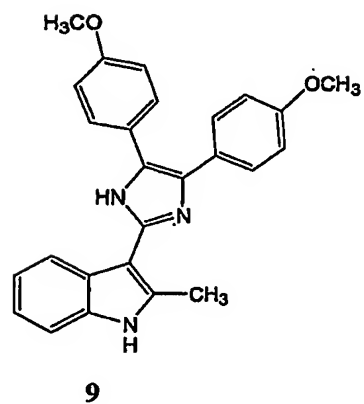
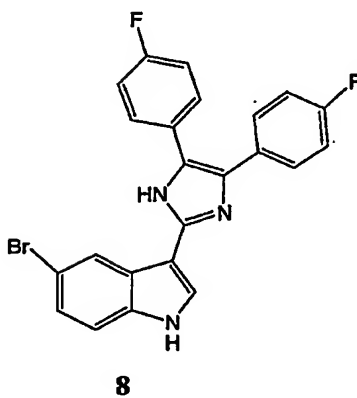
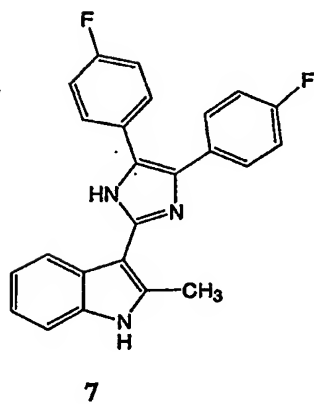
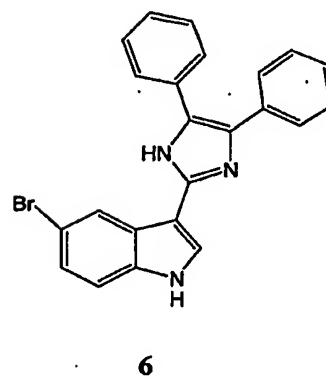
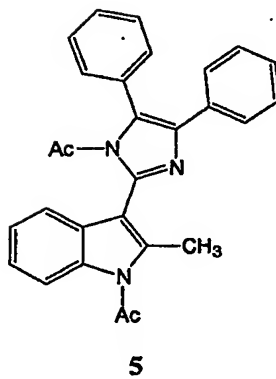
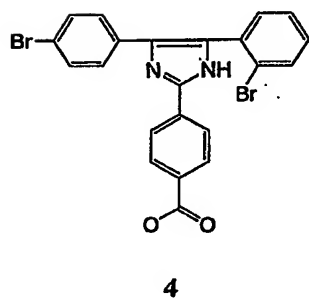
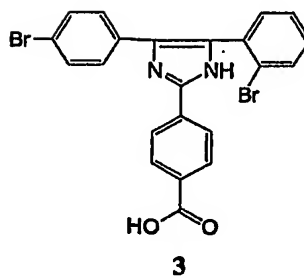
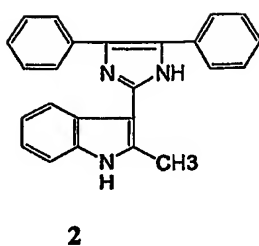
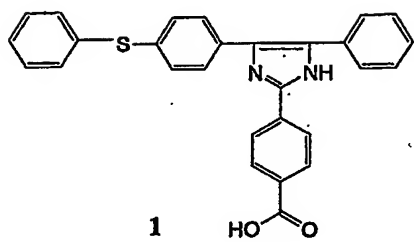
z' is CR17 or N;

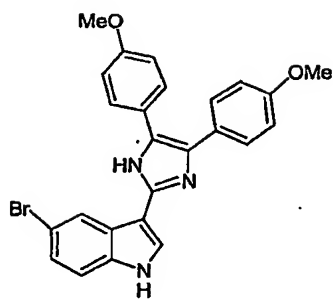
r' is CR18 or N;

R10 is H, alkyl, substituted alkyl, alkenyl, substituted alkenyl, alkynyl, substituted alkynyl, aryl, substituted aryl, heteroaryl, substituted heteroaryl, acyl.

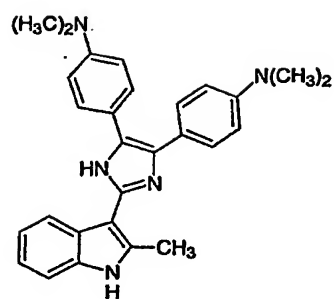
R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

6. The use according to claim 1 or 2, wherein said compound is selected from the group of compounds:

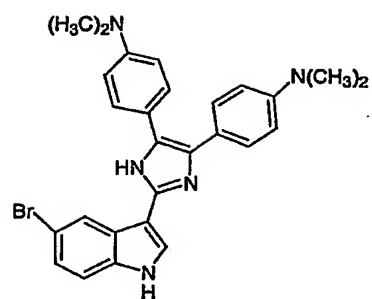




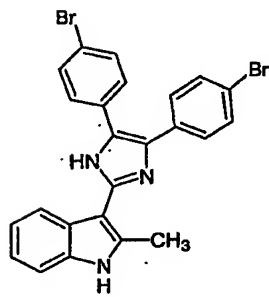
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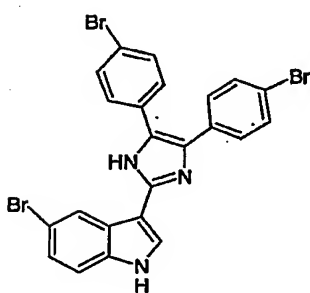
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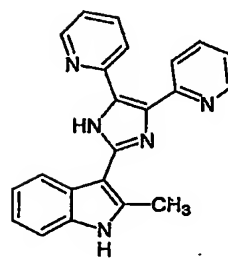
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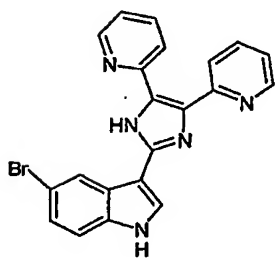
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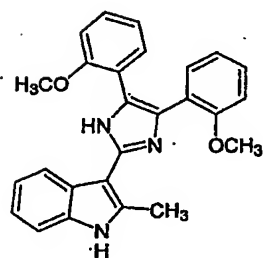
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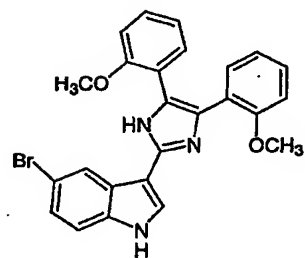
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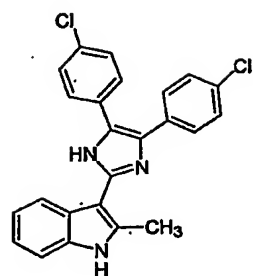
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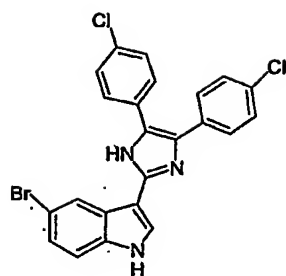
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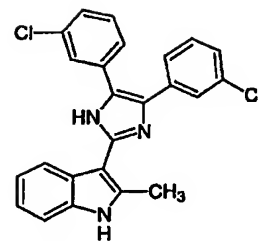
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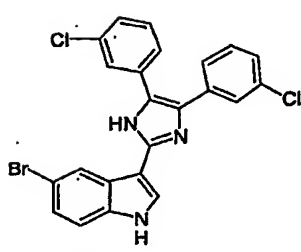
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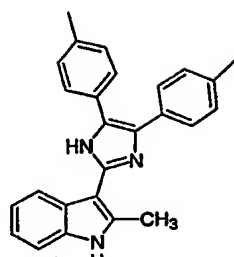
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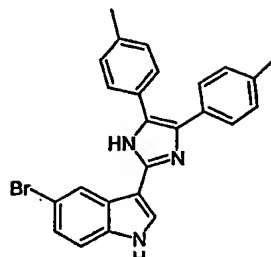
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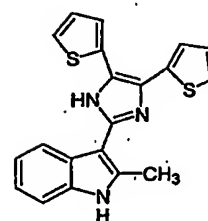
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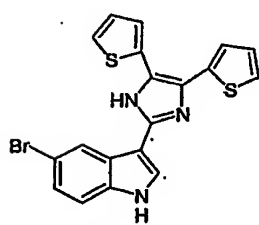
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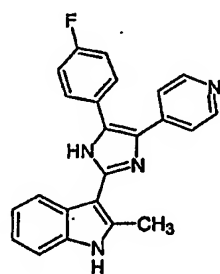
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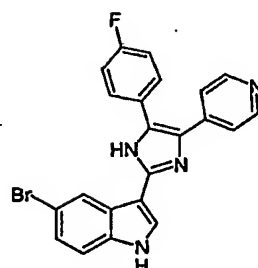
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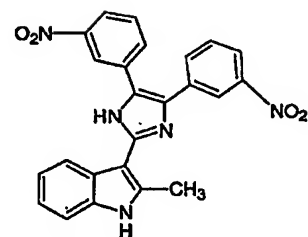
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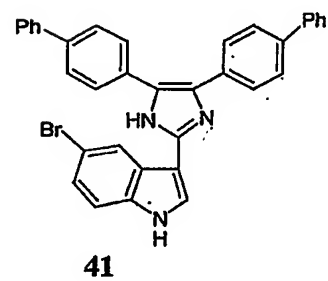
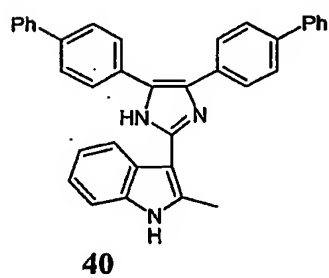
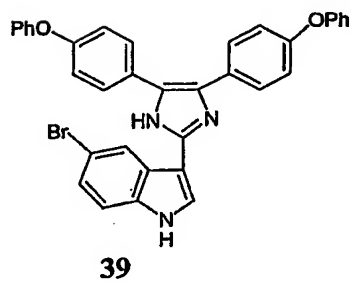
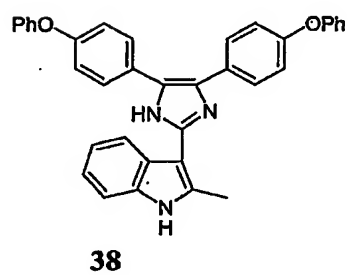
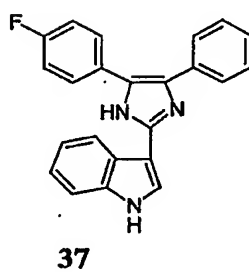
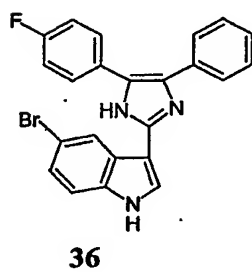
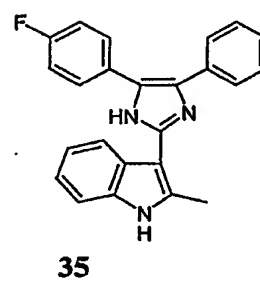
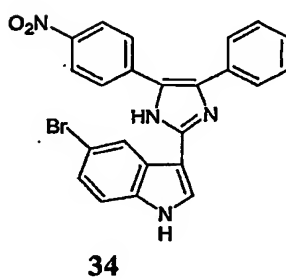
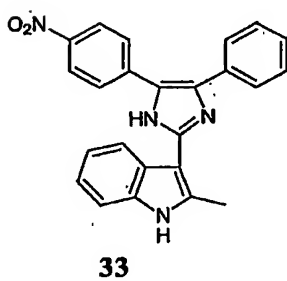
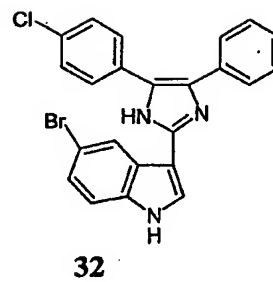
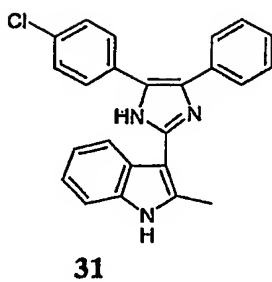
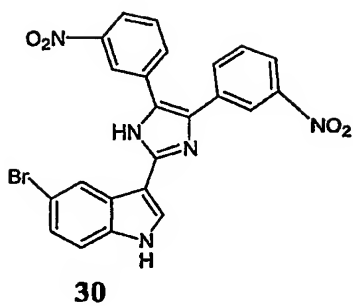
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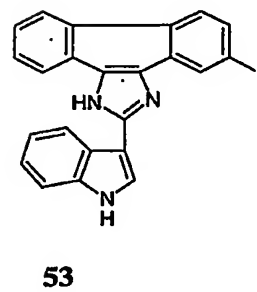
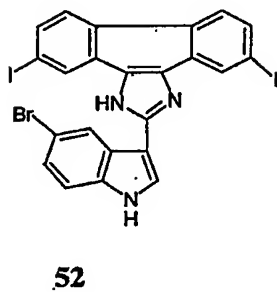
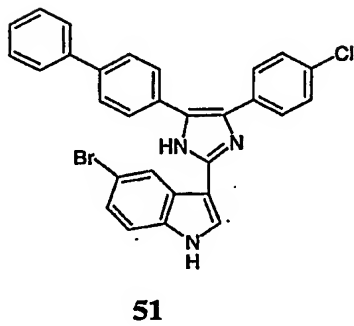
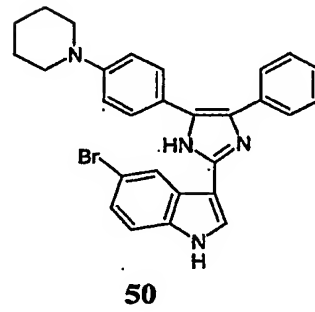
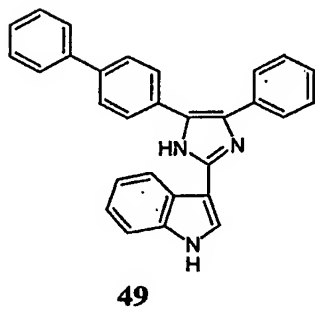
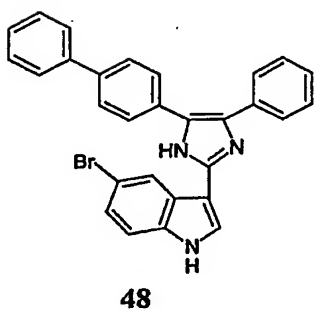
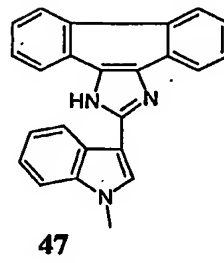
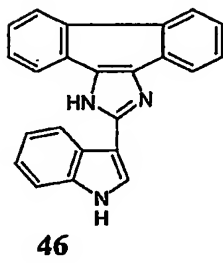
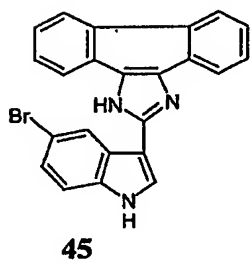
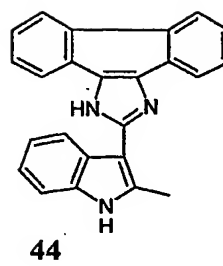
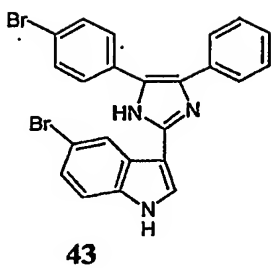
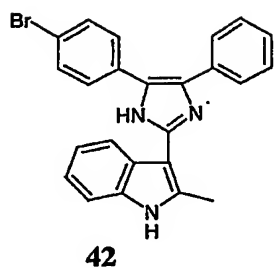
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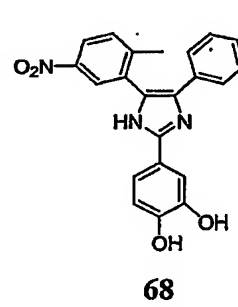
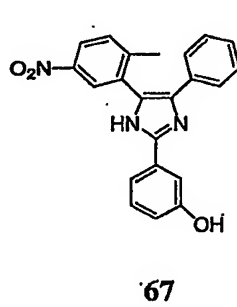
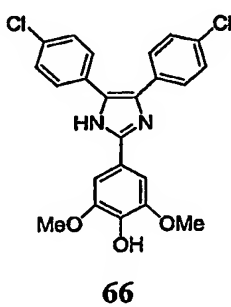
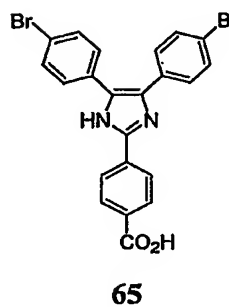
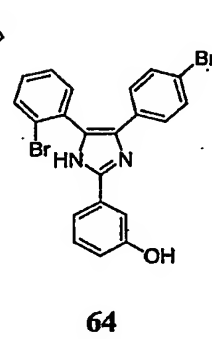
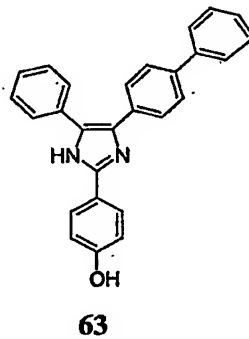
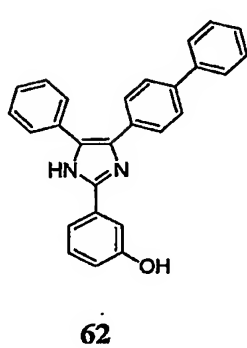
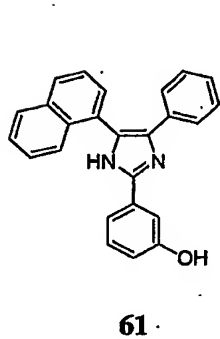
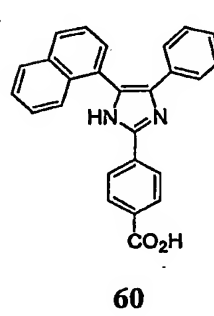
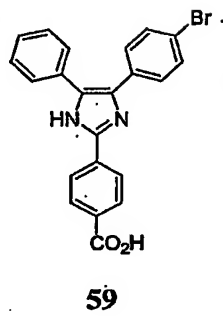
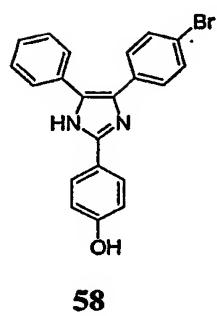
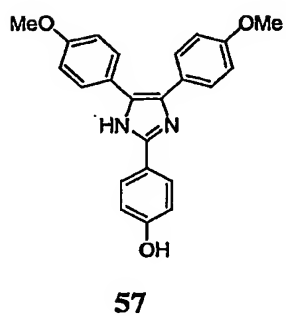
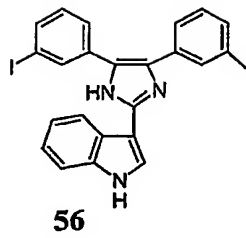
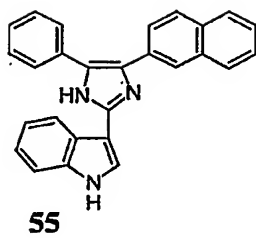
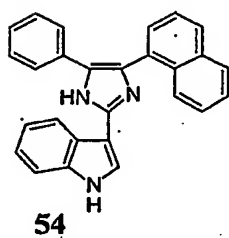


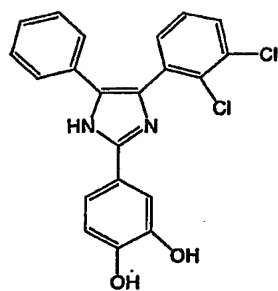
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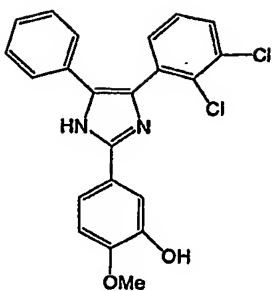




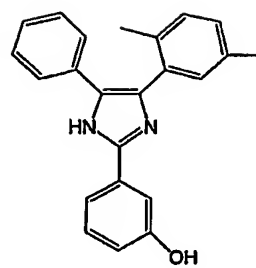




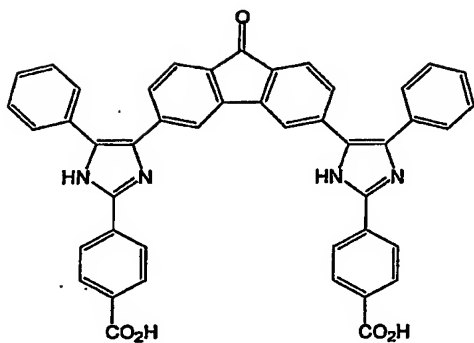
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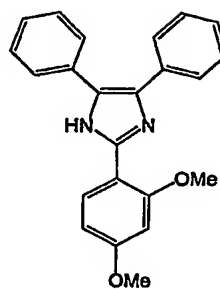
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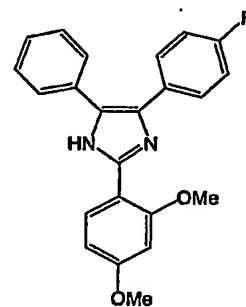
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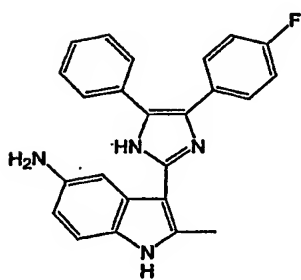
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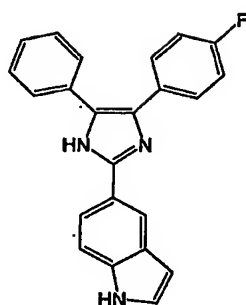
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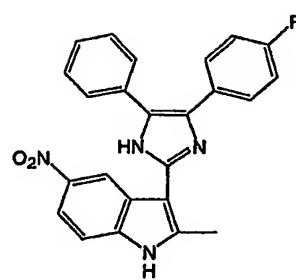
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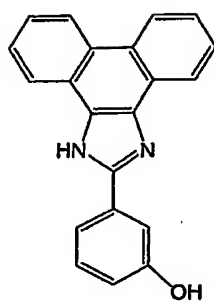
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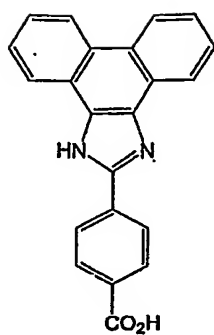
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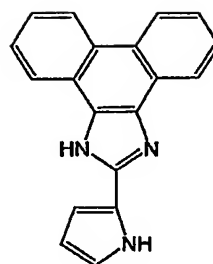
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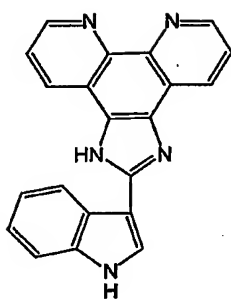
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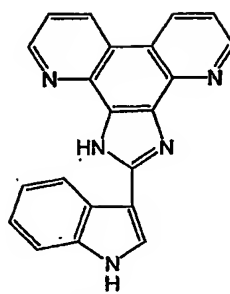
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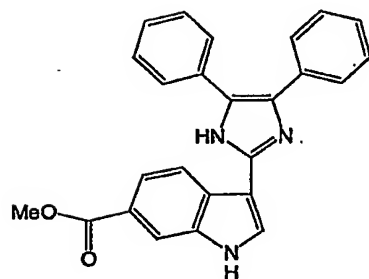
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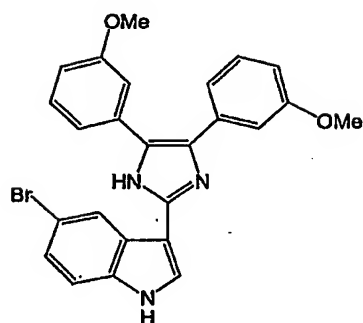
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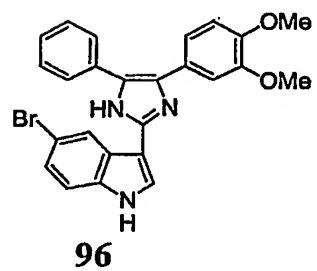
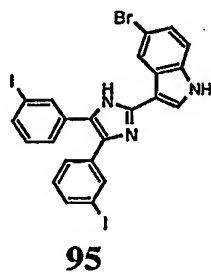
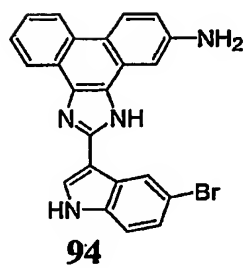
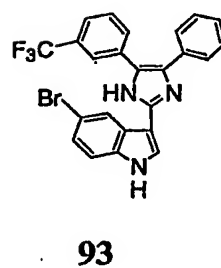
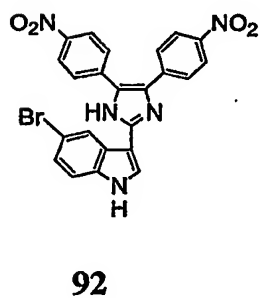
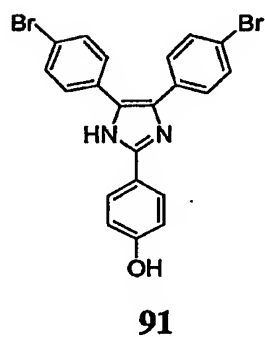
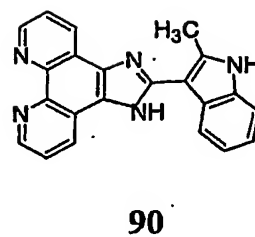
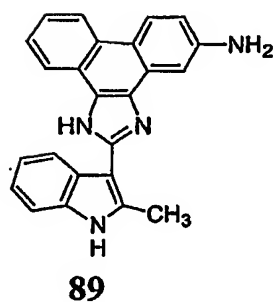
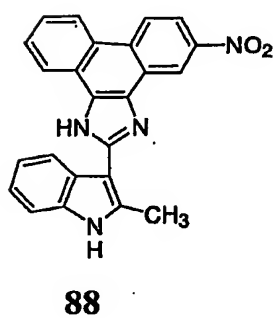
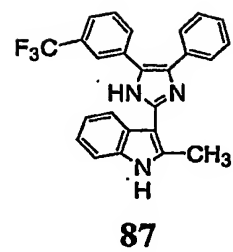
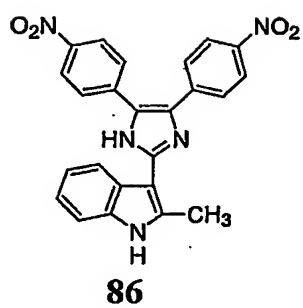
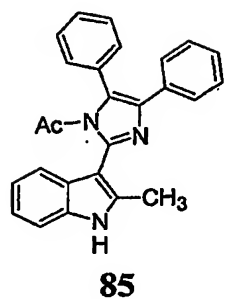
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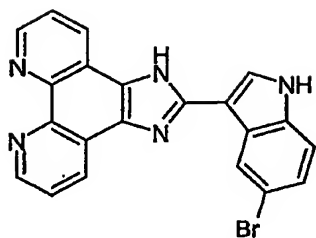


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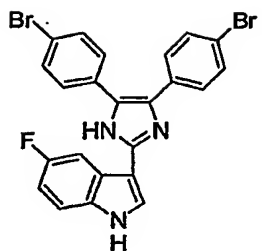


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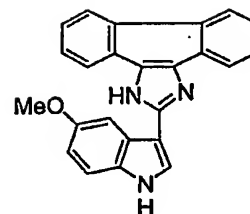




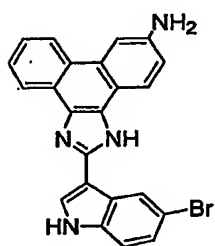
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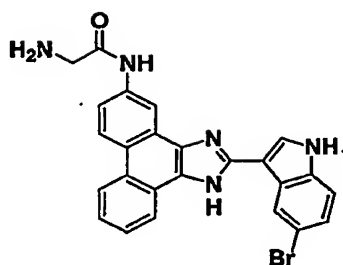
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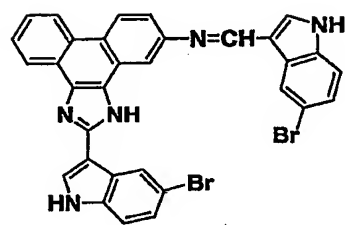
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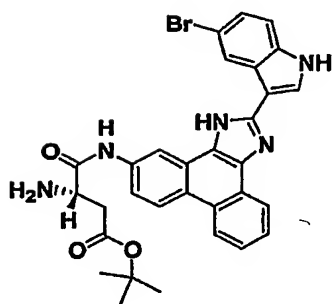
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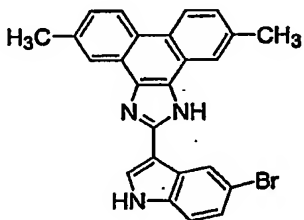
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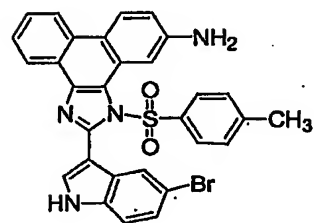
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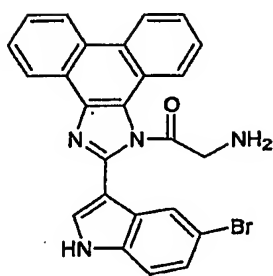
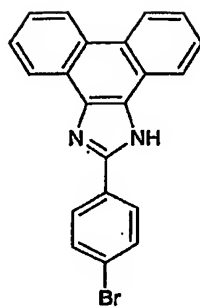
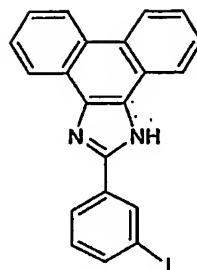
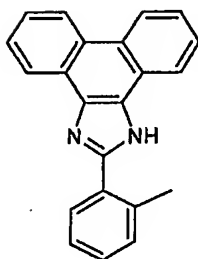
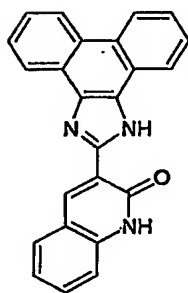
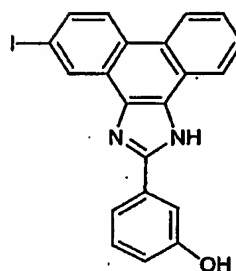
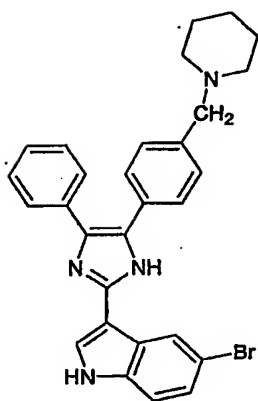
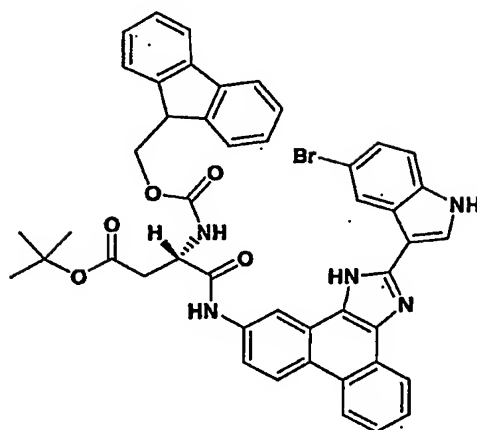
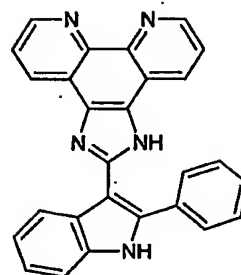
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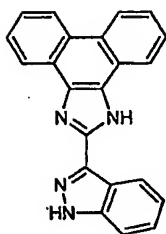


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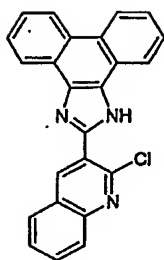


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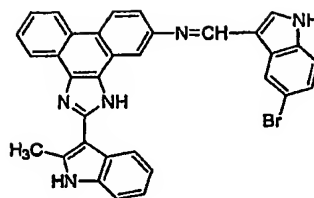
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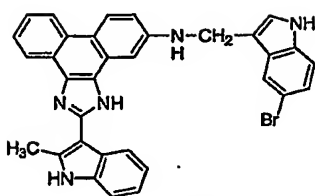
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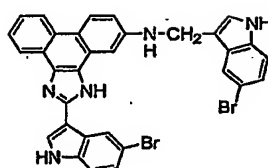
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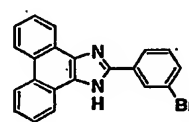
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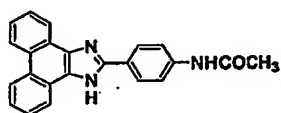
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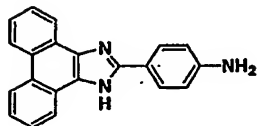
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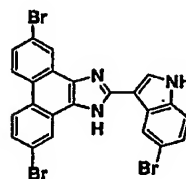
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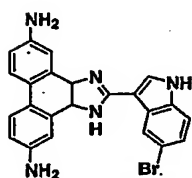
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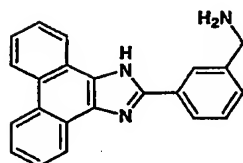
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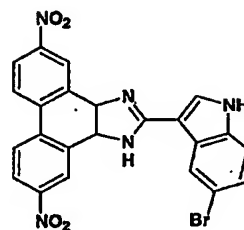
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124

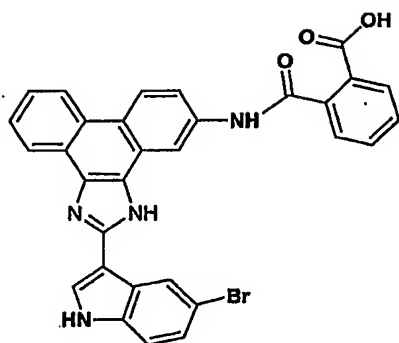


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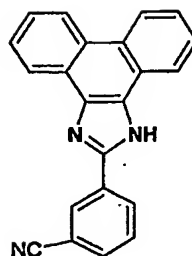


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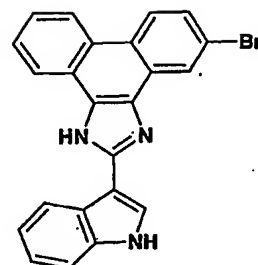




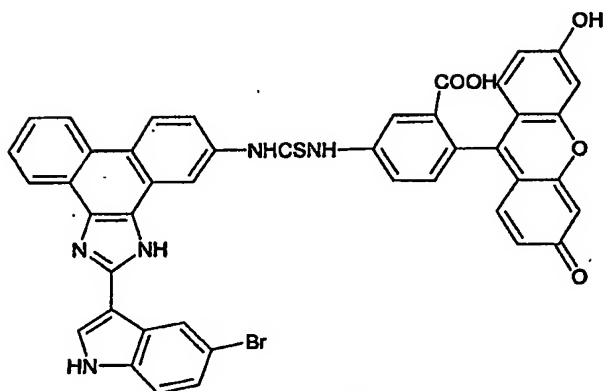
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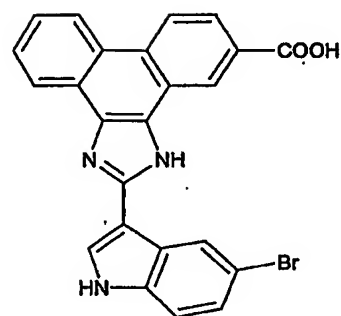
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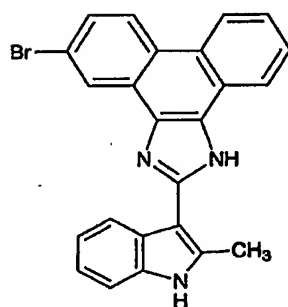
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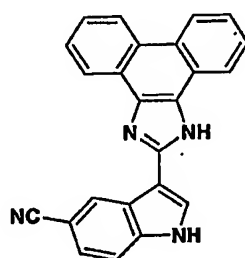
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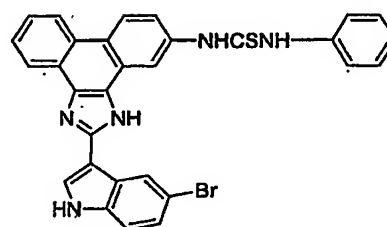
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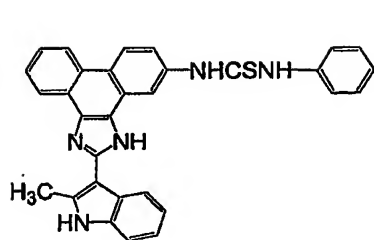
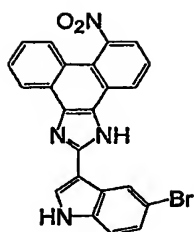
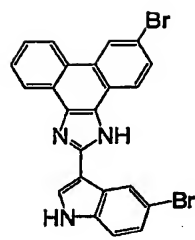
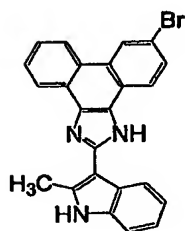
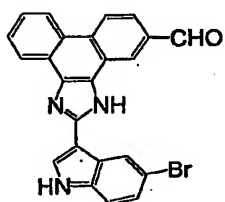
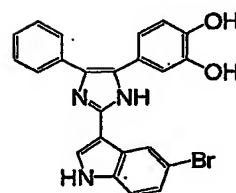
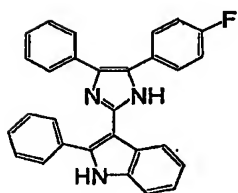
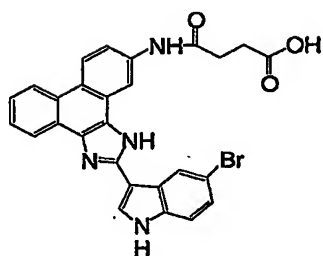
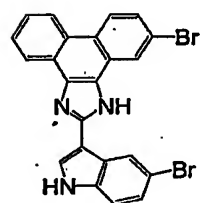
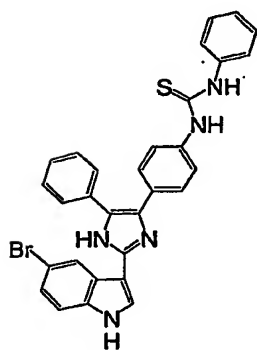
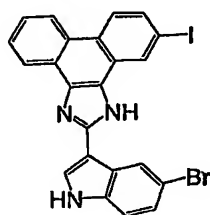
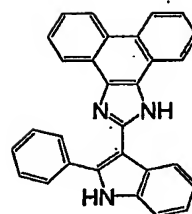
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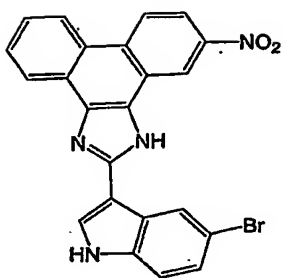


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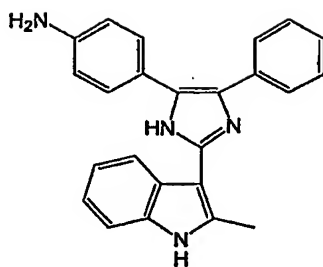


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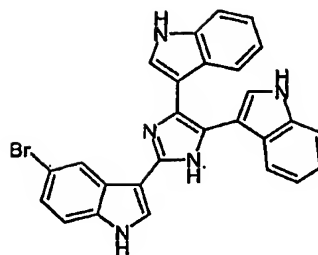
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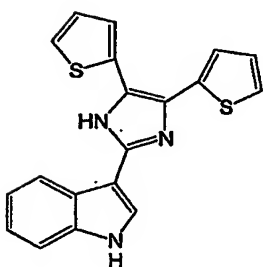
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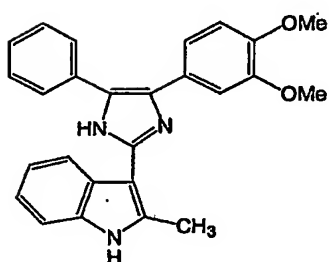
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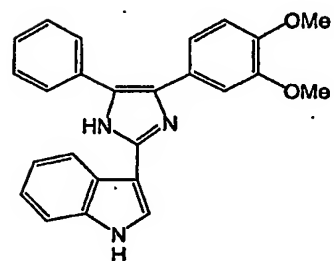
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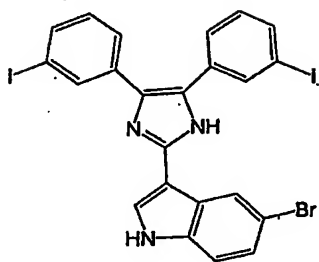
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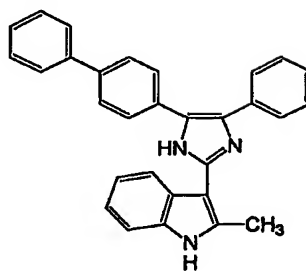
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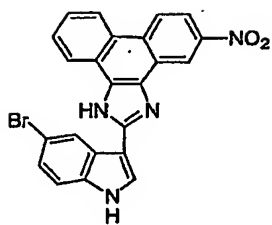
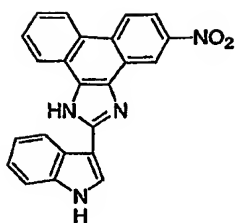
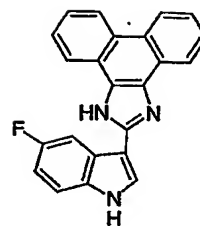
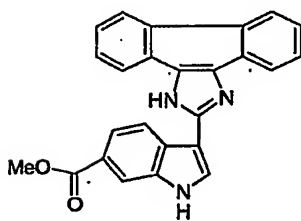
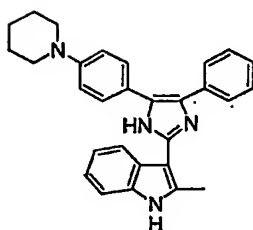
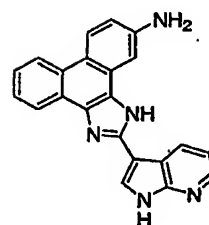
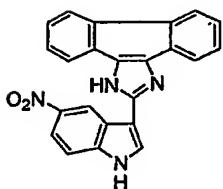
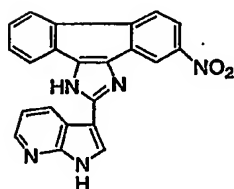
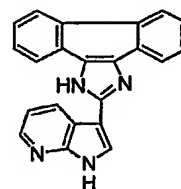
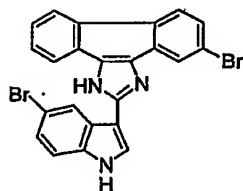
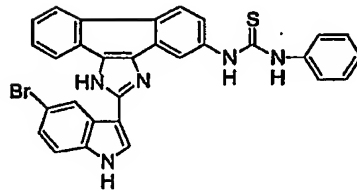
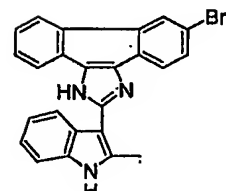
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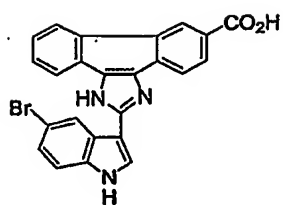
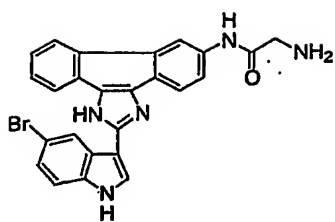
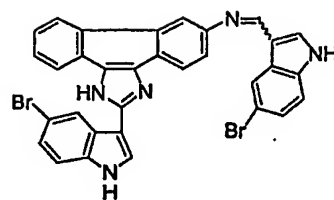
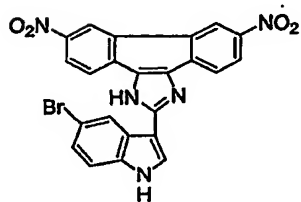
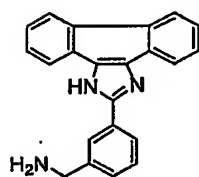
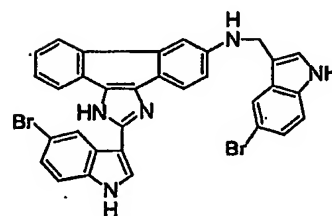
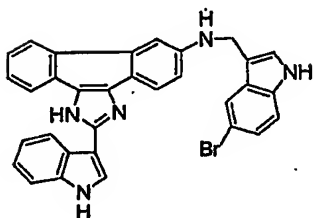
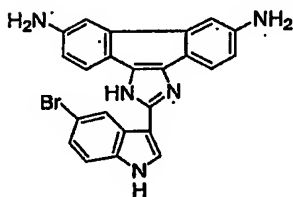
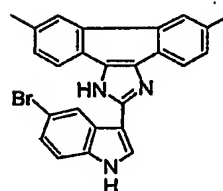
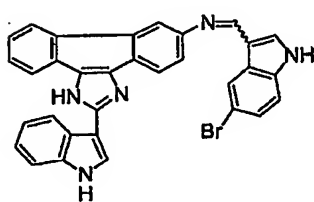
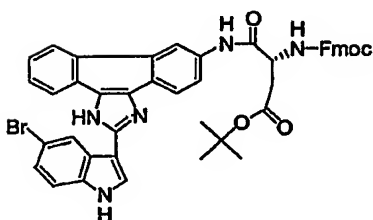
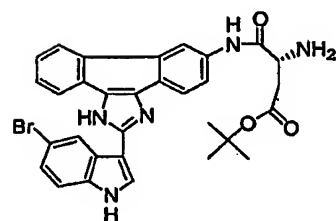


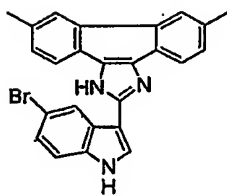
153



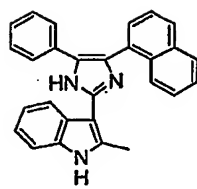
154

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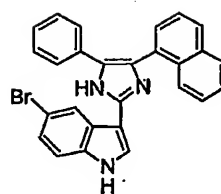
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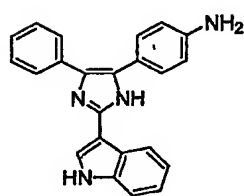
179



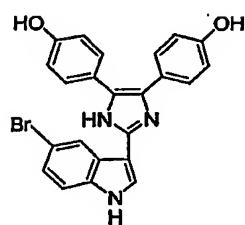
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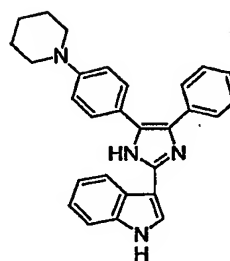
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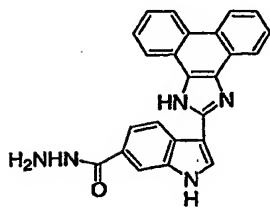
182



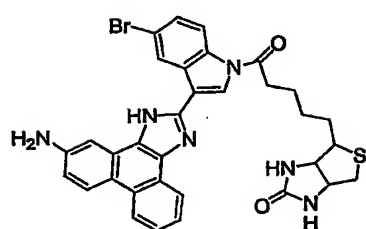
183



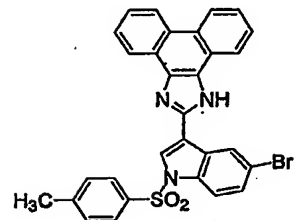
184



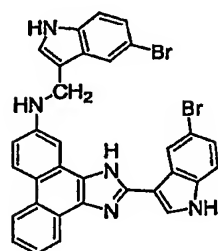
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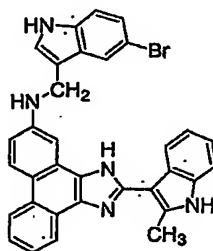
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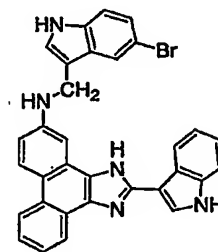
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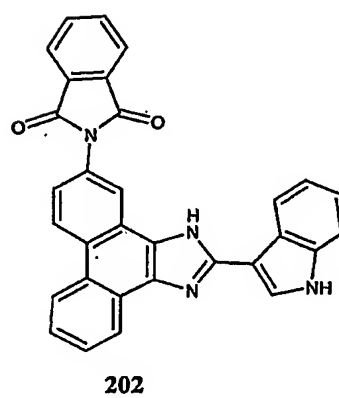
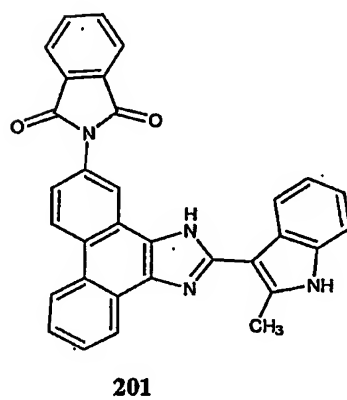
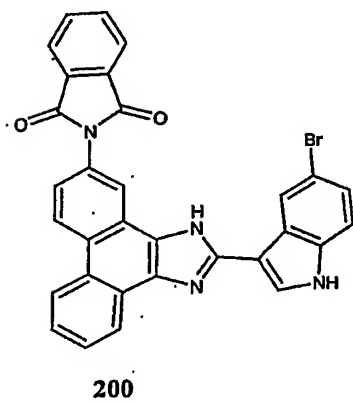
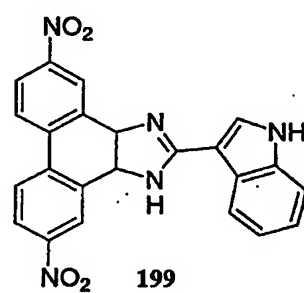
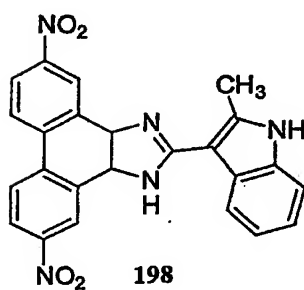
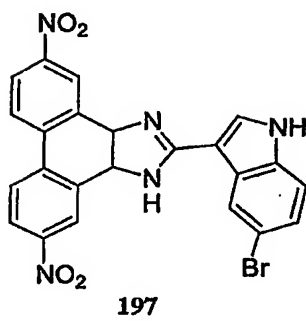
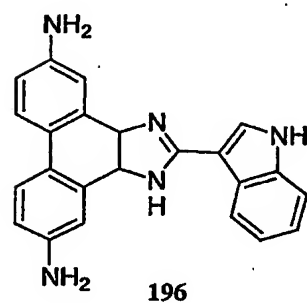
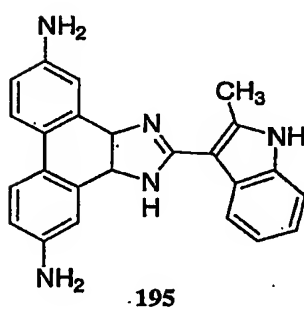
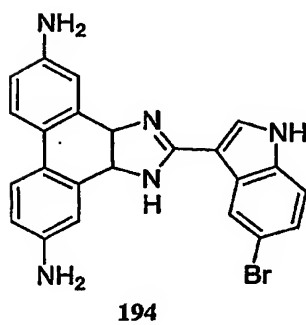
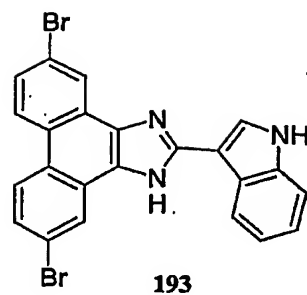
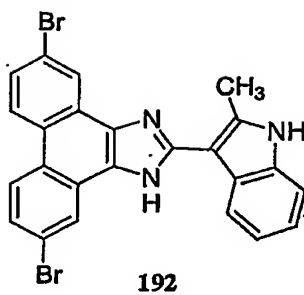
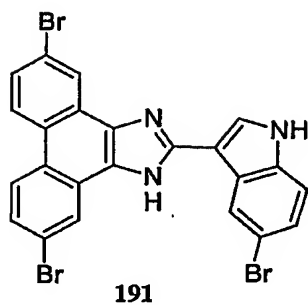
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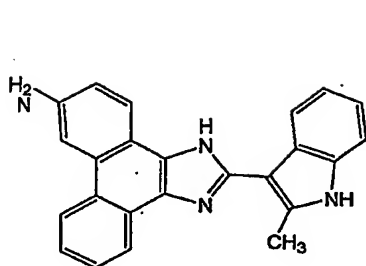


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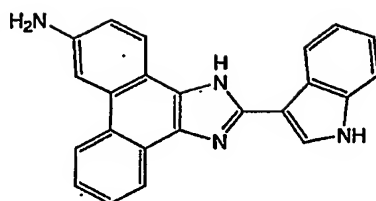


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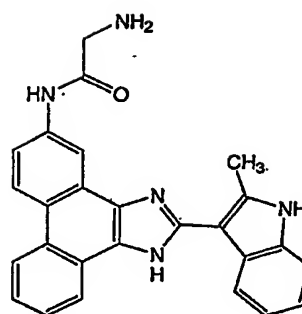




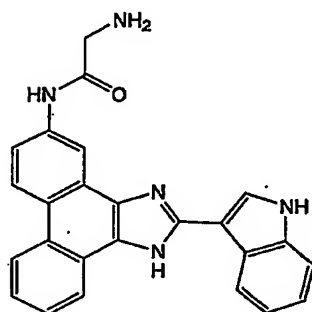
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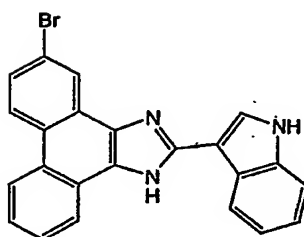
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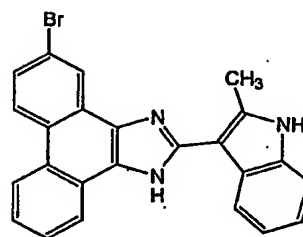
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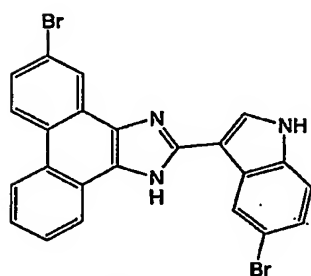
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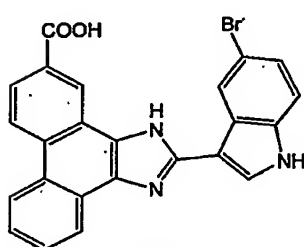
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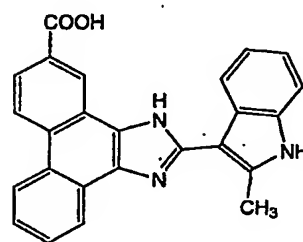
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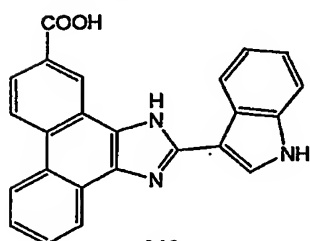
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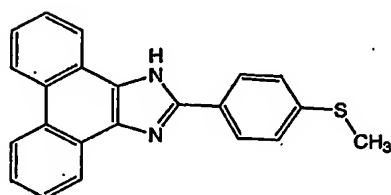
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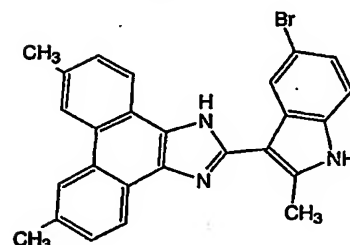
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212

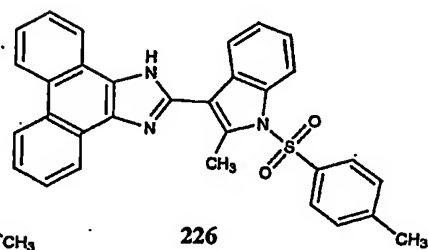
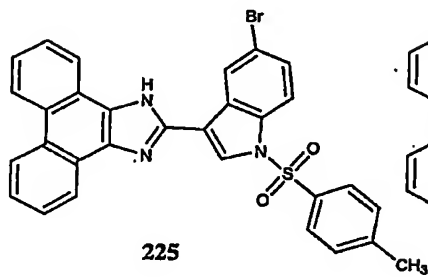
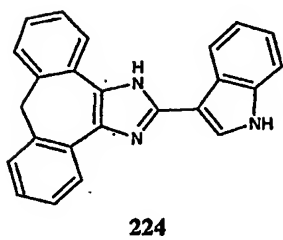
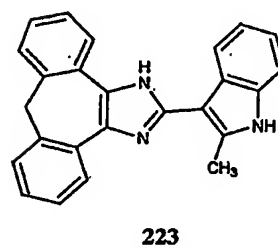
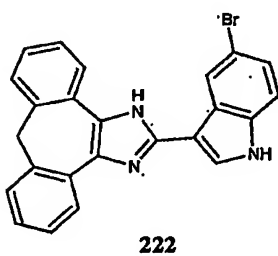
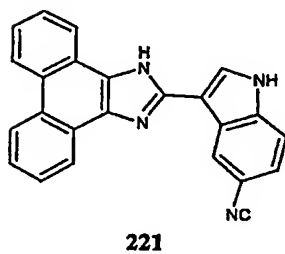
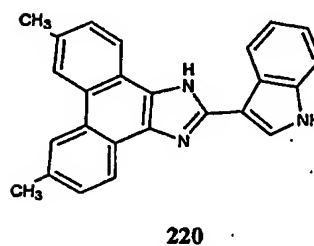
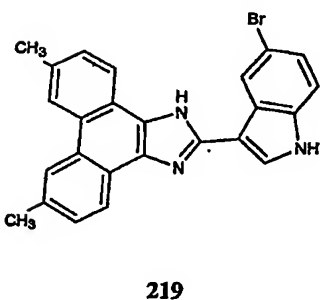
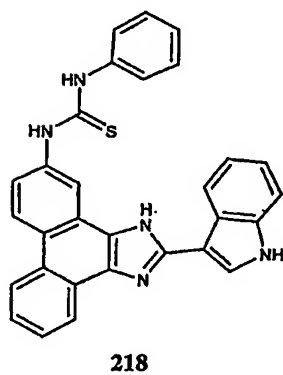
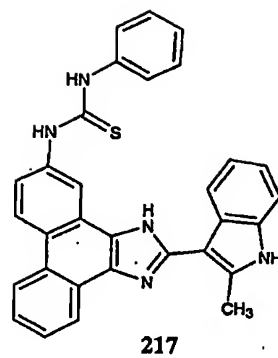
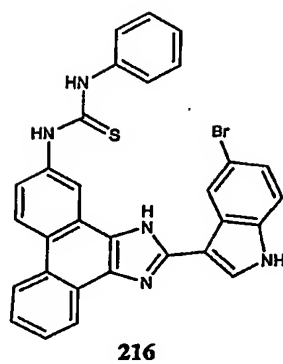
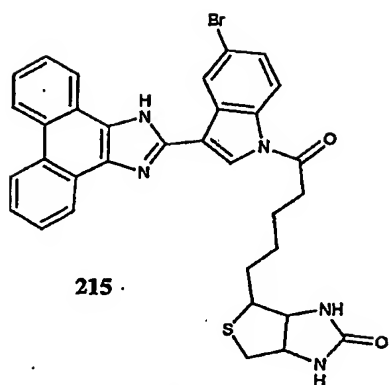


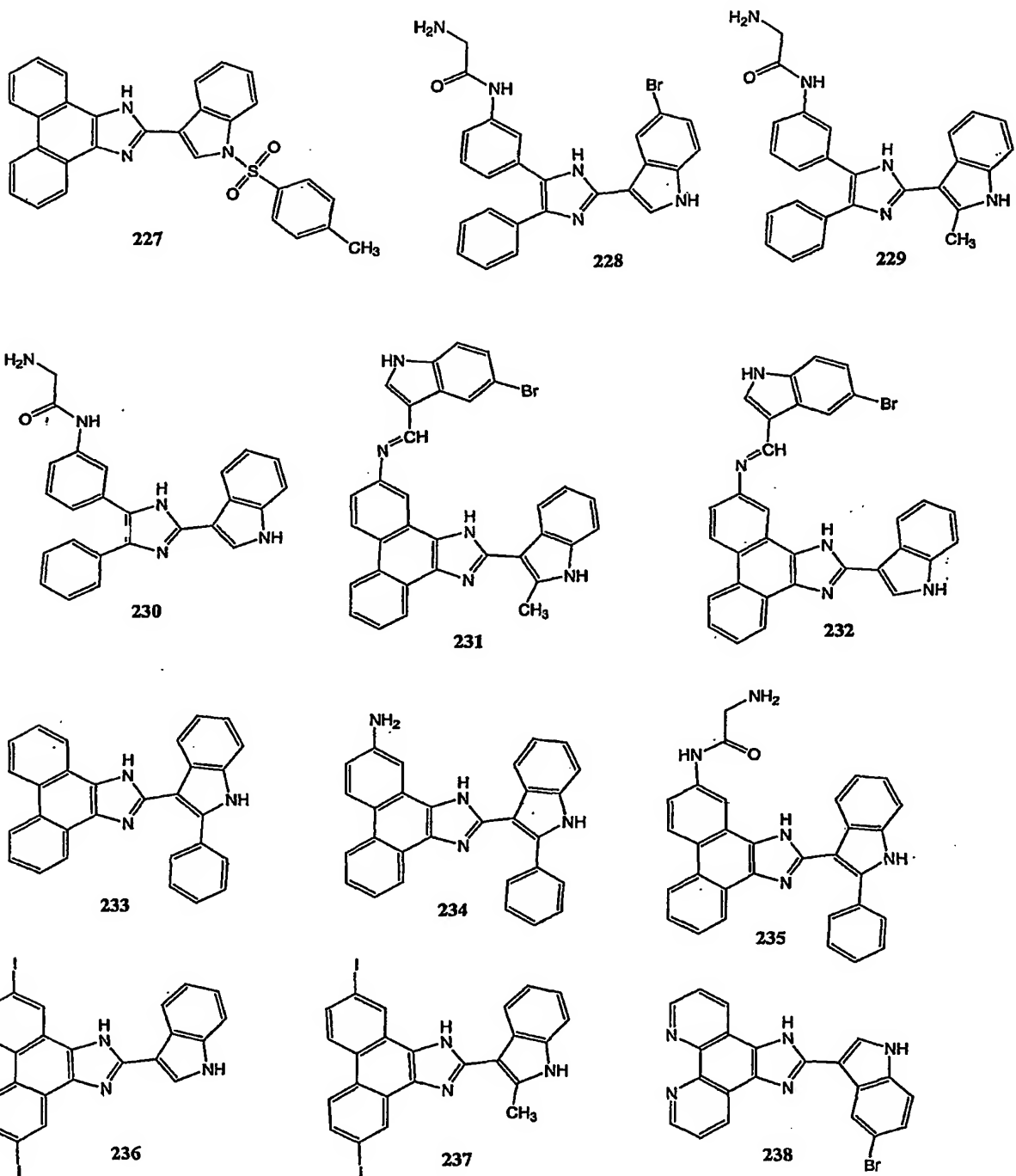
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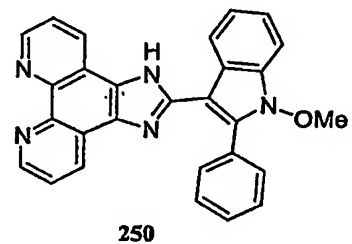
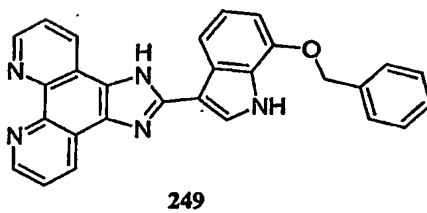
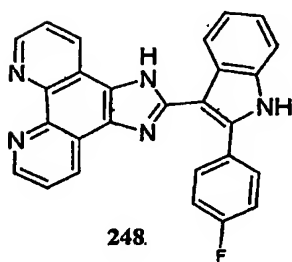
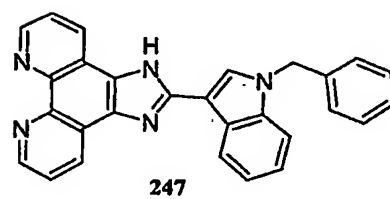
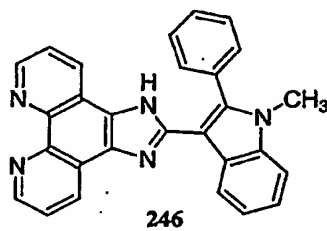
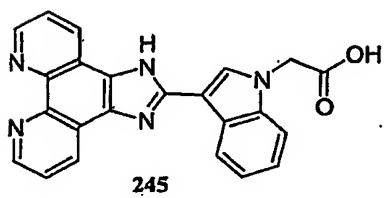
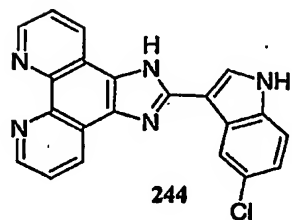
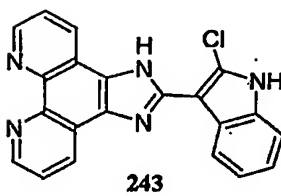
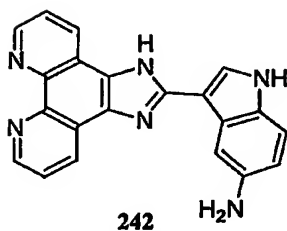
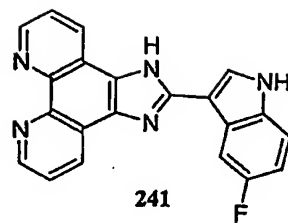
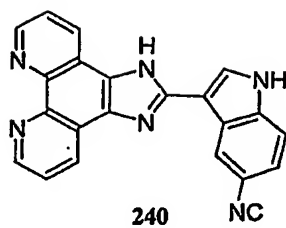
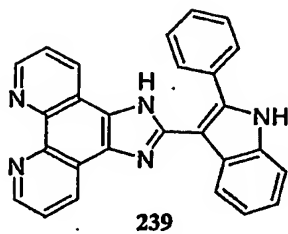


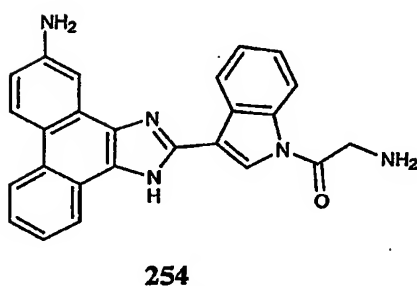
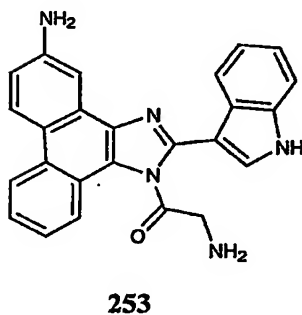
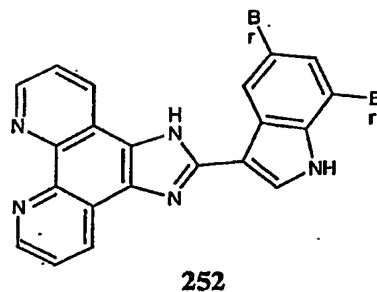
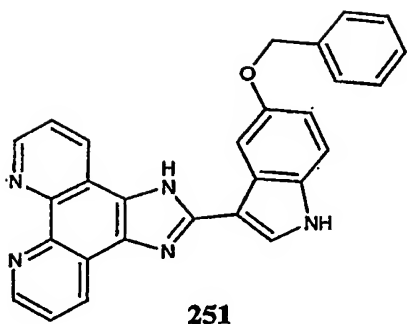
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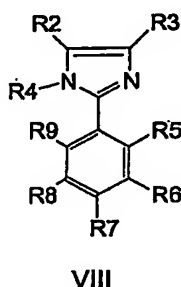




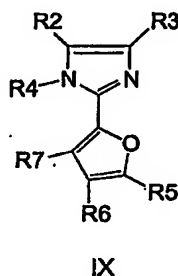


7. The use according to claim 1, wherein said neoplastic cell growth is associated with a solid tumour.
8. The use according to claim 2, wherein said cancer is solid tumour.
9. The use according to claim 1, wherein said neoplastic cell growth is associated with a cancer selected from the group of: breast cancer, central nervous system cancer, cervical cancer, colon cancer, liver cancer, lung cancer, melanoma, ovarian cancer, pancreatic cancer, prostate cancer, renal cancer, and leukemia.
10. The use according to claim 2, wherein said cancer is selected from the group of: breast cancer, central nervous system cancer, cervical cancer, colon cancer, liver cancer, lung cancer, melanoma, ovarian cancer, pancreatic cancer, prostate cancer, renal cancer, and leukemia.

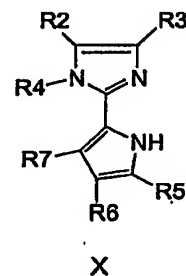
11. The use according to any one of claims 1 to 10, wherein said compound is formulated for administration in combination with one or more anti-cancer agents(s).
12. The use according to any one of claims 1 to 11, wherein said compound is formulated for systemic administration.
13. A compound selected from the compounds of structural formulae:



or



or

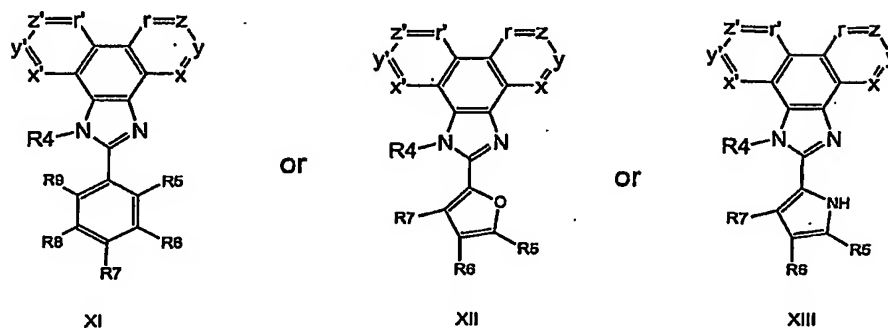


wherein:

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form a aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

14. A compound selected from the compounds of structural formulae:



or a salt thereof, wherein:

R4, R5, R6, R7, R8 and R9 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl;

x is CR11 or N;

y is CR12 or N;

z is CR13 or N;

r is CR14 or N;

x' is CR15 or N;

y' is CR16 or N;

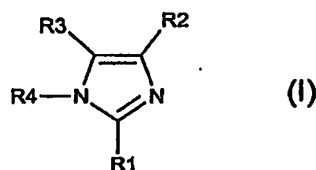
z' is CR17 or N;

r' is CR18 or N;

R11, R12, R13, R14, R15, R16, R17 and R18 are independently selected from hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido,

carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, or cyano.

15. Use of a compound of formula I:



wherein:

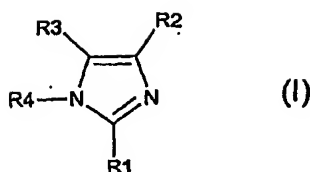
R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkylalkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,

in the manufacture of a medicament for the inhibition of neoplastic cell growth or proliferation.

16. Use of a compound of formula I:



wherein:

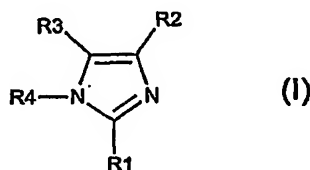
R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl,

in the manufacture of a medicament for the treatment of cancer.

17. An anti-cancer composition comprising a carrier, diluent or excipient and an effective amount of a compound of formula I:



or a salt thereof,

wherein:



R1 is aryl, substituted aryl, heterocycle, substituted heterocycle, heteroaryl, substituted heteroaryl or amino;

R2 and R3 are independently aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl or R2 and R3 when taken together along with the carbon atoms they are attached to, form aryl or substituted aryl, and

R4 is hydrogen, halogen, hydroxyl, thiol, lower alkyl, substituted lower alkyl, lower alkenyl, substituted lower alkenyl, lower alkynyl, substituted lower alkynyl, alkylalkenyl, alkyl alkynyl, alkoxy, alkylthio, acyl, aryloxy, amino, amido, carboxyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, heteroalkyl, cycloalkyl, substituted cycloalkyl, alkylcycloalkyl, alkylcycloheteroalkyl, nitro, cyano or  $-S(O)_{0-2}R$  wherein R is alkyl, substituted alkyl, aryl, substituted aryl, heterocycle, heteroaryl, substituted heterocycle, or substituted heteroaryl.

FIGURE 1

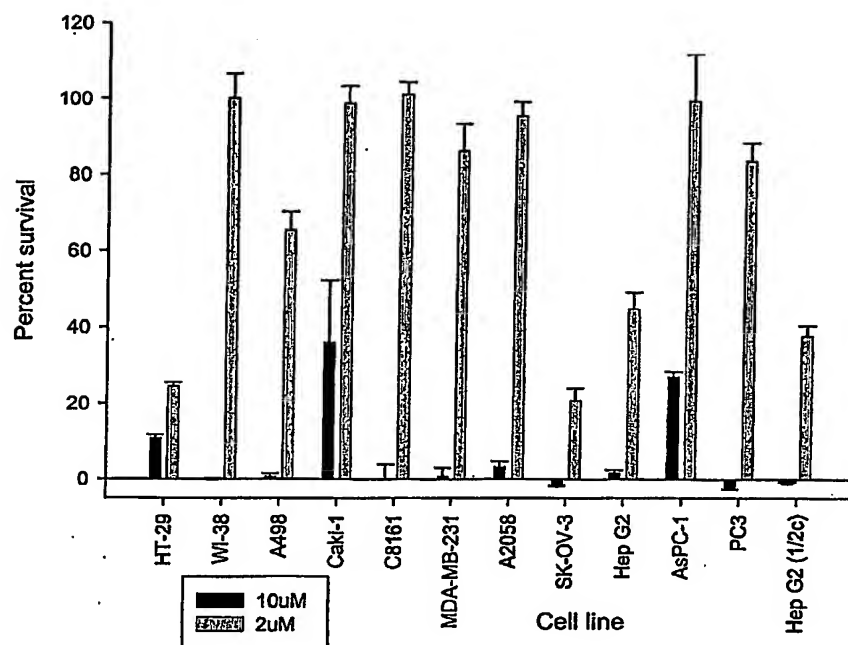


FIGURE 2

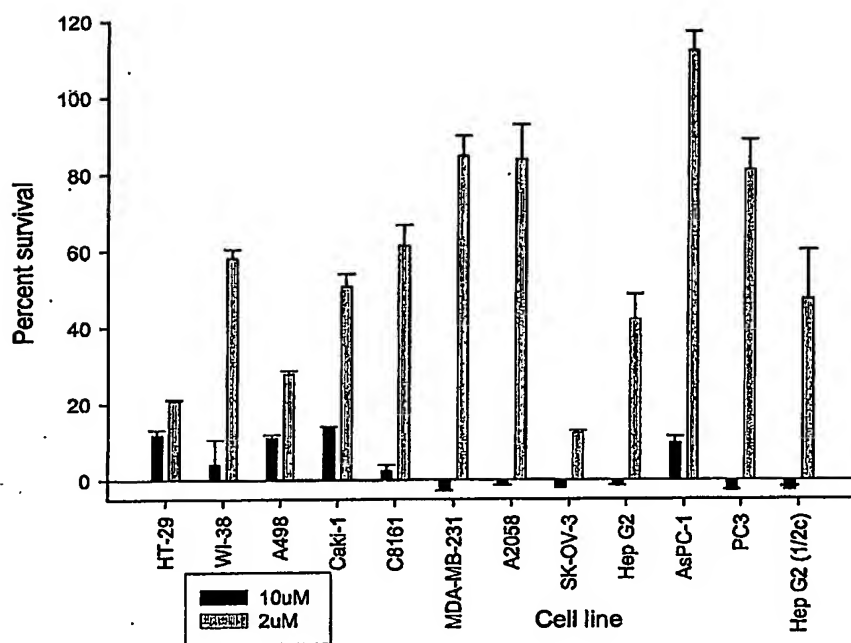


FIGURE 3

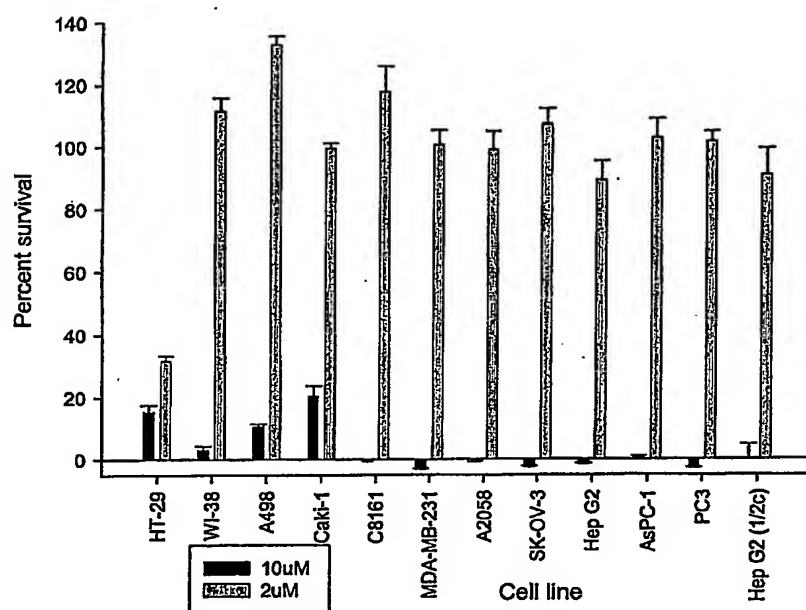


FIGURE 4

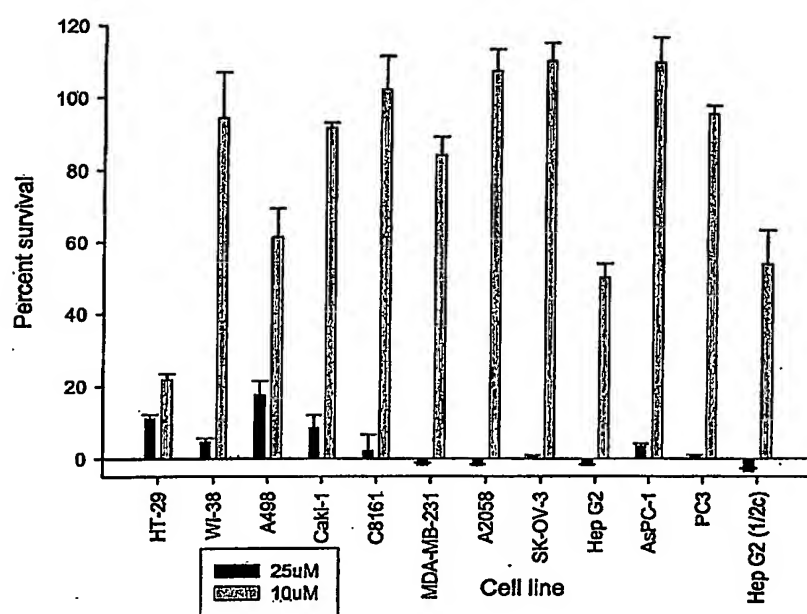
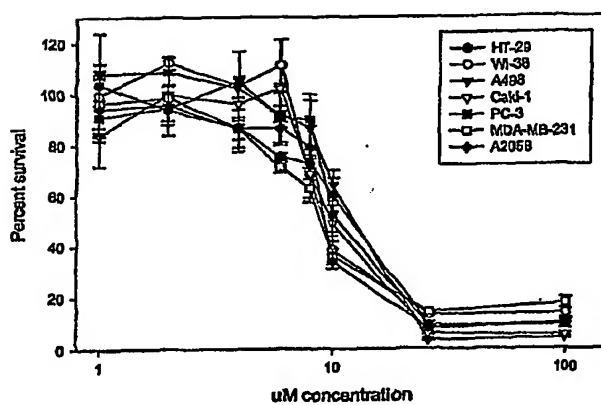
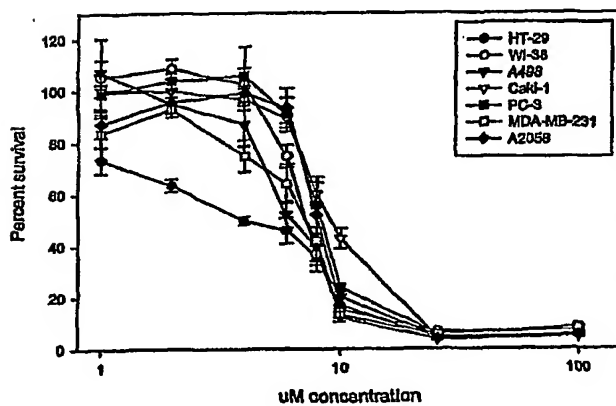


FIGURE 5

A.



B.



C.

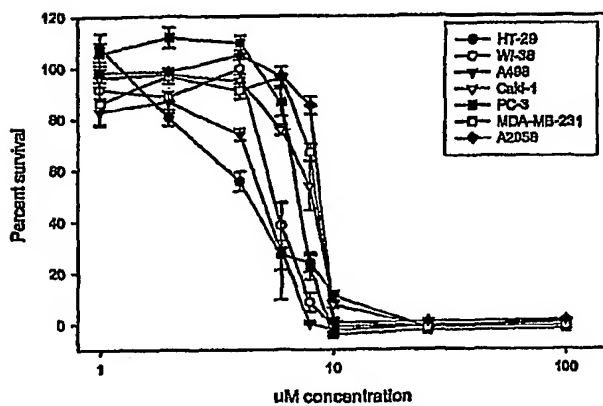
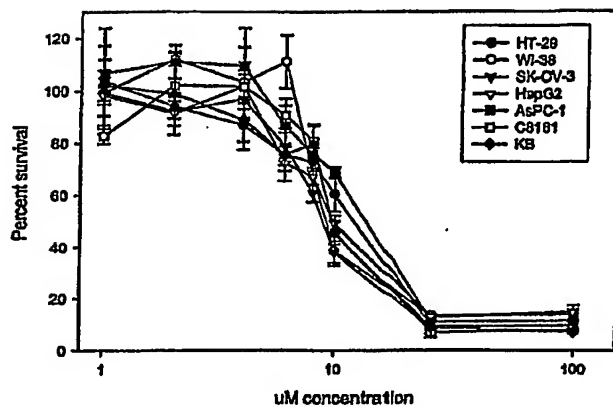
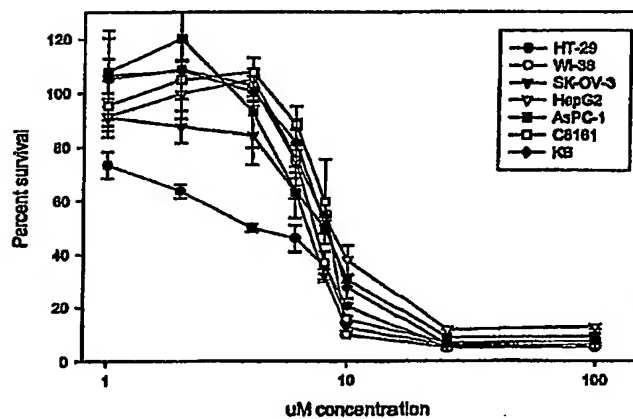


FIGURE 6

A.



B.



C.

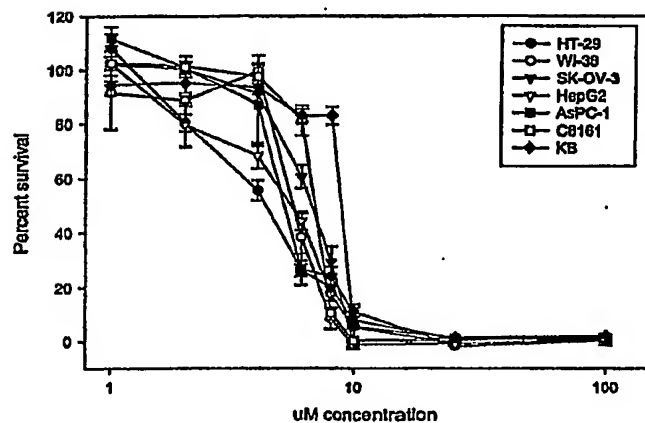


FIGURE 7

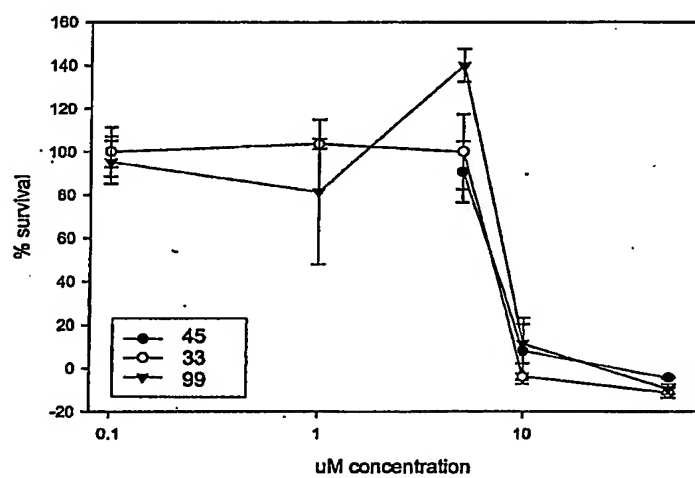




FIGURE 8

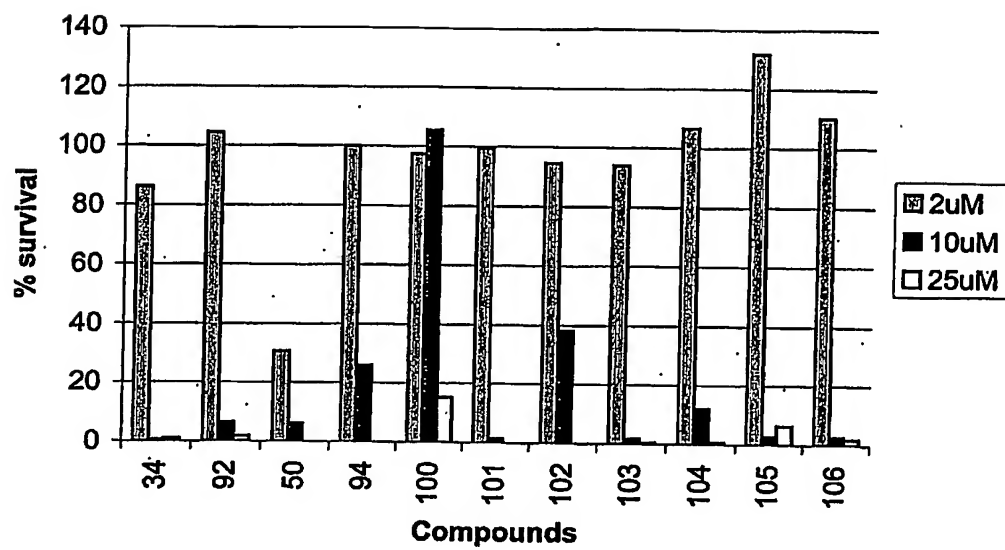


FIGURE 9A

NCI ANTI-CANCER CELL LINE PANEL						
Cell Line	Sex	Age	Histology	Comment	Treatment	Source
COLON						
COLO 205	M	70	Adenocarcinoma	Can Res 38: 1345-1355, 1978	Y	Ascites
HCC-2998			Carcinoma		N	
HCT-15			Adenocarcinoma	Can Res 39: 1020-1025, 1979		
HCT-116			Carcinoma	Can Res 41: 1761-1766, 1981		
HT29	F	44	Adenocarcinoma, GR III	Human Tumor Cells In Vitro: 115-159, 1975		Primary
KM12			Adenocarcinoma	Can Res 48: 1943-1948, 1988	N	
SW-60	M	51	Adenocarcinoma	Can Res 36: 4562-4569, 1976		Metastasis
CNS						
SF-268	F	24	Anaplastic Astrocytoma	Acta Neuropathol 75: 92-103, 1987		
SF-295	F	67	Glioblastoma-Multiforme	Acta Neuropathol 75: 92-103, 1987		
SF-539				J Neuropathol Exp Neurol 40: 201-229, 1981		
SNB-19	M	47	Glioma	Cancer 47: 255, 1981	N	
SNB-75	F		Astrocytoma		N	
U251	M	75	Glioblastoma	J Neuropathol Exp Neurol 40: 410-427, 1981		
LEUKEMIA						
CCRF-CEM	M	4	Acute Lymphoblastic Leukemia	Can Res 18: 522-529, 1965		
HL-60(TB)	F	36	Promyelocytic Leukemia	Nature 270: 347-349, 1977		PBL
K-562	F	53	Chronic Myelogenous Leukemia	Blood 45: 321-334, 1975		Pleural Effusion
MOLT-4	M	19	Acute Lymphoblastic Leukemia	JNCI 49: 891-895, 1972		PB
RPMI-8226	M	61	Myeloma	Proc Soc Exp Biol Med 125: 1246-1250, 1967		PB
SR	M	11	Large Cell, Immunoblastic		Y	
Lung						
A549/ATCC	M	58	Adenocarcinoma	JNCI 51: 1417-1423, 1973		Primary
EKVX	M		Adenocarcinoma			
HOP-62	F	60	Adenocarcinoma		N	
HOP-92	M	62	Large Cell, Undifferentiated		N	
NCI-H23			Adenocarcinoma	Can Res 45: 2913-2923, 1985	N	
NCI-H226			Squamous	Can Res 45: 2913-2923, 1985		

## FIGURE 9B

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NCI ANTI-CANCER CELL LINE PANEL						
Cell Line	Sex	Age	Histology	Comment	Treatment	Source
LUNG (continued)						
NCI-H322M			Small Cell Bronchioalveolar Carcinoma		N	
NCI-H460	M		Large Cell Carcinoma	Science 245: 491-494, 1989	N	Pleural Effusion
NCI-H522			Adenocarcinoma	Can Res 45: 2913-2923, 1985		
MAMMARY						
MCF7	F	69	Adenocarcinoma	JNCI 51: 1409-1417, 1973	Y	
NCIADR-RES	F		Adenocarcinoma *	JNCI 90(11): 6/3/1998		
HS 578T	F	74	Carcinosarcoma	JNCI 58: 1795-1806, 1977		Primary
MDA-MB-231	F	51	Adenocarcinoma	JNCI 53: 661-674, 1974	Y	
MDA-MB-435	F	31	Adenocarcinoma	Can Res 40: 3118-3129, 1980	N	
BT-549	F	72	Papillary Infiltrating Ductal Carcinoma	No Publication		Metastasis
T-47D*	F	54	Infiltrating Ductal Carcinoma	Eur J Cancer 15: 659-670, 1979		*not for commercial use
MELANOMA						
LOX IMVI			Malignant Amelanotic Melanoma	Int J Cancer 41: 442-449, 1988		
M14						
MALME-3M	M	43	Malignant Melanoma	Human Tumor Cells In Vitro, pp. 115-159, 1975		Metastasis
SK-MEL-2	M	60	Malignant Melanoma	Human Tumor Cells In Vitro, pp. 115-159, 1975		Metastasis
SK-MEL-5			Malignant Melanoma	PNAS 73: 3278-3282, 1976		Metastasis
SK-MEL-28			Malignant Melanoma	PNAS 73: 3278-3282, 1976		
UACC-62						
UACC-257						
OVARIAN						
IGR-OV1	F	47	Cystadenocarcinoma	Can Res 45: 4970-4979, 1985	N	
OVCAR-3	F	60	Adenocarcinoma	Can Res 43: 5379-5389, 1983	Y	Ascites
OVCAR-4	F	42	Adenocarcinoma	Sem Oncol 11: 285-288, 1984	Y	
OVCAR-5	F	67	Adenocarcinoma	Sem Oncol 11: 285-288, 1984	N	
SK-OV-3	F	64	Adenocarcinoma	Human Tumor Cells In Vitro, pp. 115-159, 1975	Y	Ascites

## FIGURE 9C

- 63 -

NCI ANTI-CANCER CELL LINE PANEL						
Cell Line	Sex	Age	Histology	Comment	Treat- ment	Source
PROSTATE						
DU-145	M	69	Carcinoma	Int J Cancer 21: 274-281, 1978	Y	
PC-3	M	62	Adenocarcinoma	Invest Urol 17: 16-23, 1979	Y	Metastasis
RENAL						
786-O	M	58	Adenocarcinoma	In Vitro 12: 623-627, 1976	N	
A498	F	52	Adenocarcinoma	JNCI 51: 1417-1423, 1973		
ACHN	M	22	Renal Cell Carcinoma	Can Res 42: 4948-4953, 1982	Y	Pleural Effusion
CAK-1	M	49	Clear Cell Carcinoma	Human Tumor Cells in Vitro, pp. 115-159, 1975	Y	Metastasis
FXF 393*	M	54	Poorly Differentiated Hypernephroma	Contrib Oncol 42, 1992	N	*evaluable only to DTP
SN120	M	43	Carcinoma	Can Res 46: 4109-4115, 1986		
TK-10	M	43	Spindle Cell Carcinoma	Can Res 47: 3856-3862, 1987	N	
UO-31			Carcinoma		N	

FIGURE 10

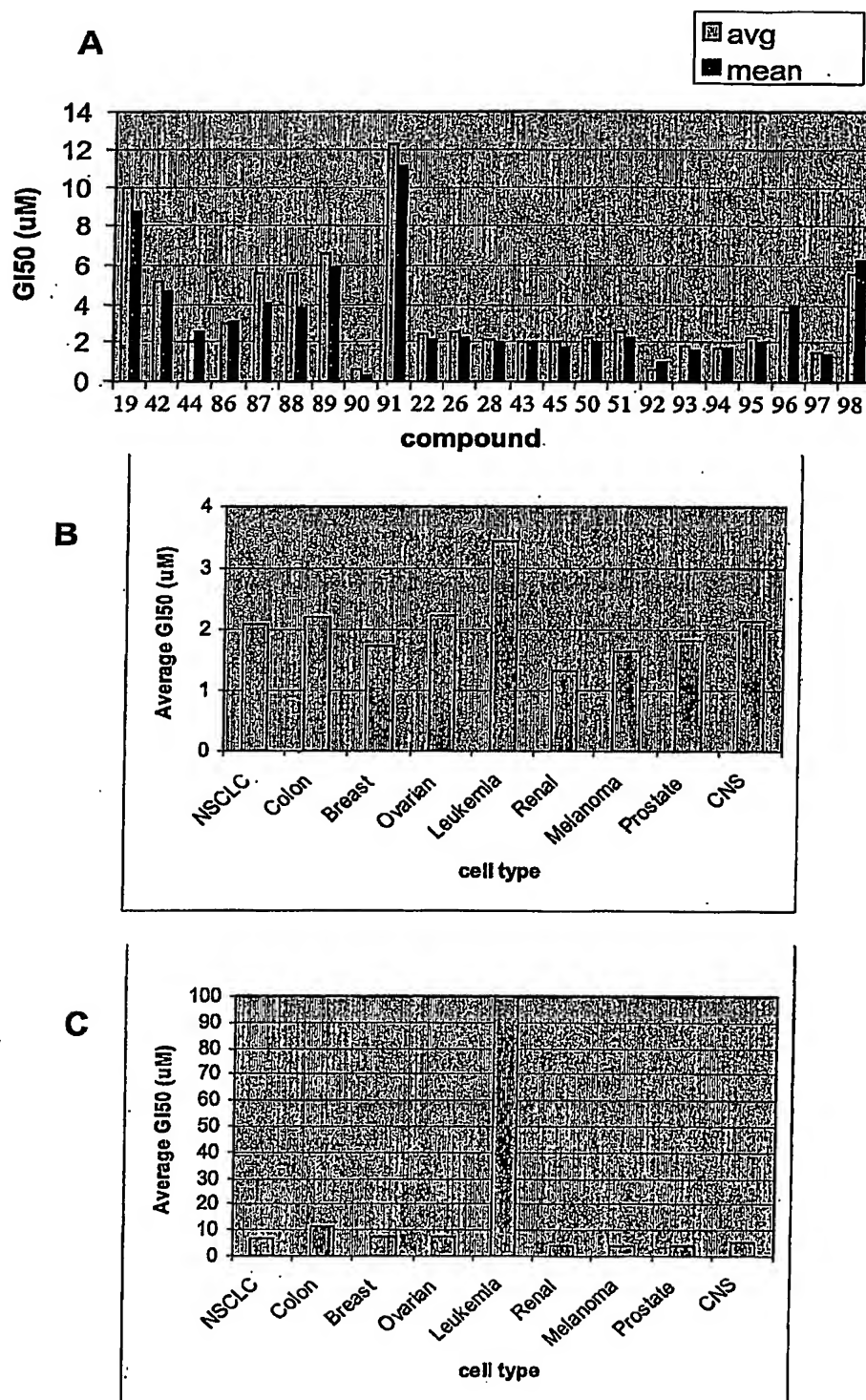


FIGURE 11

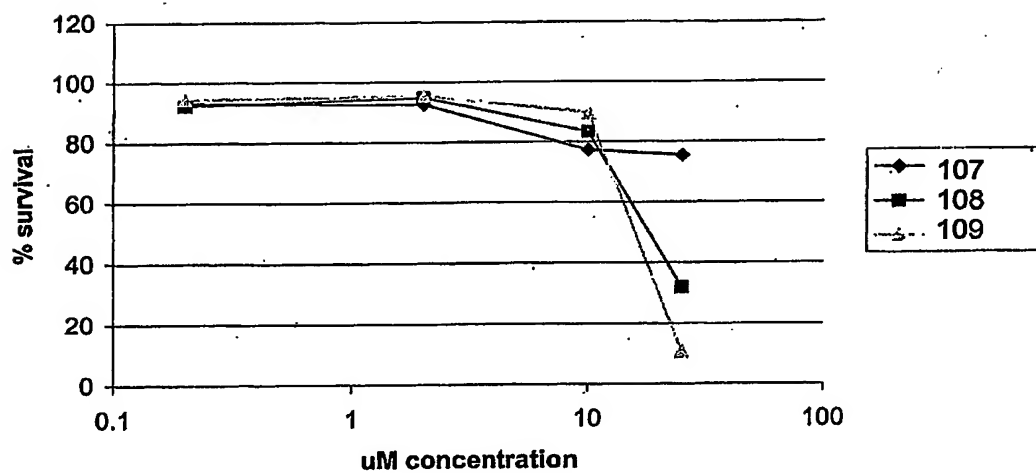
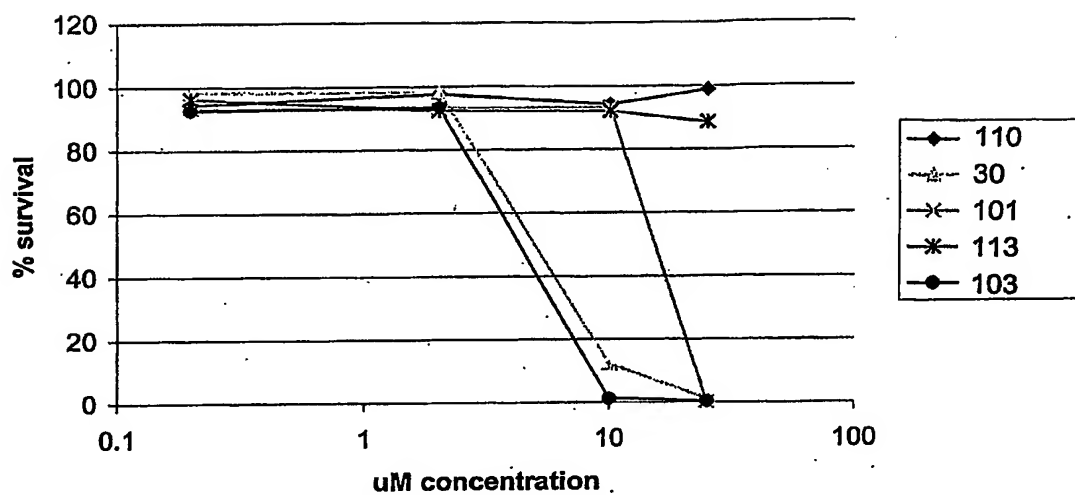


FIGURE 12

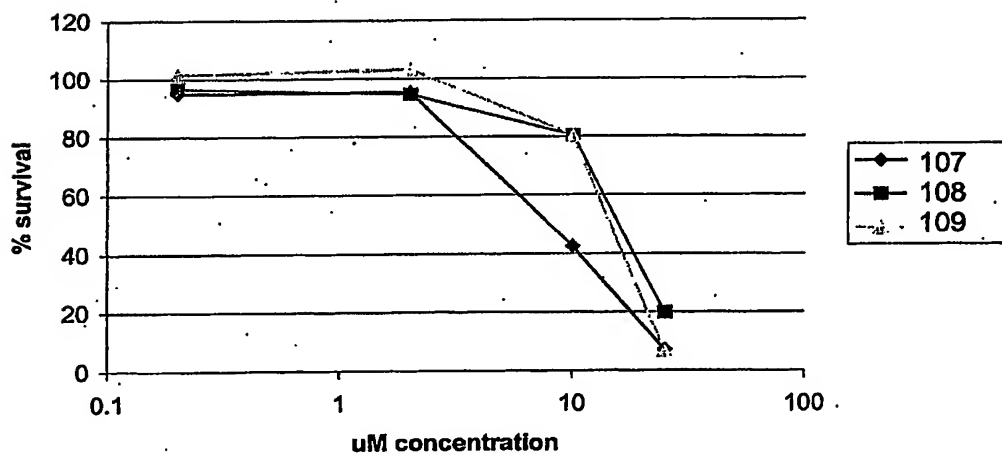
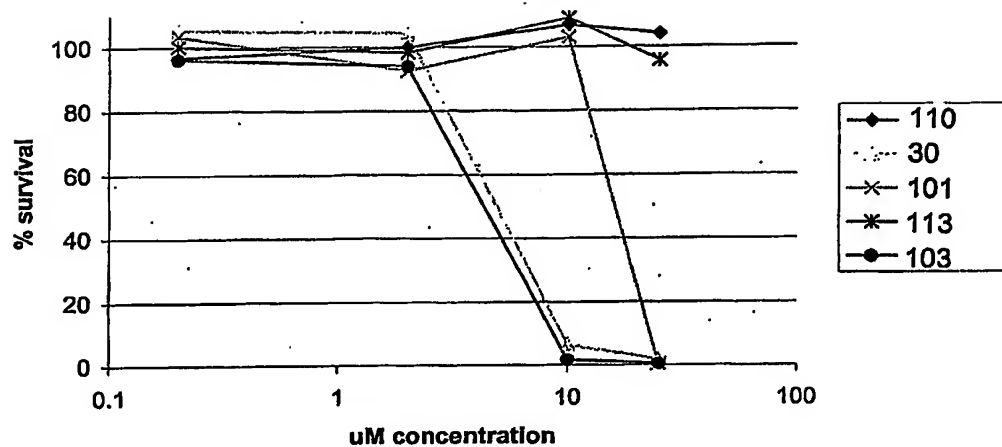
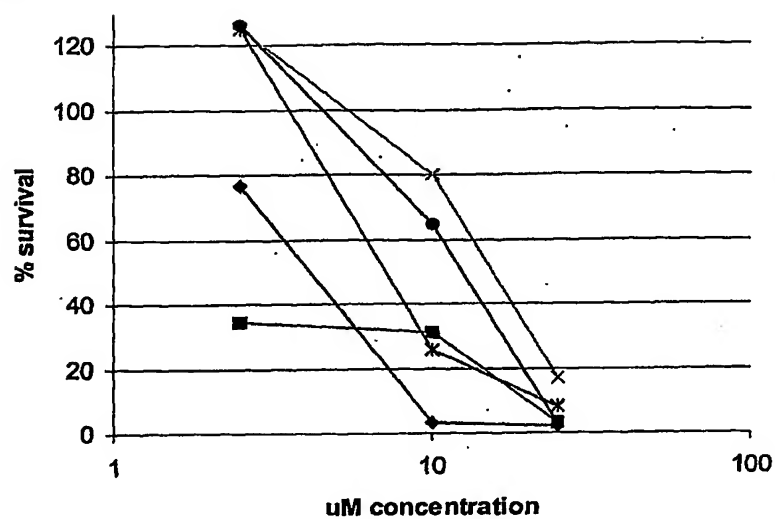


FIGURE 13

A.



B.

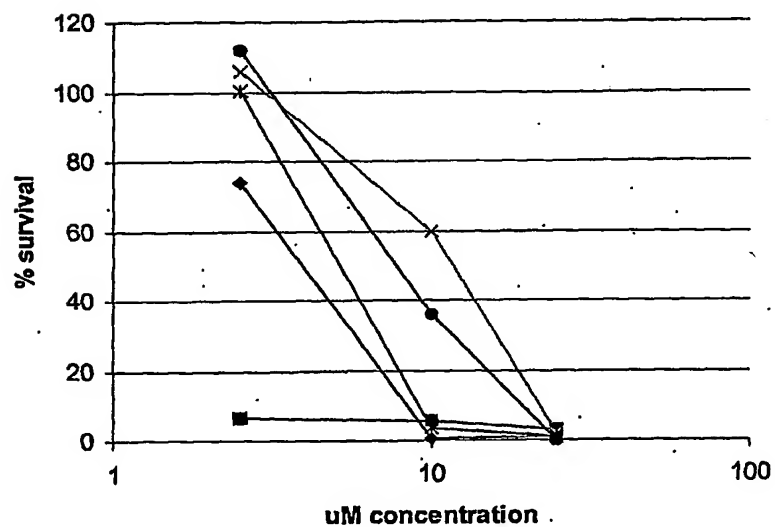




FIGURE 14

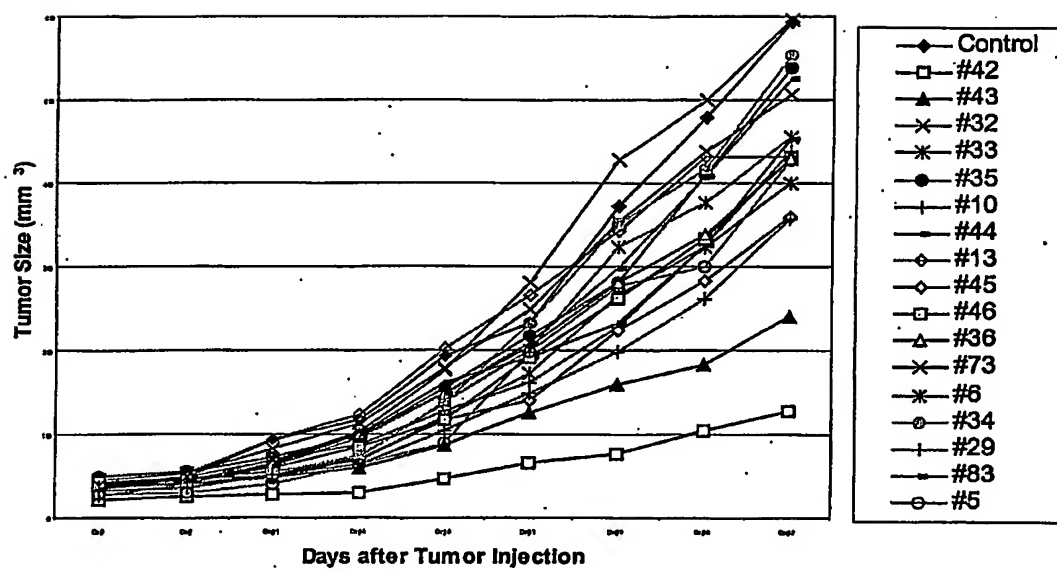


FIGURE 15

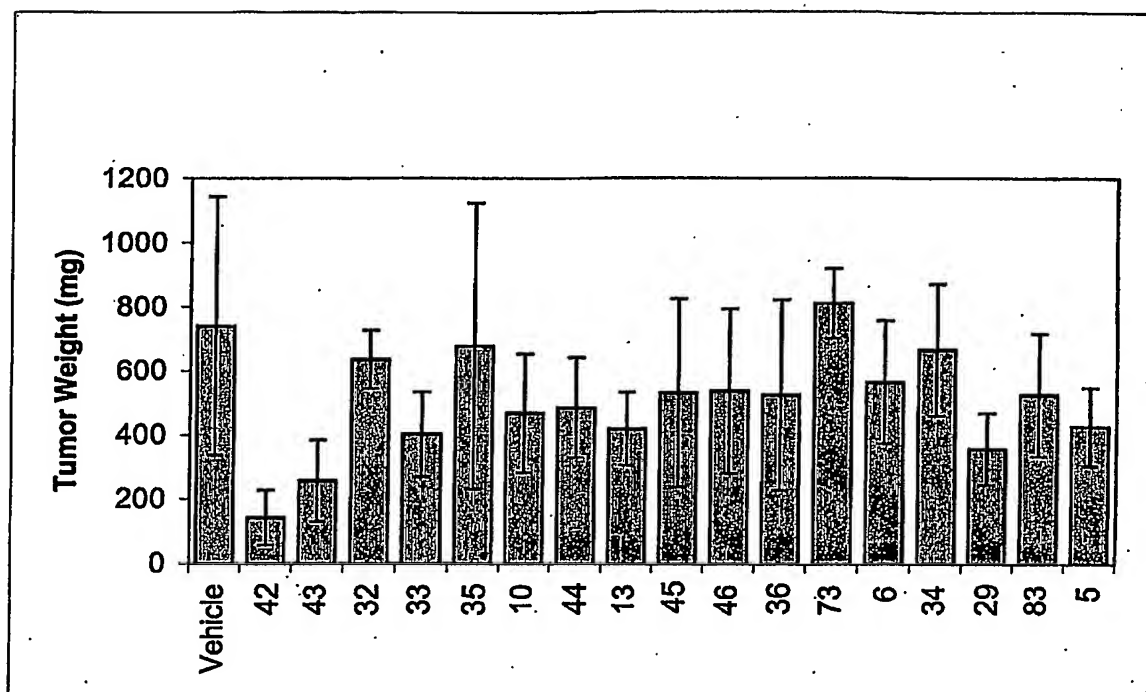


FIGURE 16

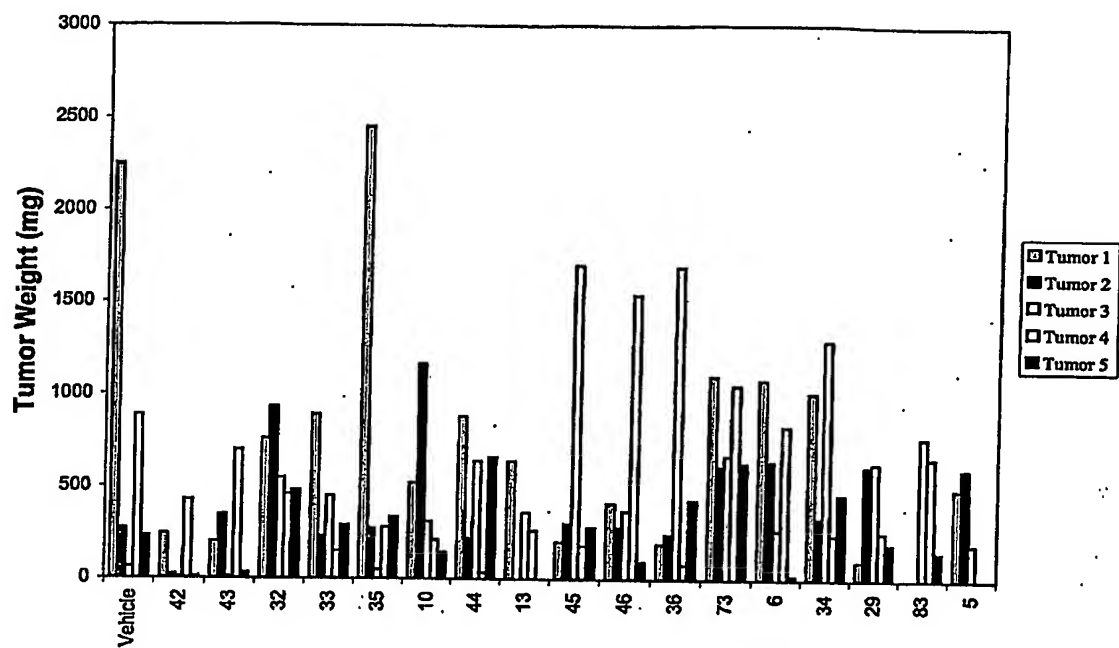


FIGURE 17

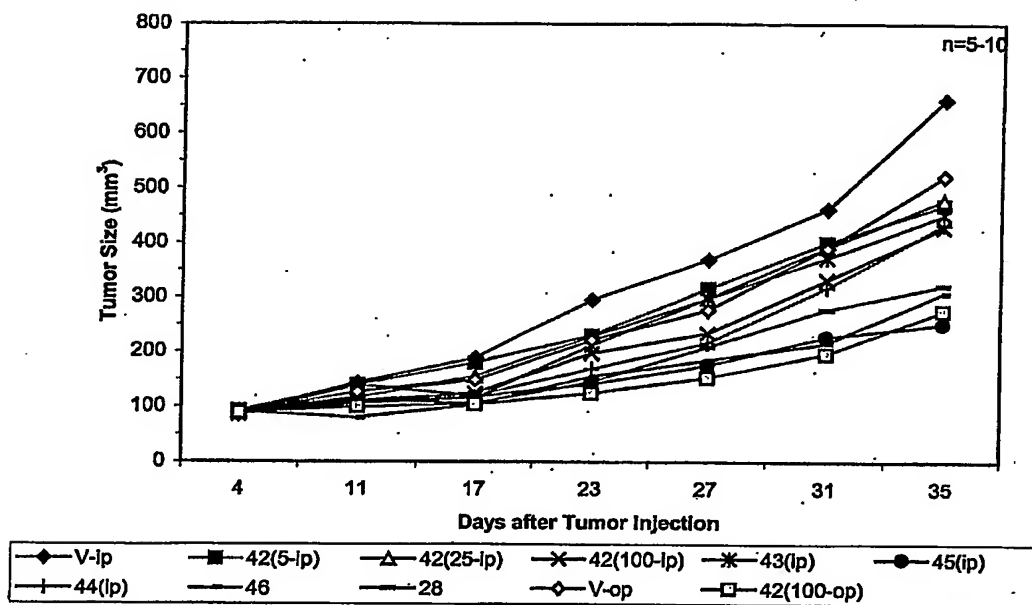
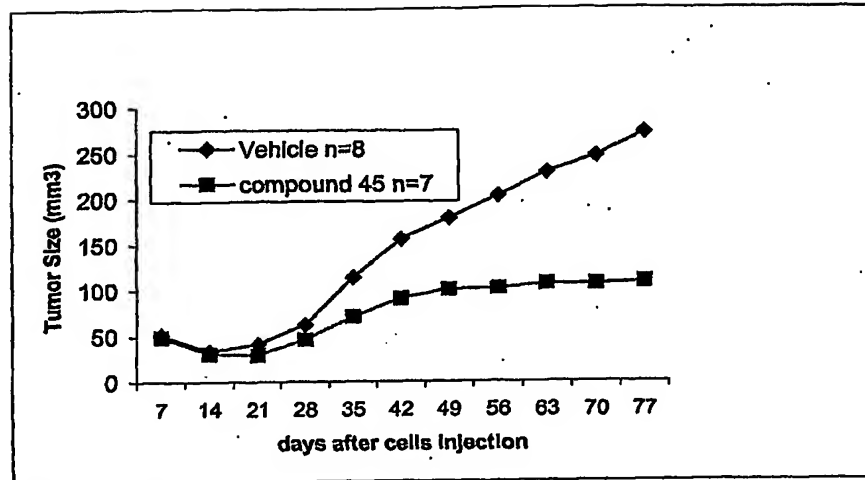


FIGURE 18

A.



B.

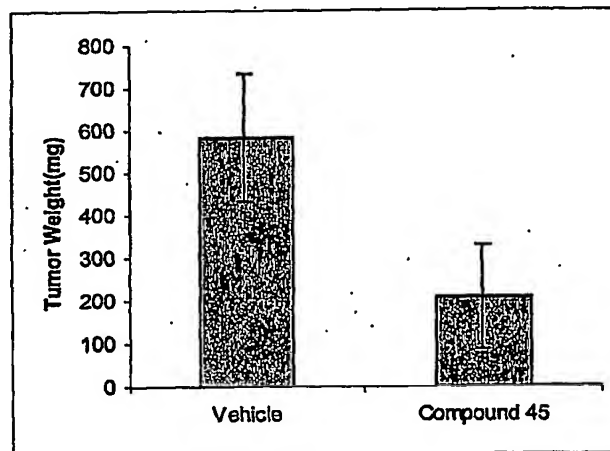


FIGURE 19

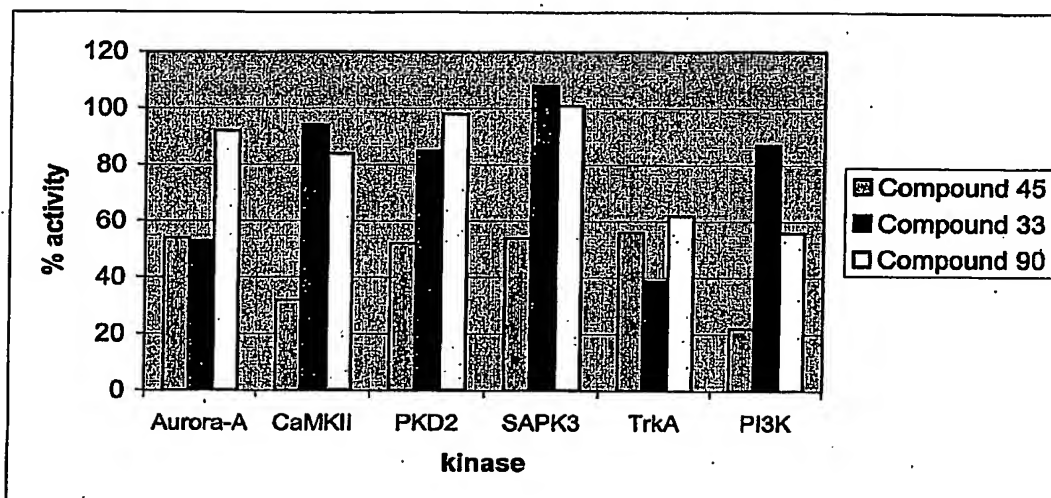


FIGURE 20

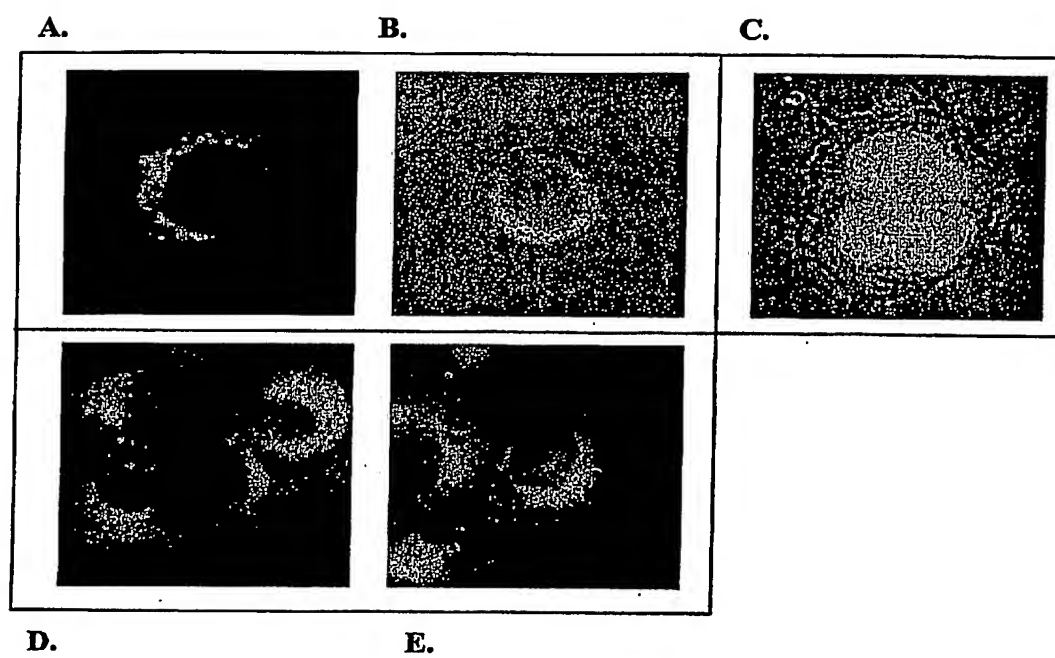
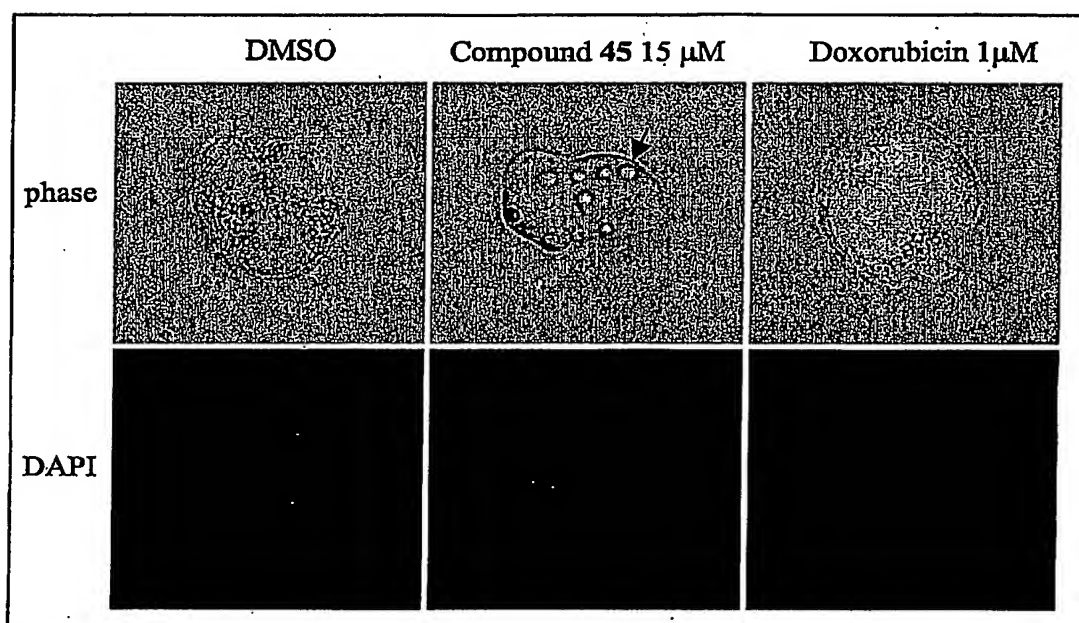
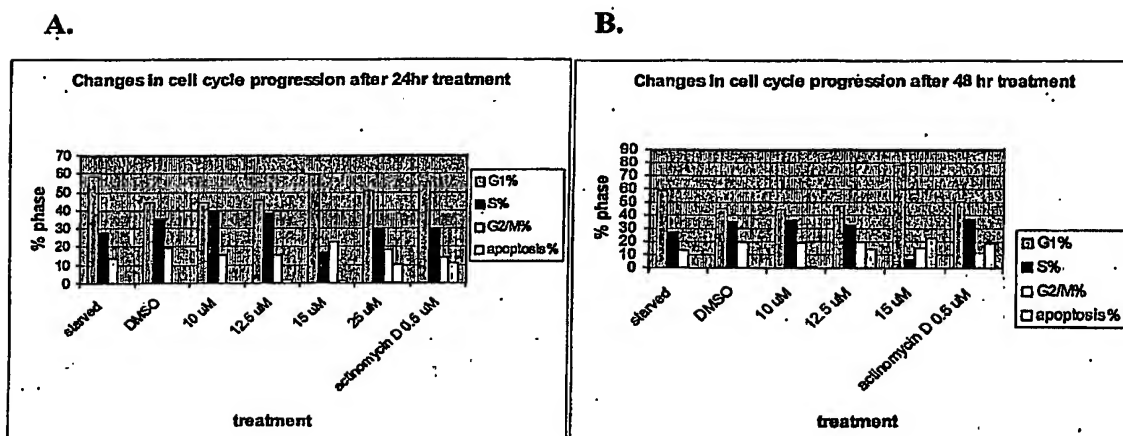


FIGURE 21





## FIGURE 22



## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/IB2004/052433

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07D233/58 C07D235/02 C07D401/04 C07D401/14 C07D403/04  
 C07D403/14 C07D405/14 C07D409/14 C07D471/04 C07D471/14  
 C07D495/04 A61K31/4164 A61K31/4178 A61K31/4184 A61K31/4188

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, BEILSTEIN Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 03/087026 A (F. HOFFMANN-LA ROCHE AG) 23 October 2003 (2003-10-23) page 14, line 1 - line 6; claims; examples	1-17
X	WO 03/066579 A (AXYS PHARMACEUTICALS; LEAHY, ELLEN M; VERNER, ERIK J) 14 August 2003 (2003-08-14) claims	1-17
X	WO 02/072576 A (PFIZER PRODUCTS INC; DOMBROSKI, MARK, ANTHONY; LETAVIC, MICHAEL, ANTHO) 19 September 2002 (2002-09-19) claims; examples 63-65, 67, 68, 70-103, 105-141, 145, 148, 149, 151 , 154, 155, 158, 159, 161, 263-290, 317, 319-322 ----- -/--	1-17

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the International filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the International filing date but later than the priority date claimed

- \*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the International search

21 March 2005

Date of mailing of the International search report

04/04/2005

Name and mailing address of the ISA

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 Fax: (+31-70) 340-3016

Authorized officer

Hanisch, I

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 01/26467 A (ONTOGEN CORPORATION; NEWMAN, MICHAEL, JAMES; DIXON, WILLIAM, ROSS) 19 April 2001 (2001-04-19) claim 1	1-17
X	WO 02/24680 A (SMITHKLINE BEECHAM P.L.C; DEAN, DAVID, KENNETH; TAKLE, ANDREW, KENNETH) 28 March 2002 (2002-03-28) page 10, line 10 - page 11, line 11; claims 1,11; examples 4-7,14-23	1-17
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X	EP 0 812 829 A (ONTOGEN CORPORATION) 17 December 1997 (1997-12-17) claims; examples	1-17
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB2004/052433

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Although claims 1-12 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International Application No  
PCT/IB2004/052433

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Information on patent family members

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**PCT/IB2004/052433**

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